



Photoemission Study on Topological Semimetals

SPICE Workshop

New Paradigms in Dirac-Weyl Nanoelectronics

June 15th, 2016

Zhongkai Liu

ARPES team



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Claudia Felser, Binghai Yan
Alexi Barinov, Moritz Hoesch, Pavel Dudin



Outline

- Probing the electronic structure of TI with ARPES
 - ARPES study on Dirac/Weyl Semimetal
 - Multi Dimensional ARPES techniques
- 

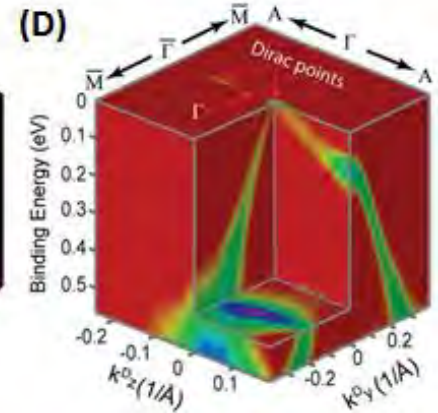
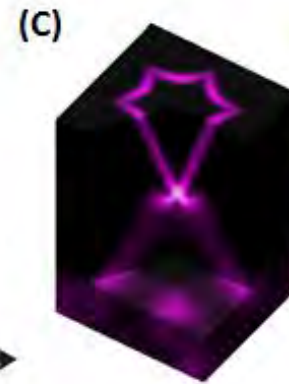
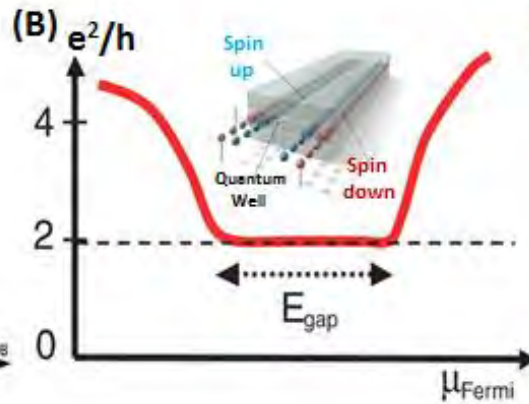
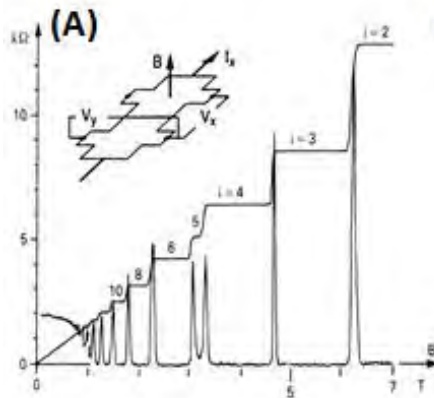
Topological Quantum Materials

QH Insulator

QSH Insulator

Topological Insulator

Topological Semimetal



1980

2006-2013

2014

Topological invariant:
"first Chern number"

Topological invariant:
"Z₂ invariant"

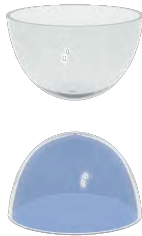
Topological invariant:
"Chern number (of some sort?)"

Why “topological”

Topologically distinct objects



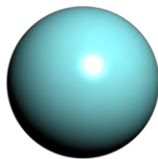
Regular insulator



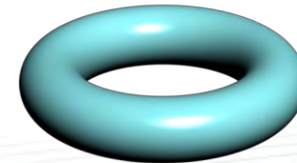
Topological insulator



Sphere

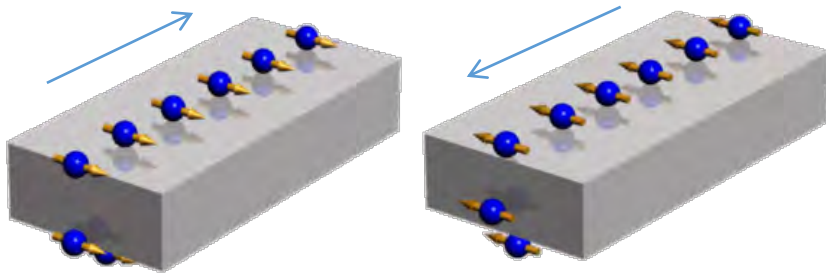


Torus

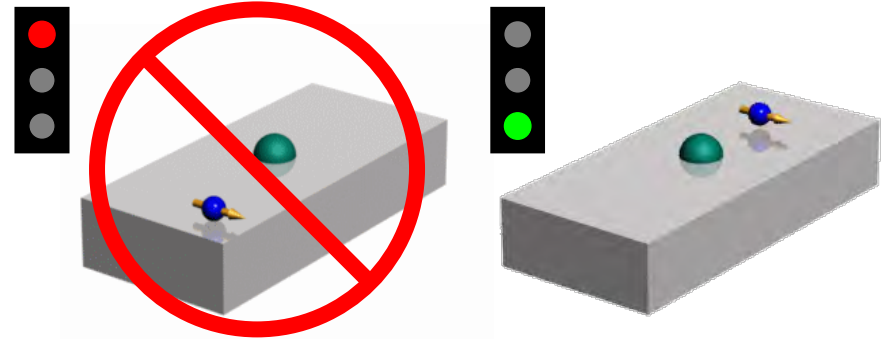


Unique surface state properties of TIs

“Locking” between current & spin

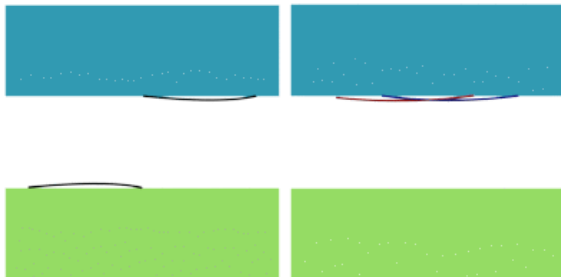


No back-scattering rule
(by non-magnetic impurities)

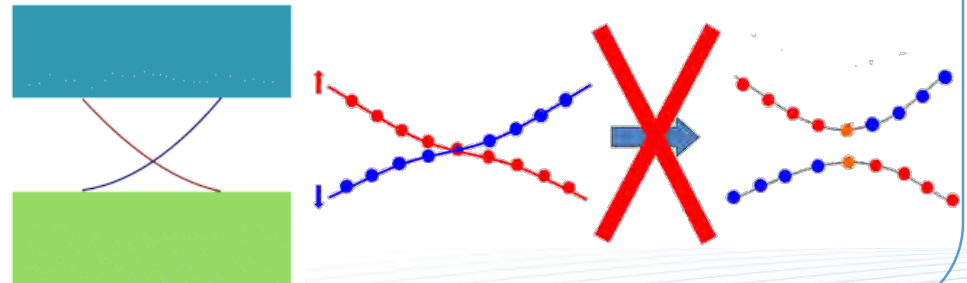


Robustness of the topological surface state

Surface state in regular insulator
vulnerable



Surface state in topological insulator
robust

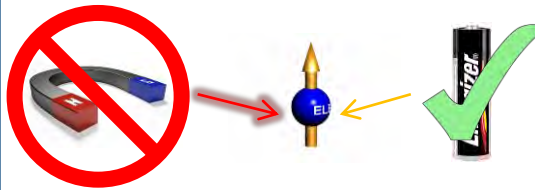


Application potentials

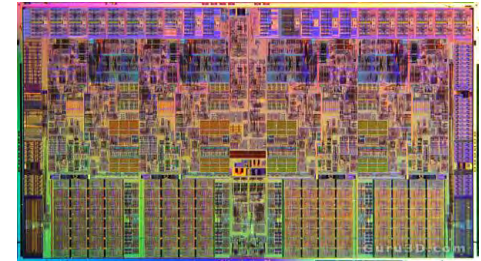
**Electronics more efficient
(Longer functional time)**



**Spintronics without magnet
(Faster & less consumption)**



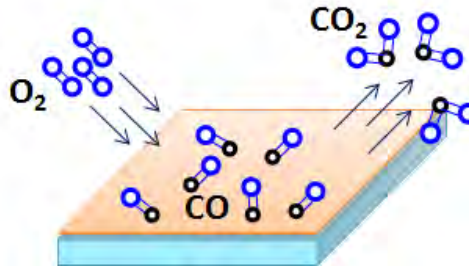
Higher density integrated circuit



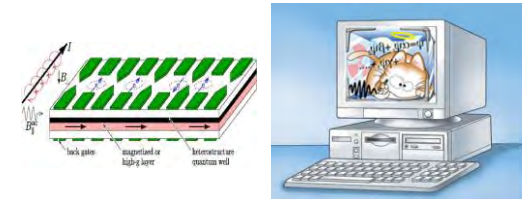
Thermoelectric generators



New catalysts



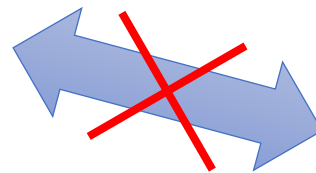
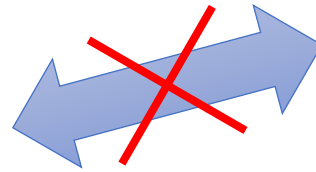
Quantum computation



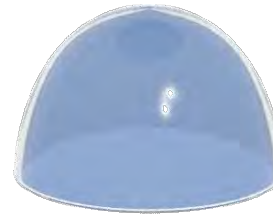
How to find TIs

Search for the unique band structure

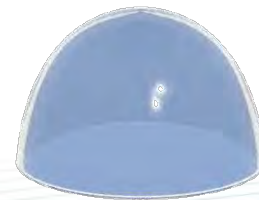
Topological insulator



Regular conductor



Regular insulator

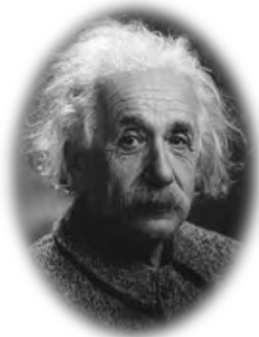


How to “see” band structures

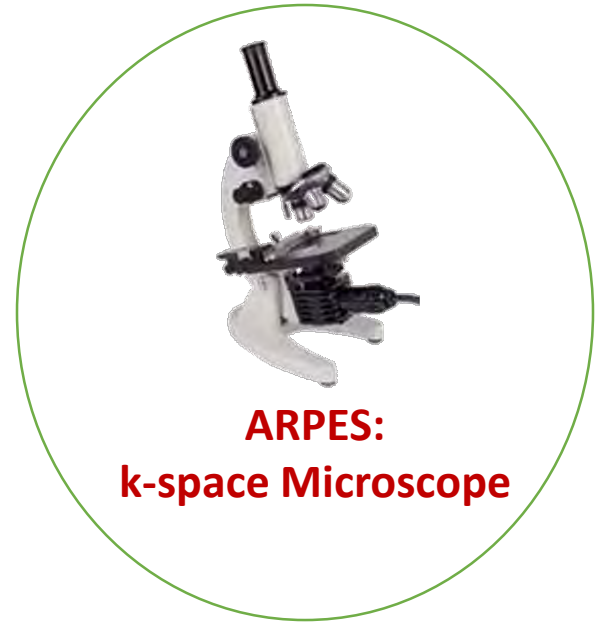
Angle Resolved Photoemission Spectroscopy (ARPES)



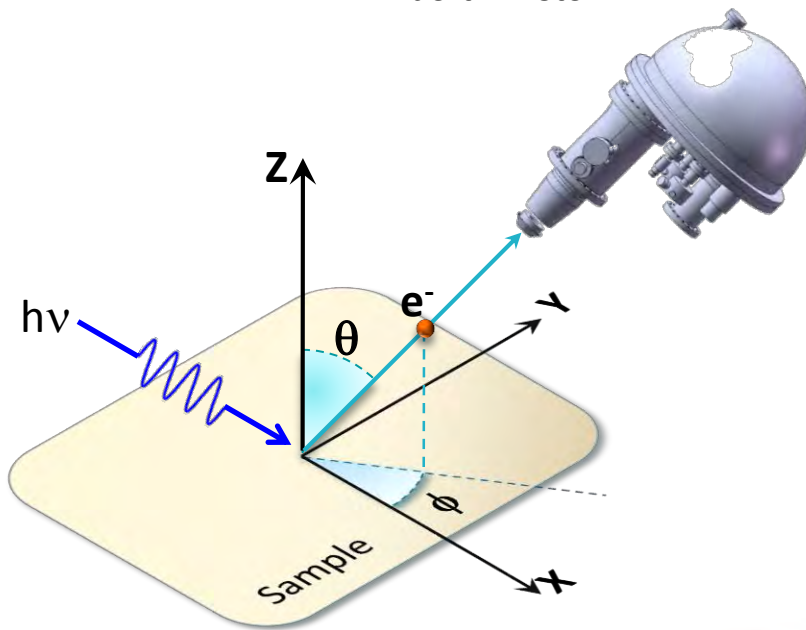
Heinrich Hertz



Albert Einstein



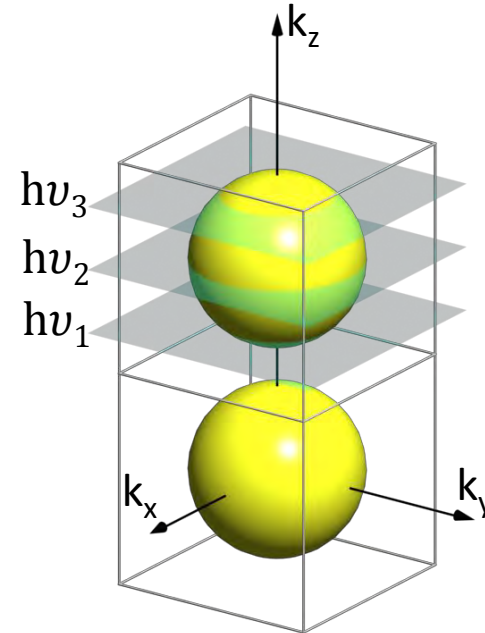
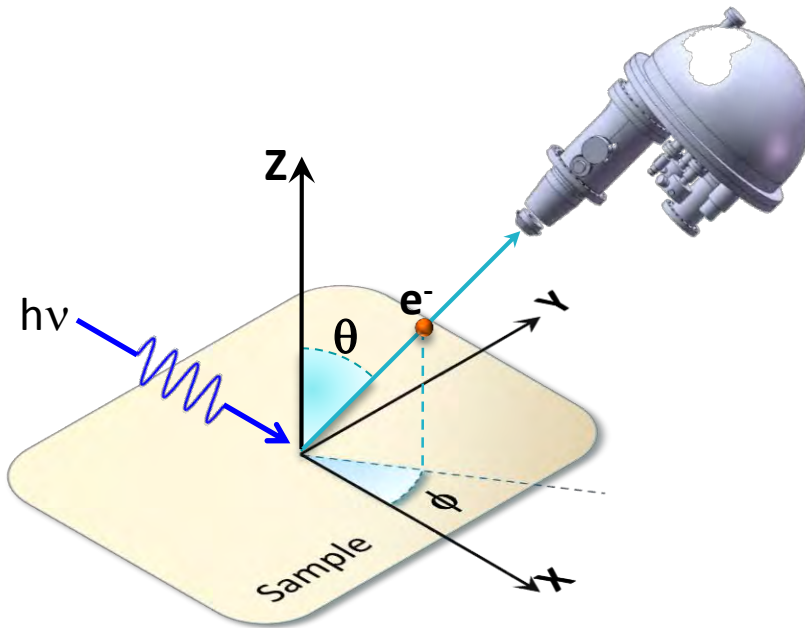
**ARPES:
k-space Microscope**



Energy Conservation
 $E_B = h\nu - E_{\text{kin}} - \Phi$
Momentum Conservation
 $K_{\parallel} = k_{\parallel} + G_{\parallel}$

How to determine k_z ?

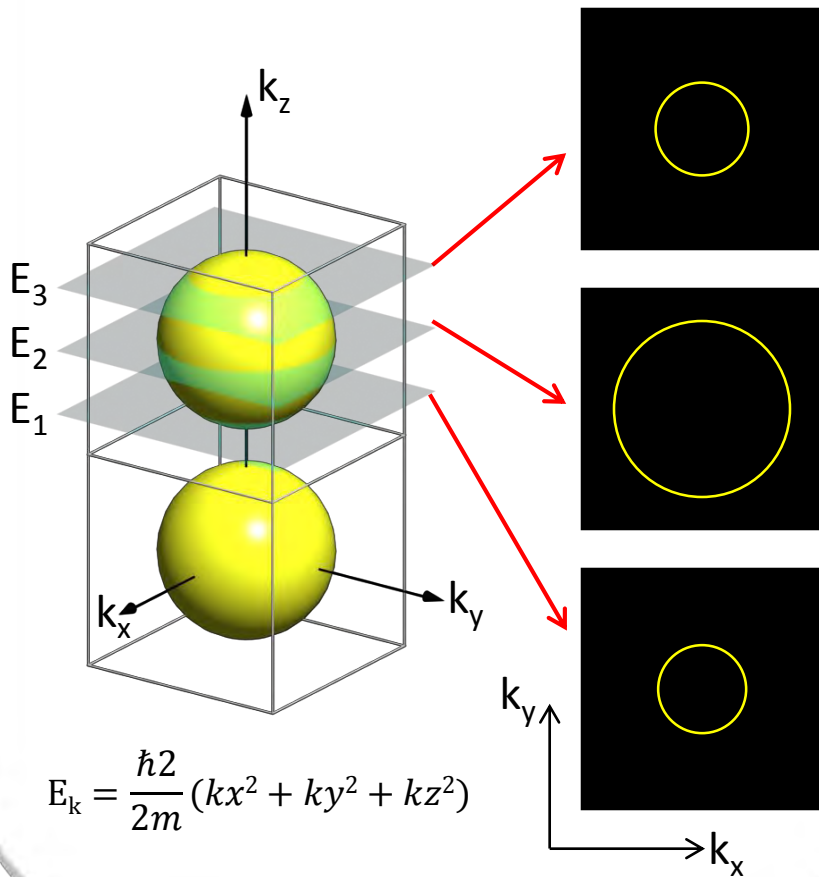
Photon energy dependent ARPES measurement



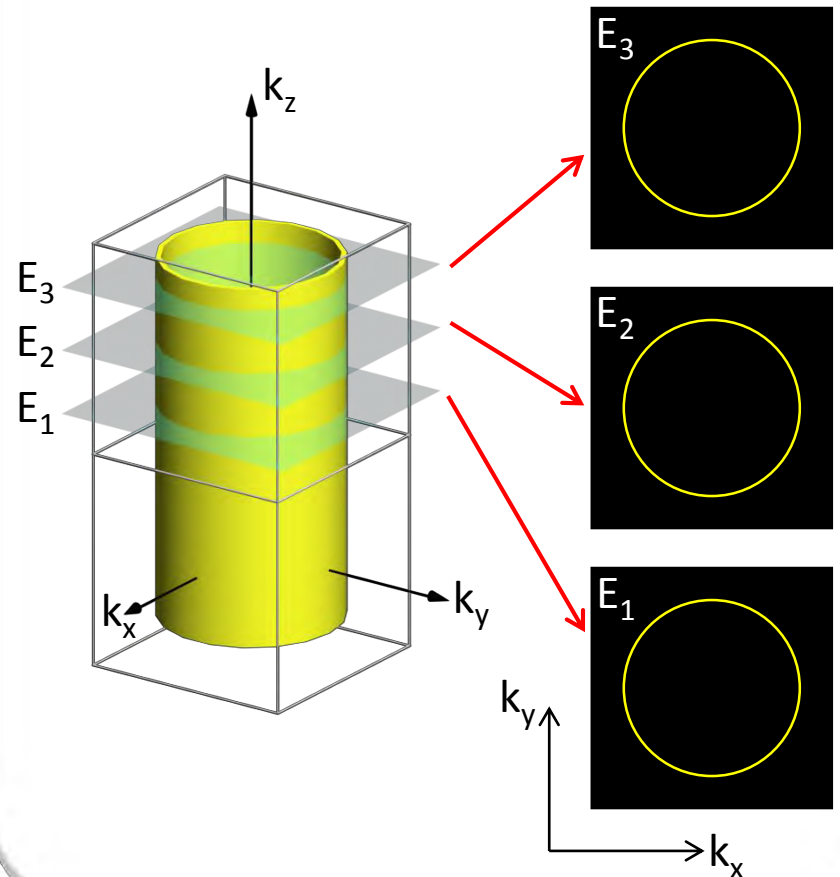
$E_k = \hbar\nu - w - E_B$			
In vacuum:	$k_x^V = \frac{\sqrt{2m_e E_k}}{\hbar} \sin(\theta) \cos(\Phi)$	In crystal:	$k_x = \frac{\sqrt{2m_e E_k}}{\hbar} \sin(\theta) \cos(\Phi) = k_x^V$
	$k_y^V = \frac{\sqrt{2m_e E_k}}{\hbar} \sin(\theta) \sin(\Phi)$		$k_y = \frac{\sqrt{2m_e E_k}}{\hbar} \sin(\theta) \sin(\Phi) = k_y^V$
	$k_z^V = \frac{\sqrt{2m_e E_k}}{\hbar} \cos(\theta)$		$k_z = \sqrt{\frac{2m_e^*}{\hbar^2} (E_k + V_0) - \frac{2m_e E_k}{\hbar^2} \sin^2 \theta} \neq k_z^V$

How to discriminate bulk & surface?

3D FS
(e.g. FS from bulk state)



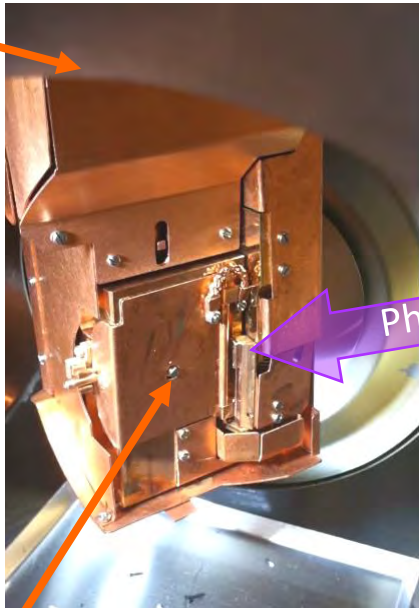
2D FS
(e.g. FS from surface state)



Modern ARPES

Experimental setup

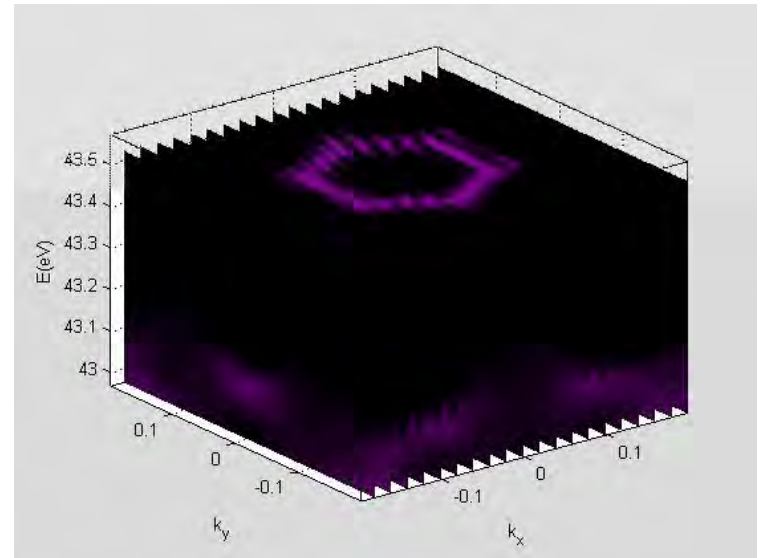
Experiment Chamber



Photons

Sample

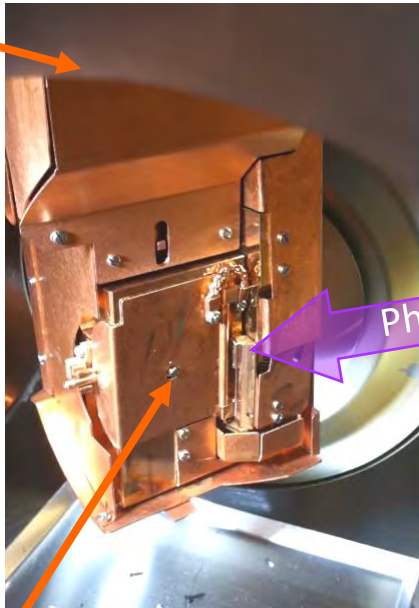
Data acquired



Modern ARPES

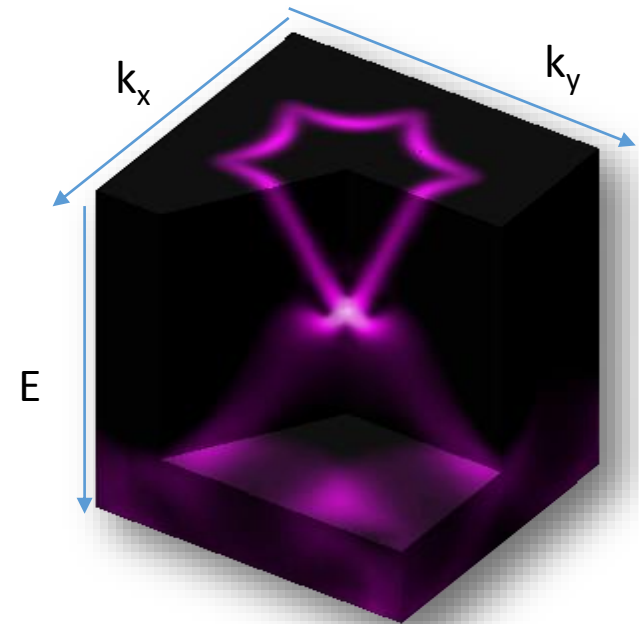
Experimental setup

Experiment Chamber



Sample

Data acquired

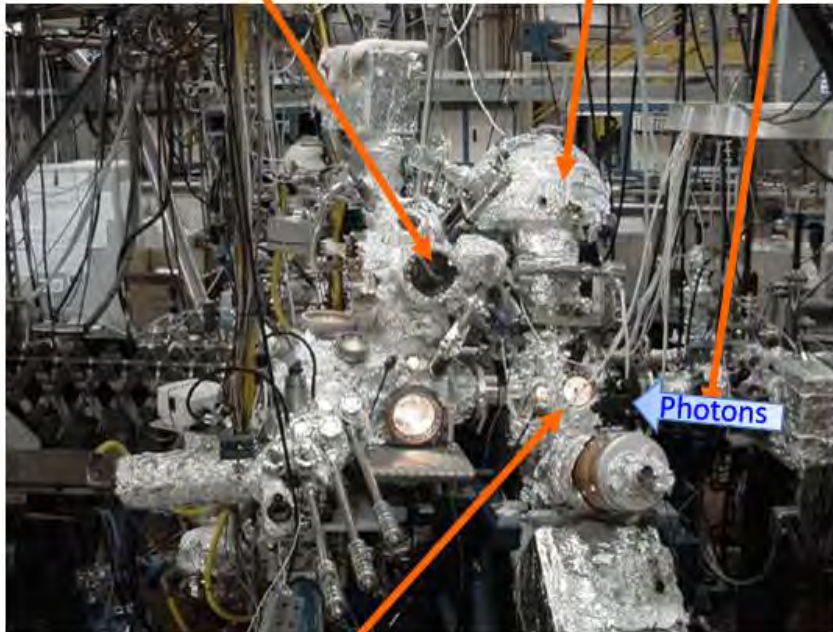


Or Alternatively...

Experimental setup

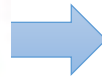
Experiment Chamber

2D Electron Photon analyzer in

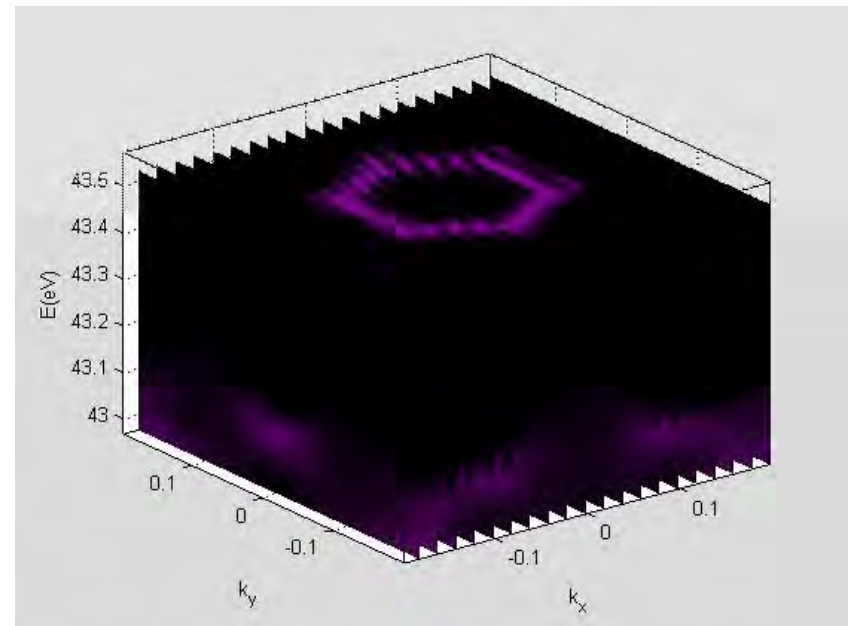


Sample

ALS Beamline 10

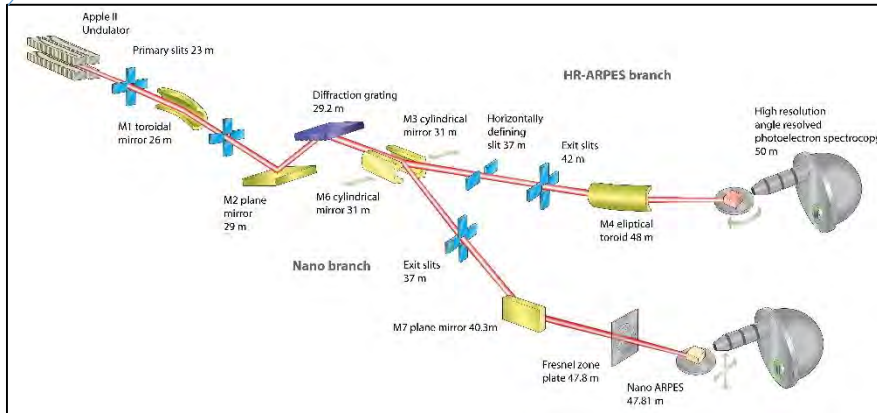
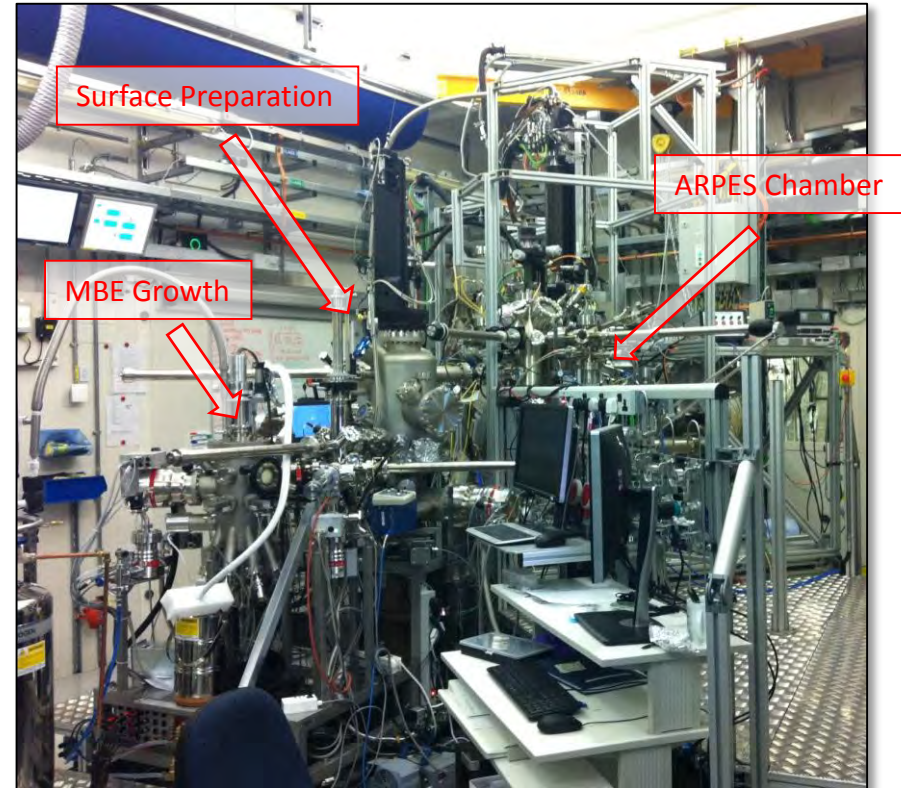
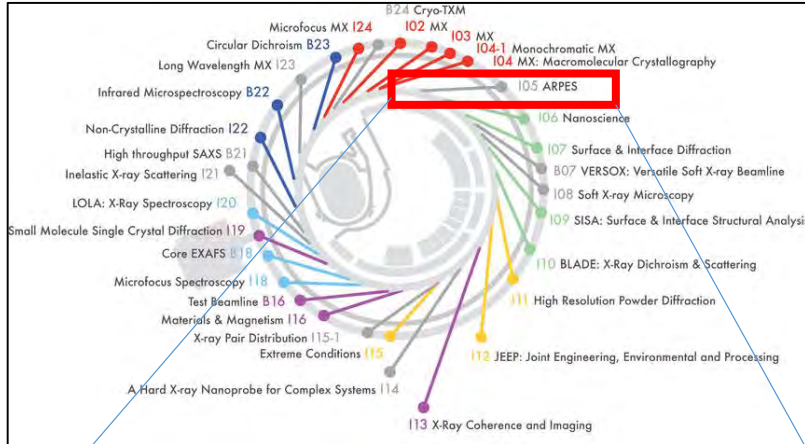


Data acquired



Synchrotron based ARPES station

BL I05



h ν range: 18~240eV
 $\Delta E=2\sim 10\text{meV}$ (h ν : 18~80eV)

Temperature: 6K~RT

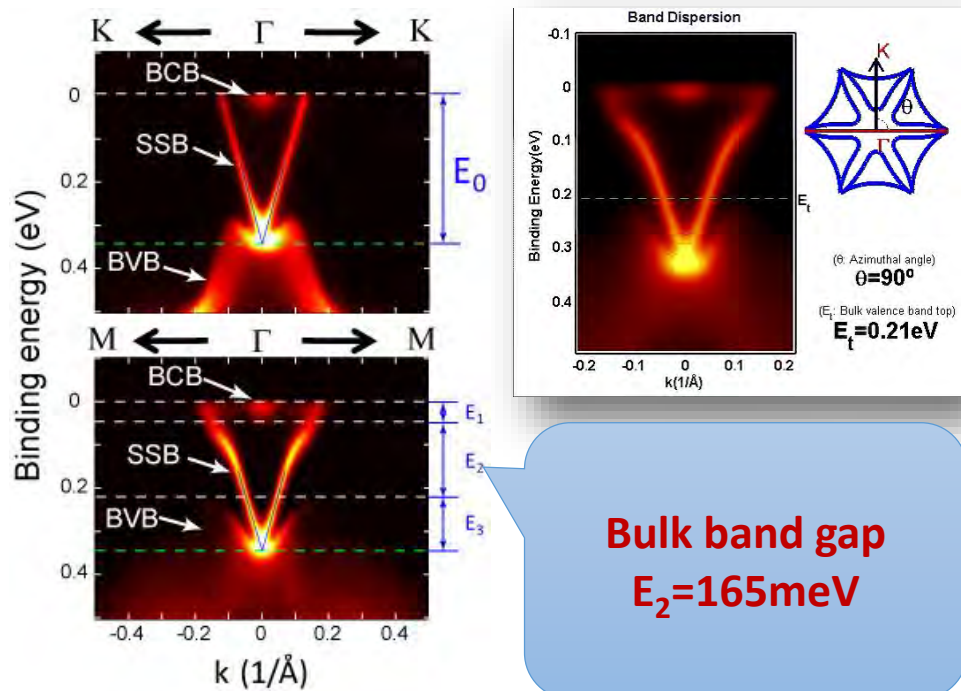
6 axis manipulator

Beamspace 50x50 μm^2

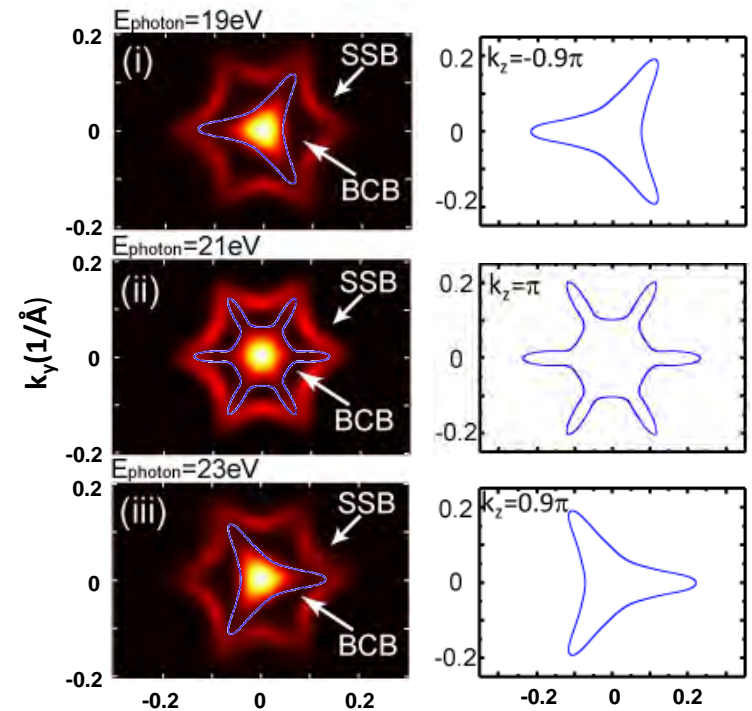
Realization of TI state in Bi_2Te_3

Y. L. Chen, *et. al.*, *Science* 325, 178 (2009)

Dirac fermion

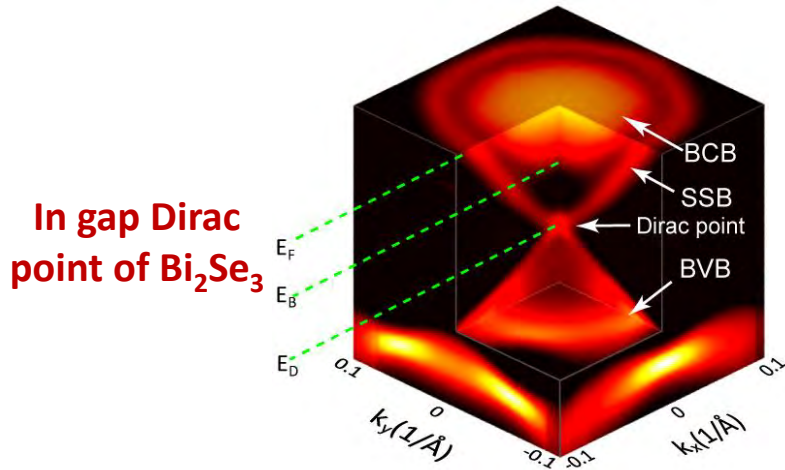


Dirac Fermion's Surface nature

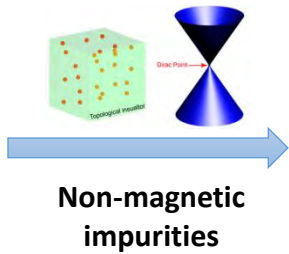
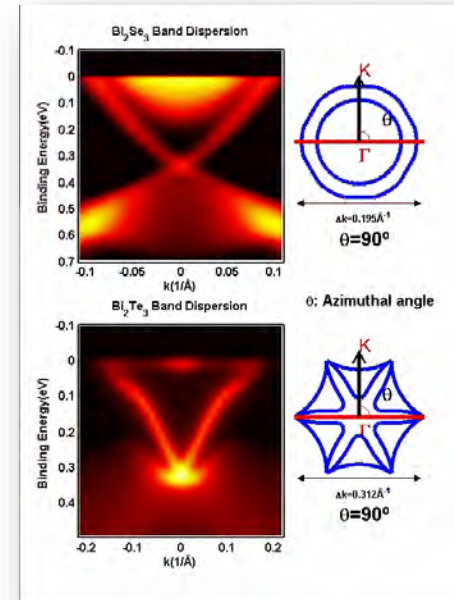


TRS protection – bulk doping

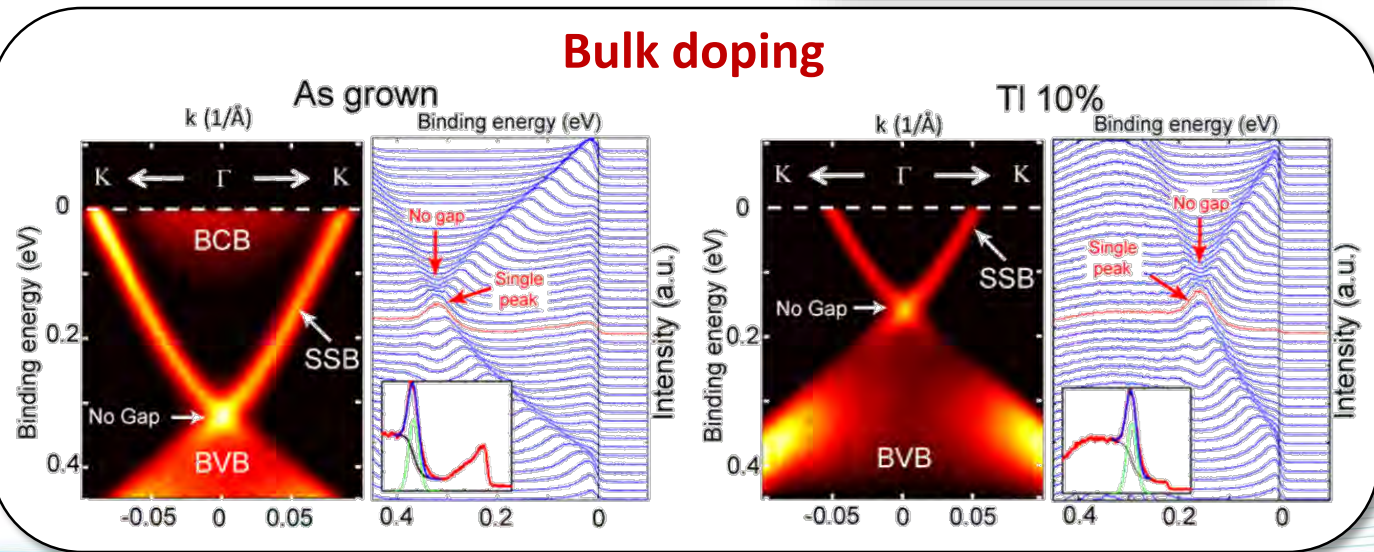
Y. L. Chen, *et. al.*, *Science* 329, 659 (2010)



Compare to Bi_2Te_3

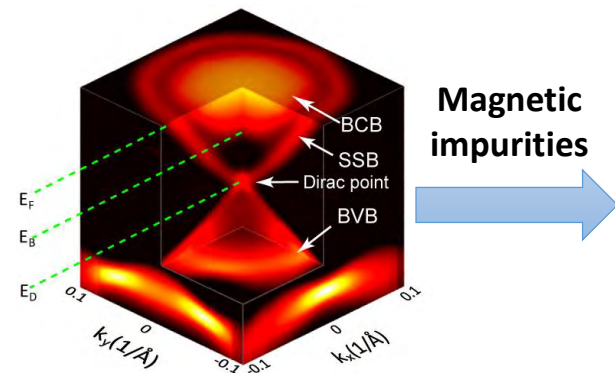


Bulk doping

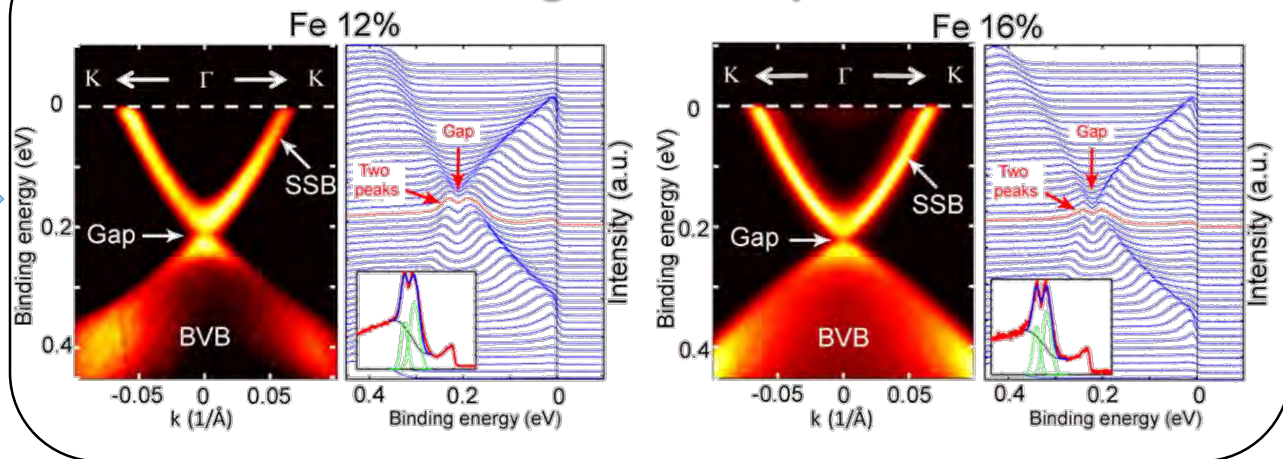


Dirac fermion becomes massive

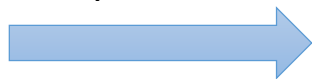
Y. L. Chen, *et al.*, *Science* 329, 659 (2010)



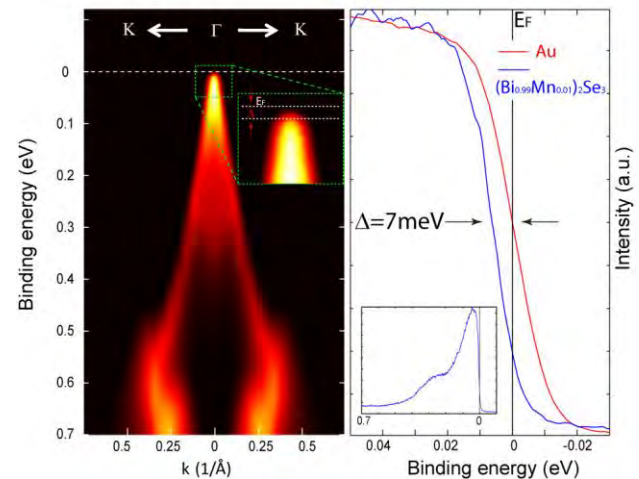
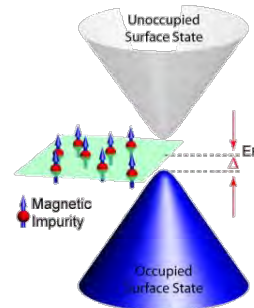
Braking the Dirac point



Charge tuning:
Move E_F into Dirac gap



Insulating massive Dirac fermion state



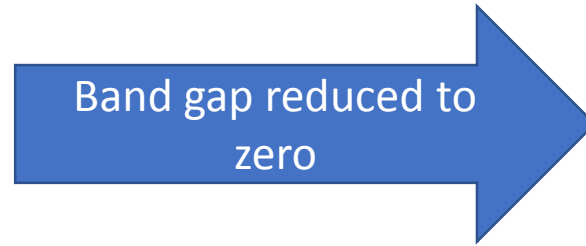
Topological Dirac Semimetals



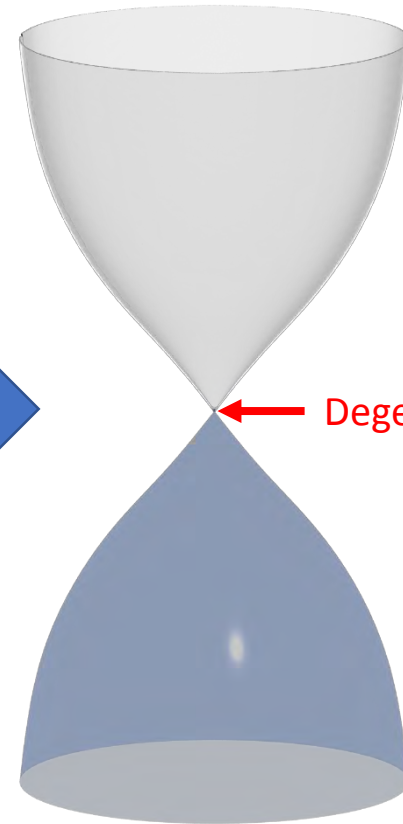
Conduction
band

Valence
band

Regular insulator



Band gap reduced to
zero



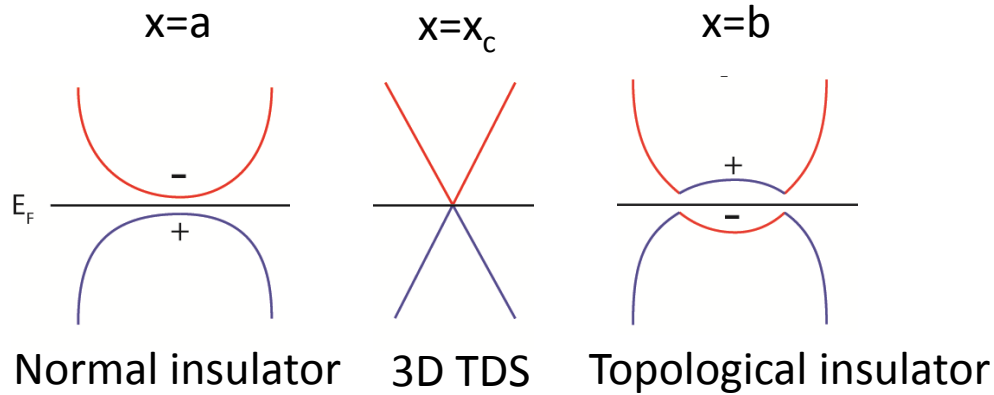
Degeneracy point

Topological Dirac Semimetal

Formation of 3D Topological Dirac Semimetal

Extrinsic 3D TDS:

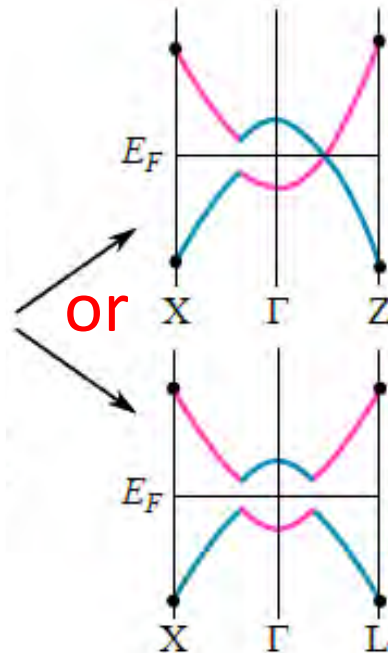
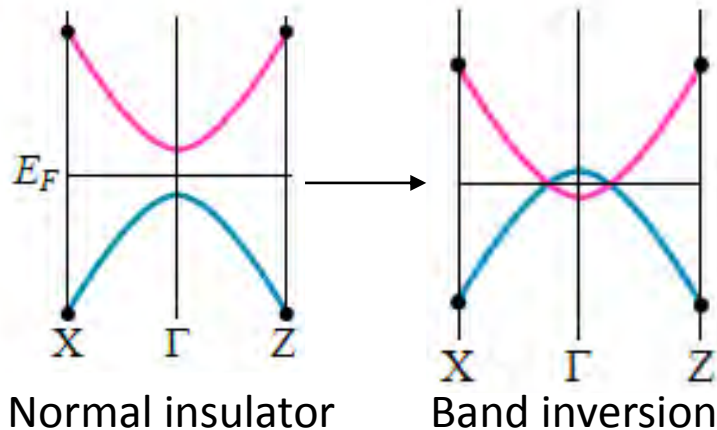
Doping:



Topological critical point between topological and normal insulator:

- Fine tuning of chemical composition required
- Not necessarily protected by crystal symmetry
- Very sensitive to temperature, pressure and composition homogeneity

Intrinsic 3D TDS:



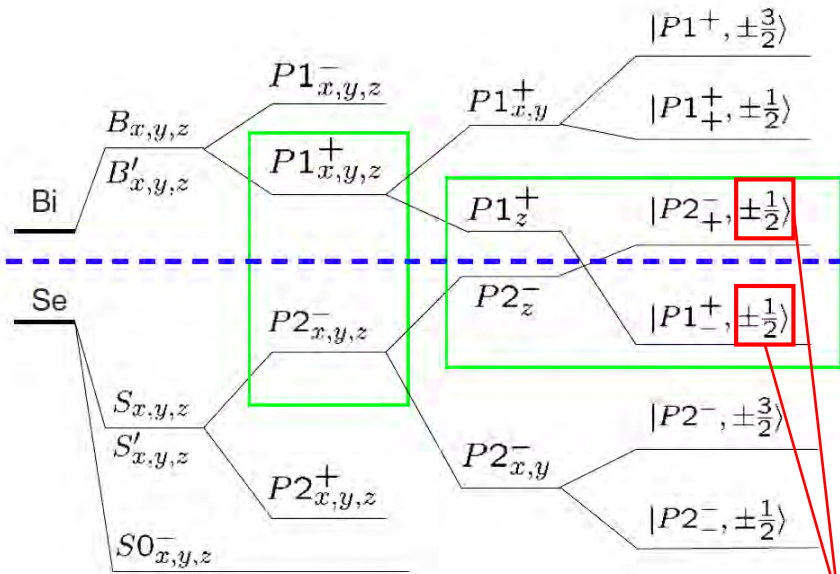
Node preserved: 3D TDS

- The crossing bands are orthogonal so that perturbation would not open a gap
- Crystal symmetry allows such orthogonal bands, even at the presence of SOC

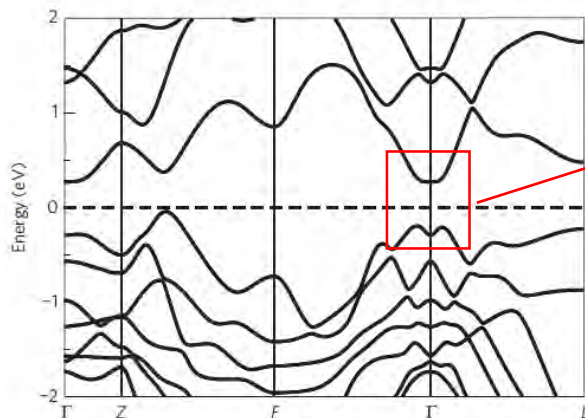
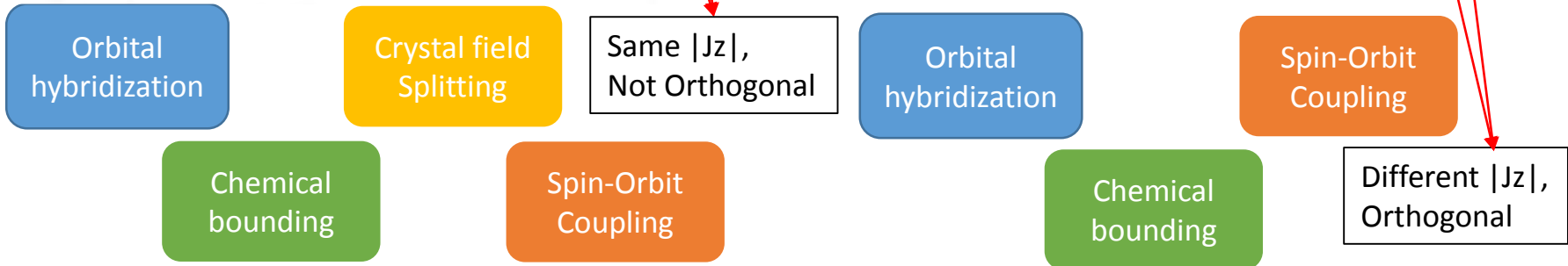
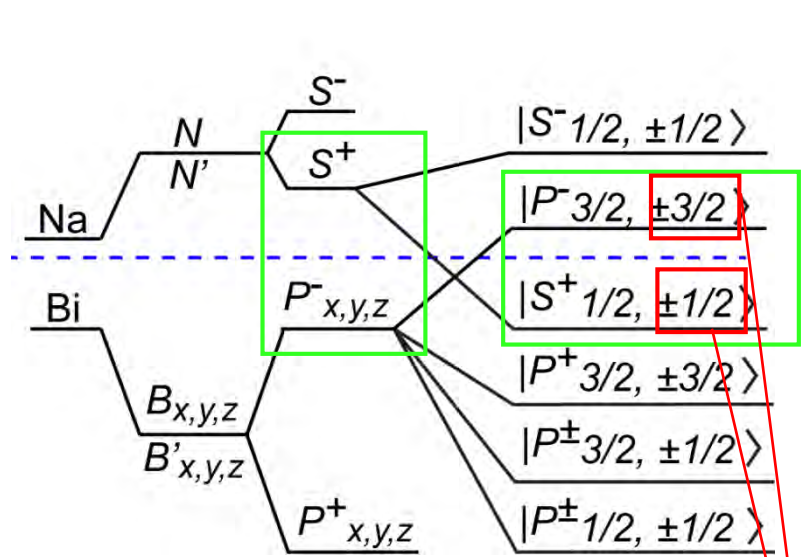
Gap opening: Topological insulator

- SOC helps to increase the band inversion magnitude, at the same time, it would mix-up bands and make them non-orthogonal. So that an inversion gap usually persists

Bi_2Se_3 , topological insulator

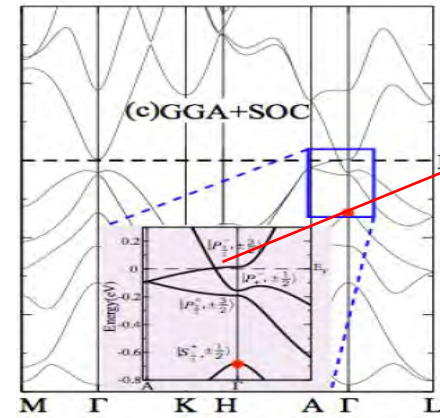


Na_3Bi , 3D topological Dirac semimetal



Inverted Band gap From p-p Band inversion

H. J. Zhang *et al.*, *Nature Physics* **5**, 438 – 442(2009)
 C. -X. Liu *et al.*, *Phys. Rev. B* **82**, 045122(2010)

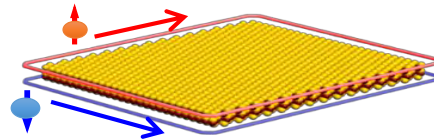


Band touching Point preserved From s-p Band inversion (at some K_z)

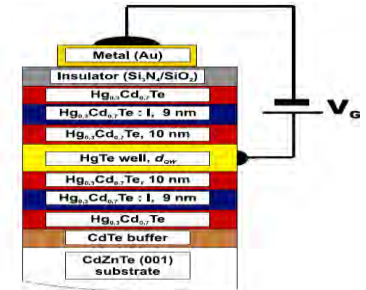
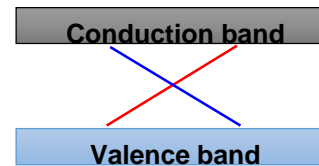
Z. J. Wang *et al.*, *Phys. Rev. B* **85**, 195320(2012)

Dirac electron systems

1D Dirac fermions

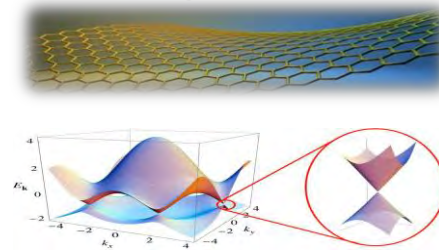


Quantum spin Hall edge state

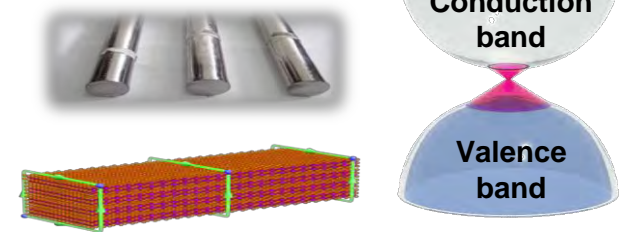


2D Dirac fermions

Graphene

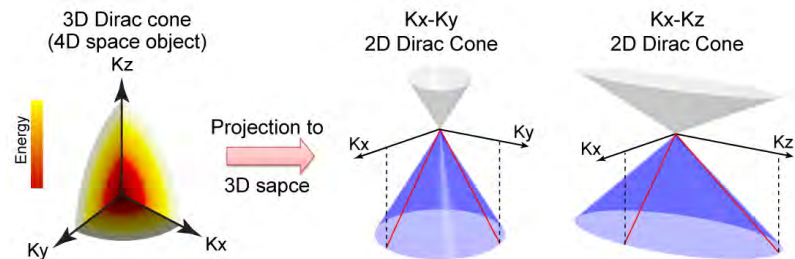
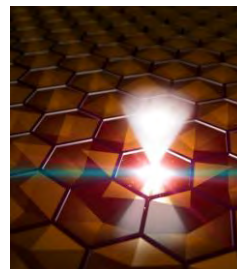


3D TI surface state



3D Dirac fermions

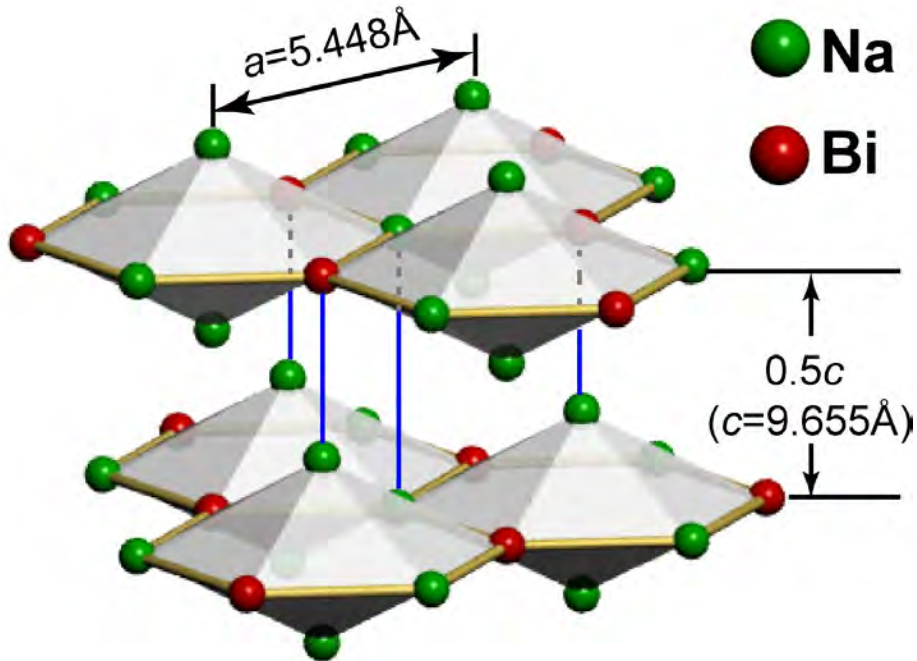
Topological Dirac Semimetal



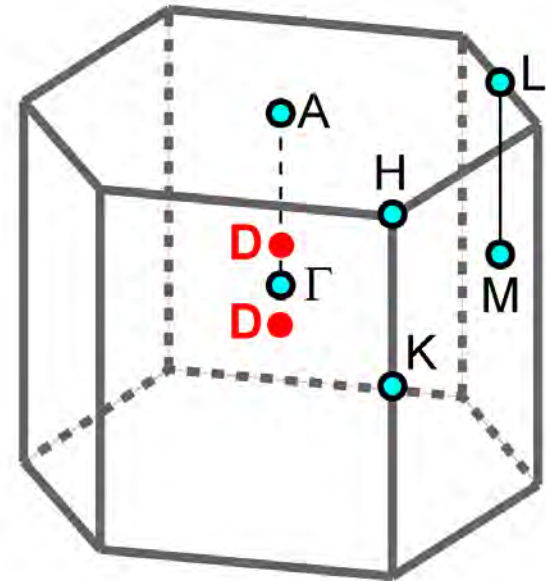
3D Topological Dirac Semi-metal (TDS)

Z. K. Liu. *et. al.*, Science, 343, 864 (2014)

Crystal structure

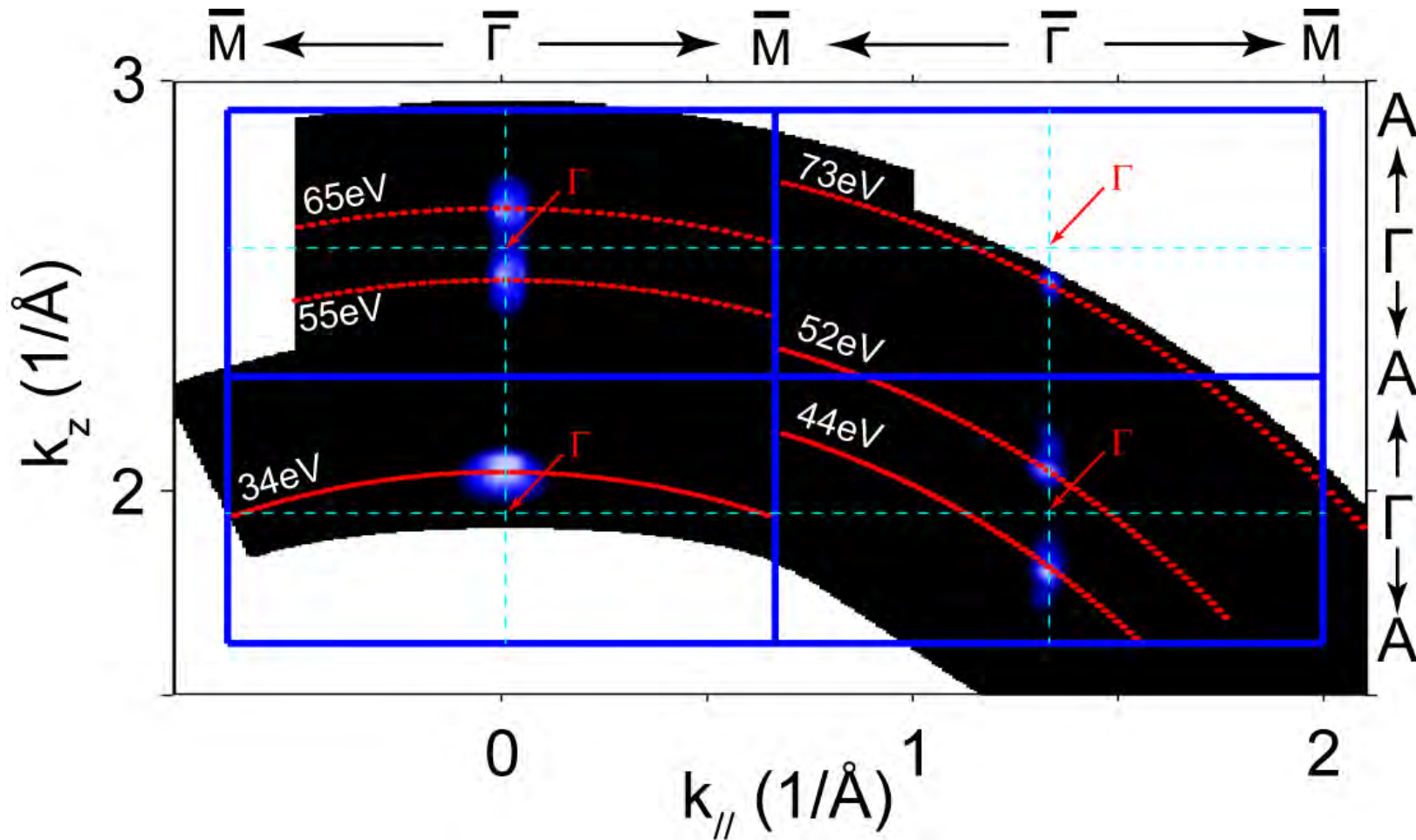


Brillouin Zone



Extracting k_z dispersions

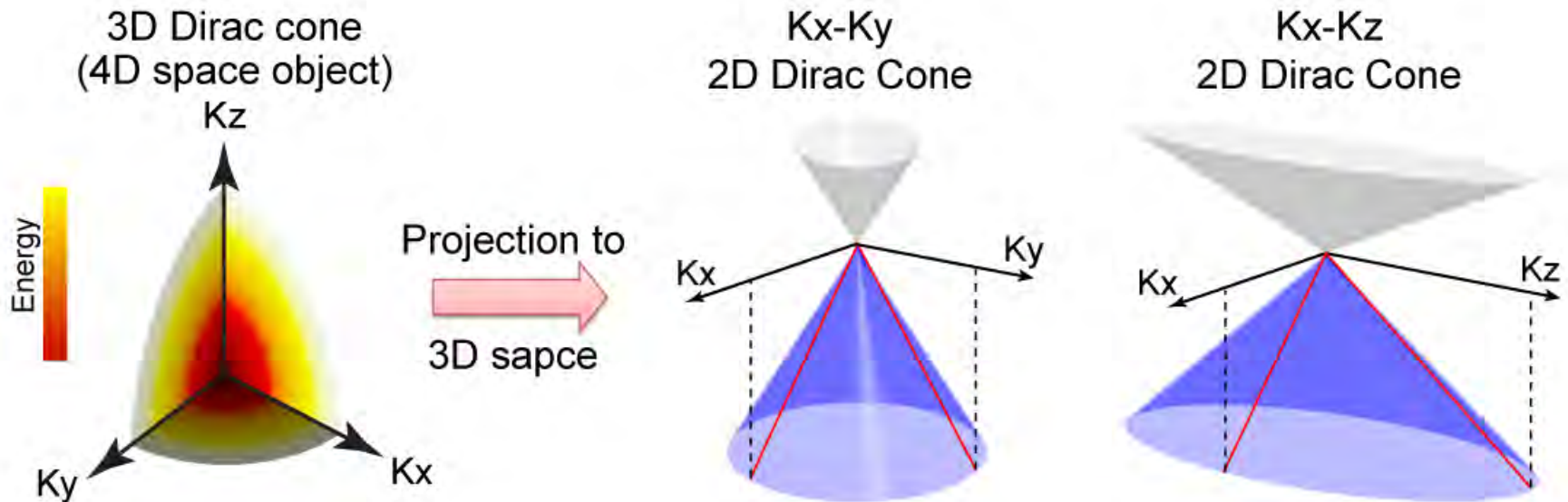
Multiple BZ mapping along k_z



How to identify a 3D TDS?

The 3D counterpart of graphene

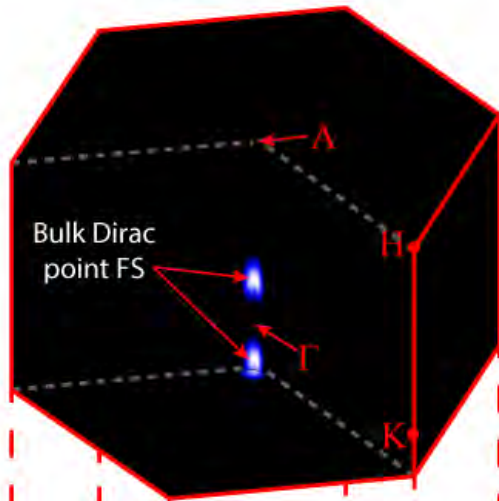
Identify the band structure



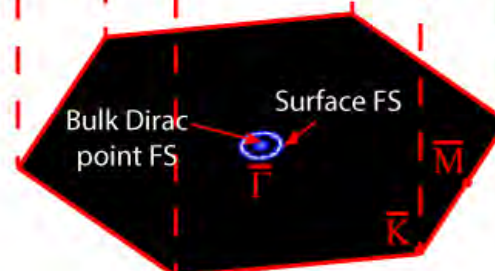
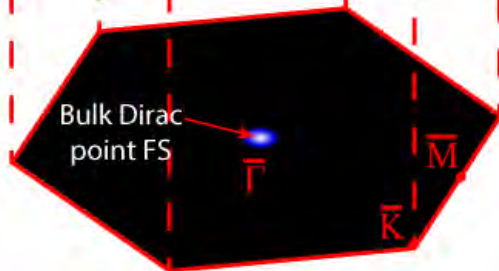
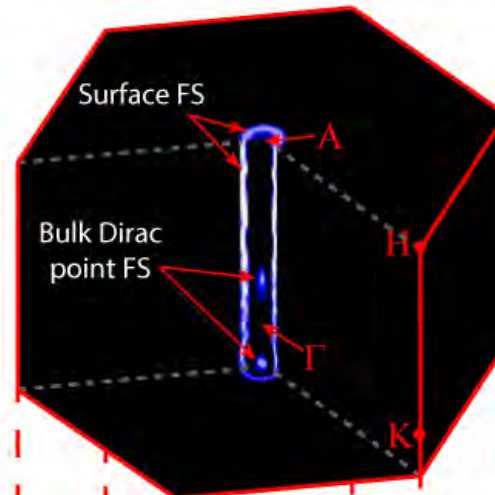
Fermi surface and Real surface

Complete 3D Fermi-surface mapping

Pristine surface

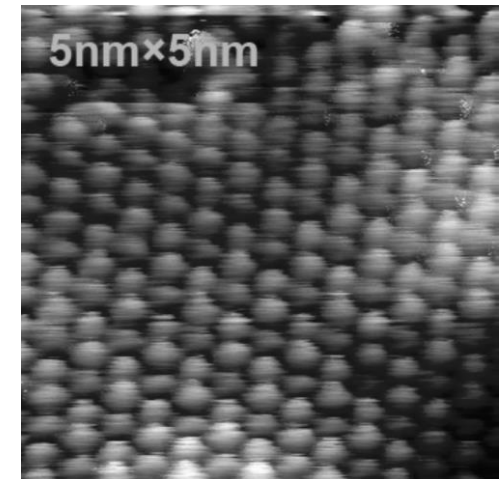


Surface with *Na*-vacancies



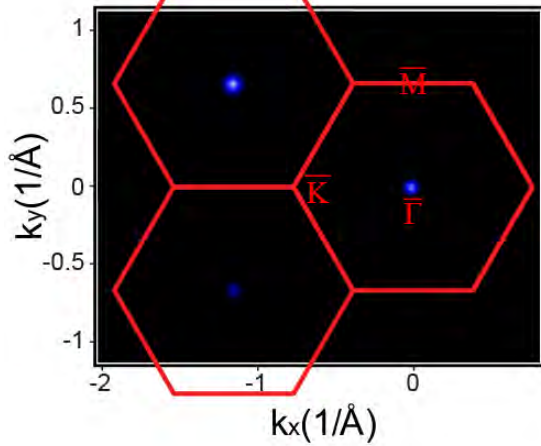
Real surface

(Courtesy of X. Chen
Tsinghua Univ., China)

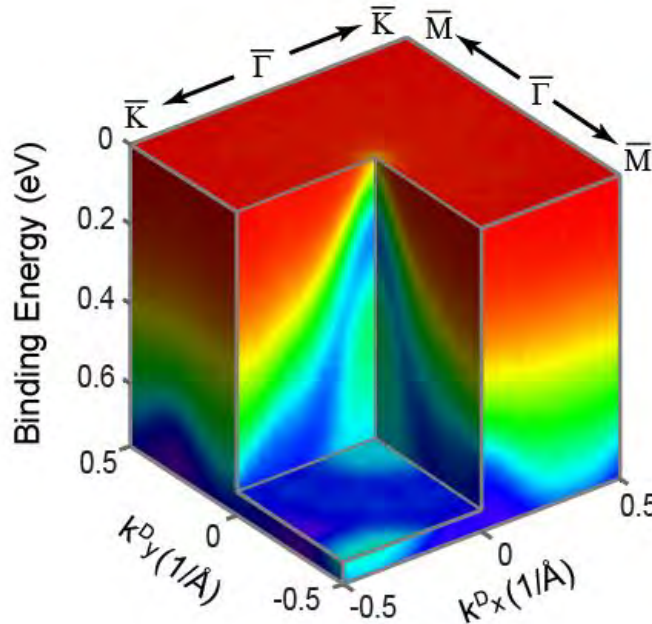


Projections to (k_x, k_y, E) space

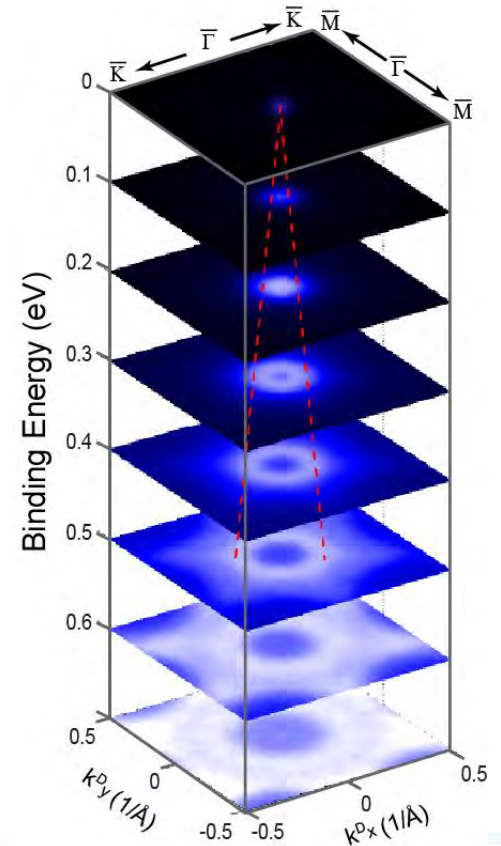
Fermi-surface



Band dispersion



Constant energy contours

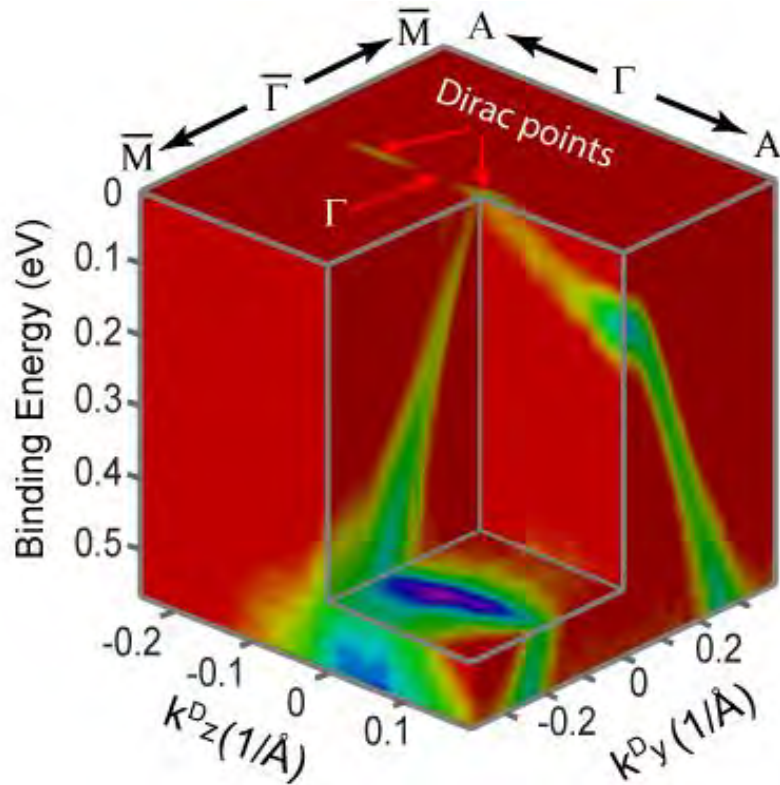


$$V_x = 2.75 \text{ eV}\cdot\text{\AA} \quad \text{or}$$
$$V_y = 2.39 \text{ eV}\cdot\text{\AA} \quad \text{or}$$

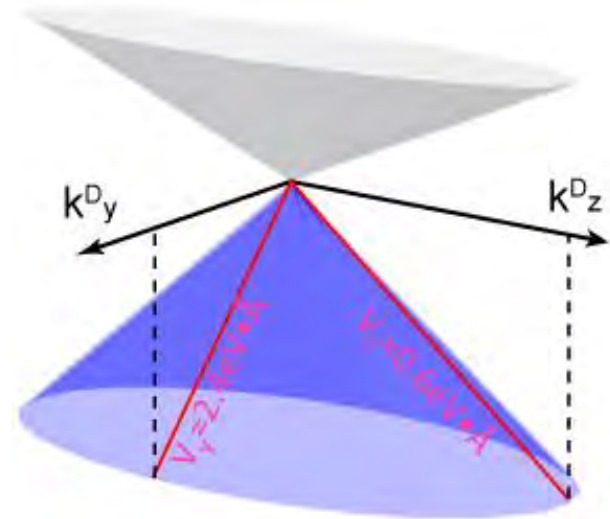
$$4.17 \times 10^5 \text{ m/s}$$
$$3.63 \times 10^5 \text{ m/s}$$

Projection to (k_y , k_z , E) space

Band dispersions



Strong anisotropy



$$V_x = 2.75 \text{ eV}\cdot\text{\AA} \quad \text{or}$$

$$4.17 \times 10^5 \text{ m/s}$$

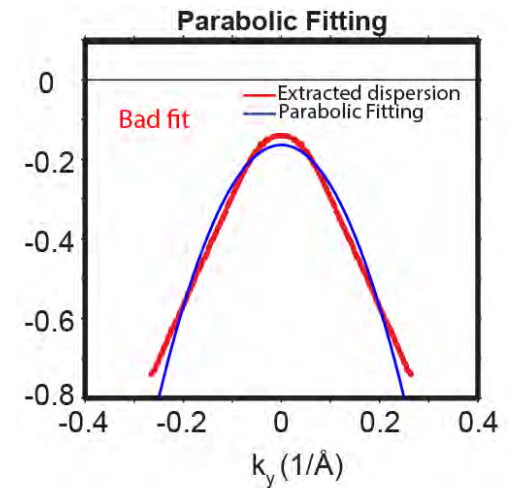
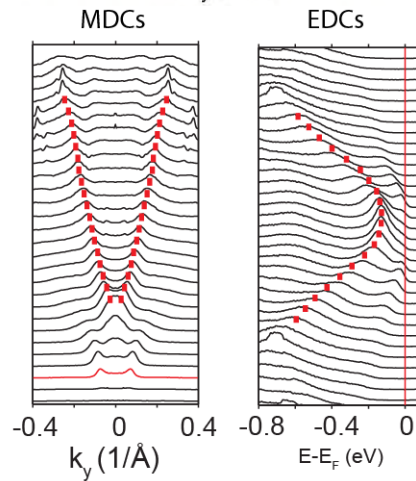
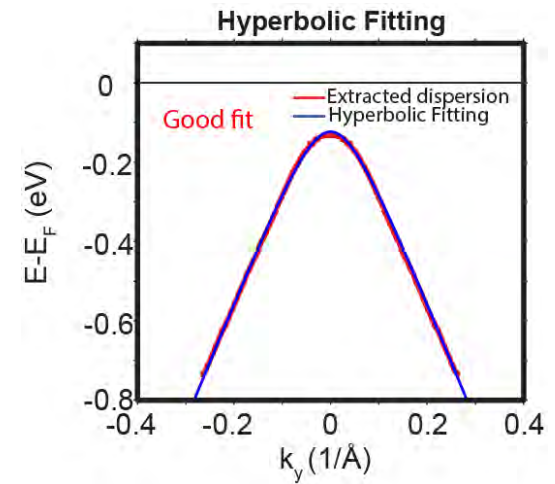
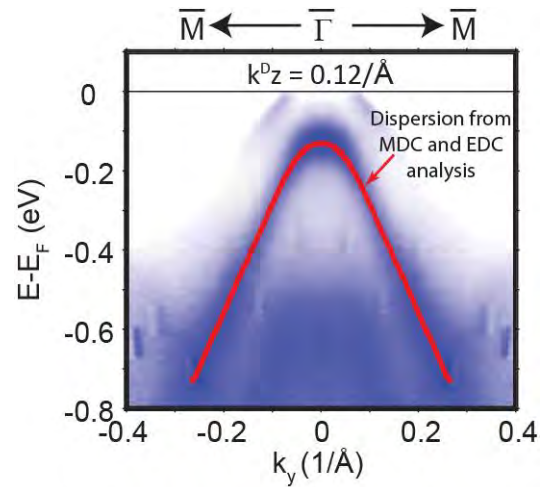
$$V_y = 2.39 \text{ eV}\cdot\text{\AA} \quad \text{or}$$

$$3.63 \times 10^5 \text{ m/s}$$

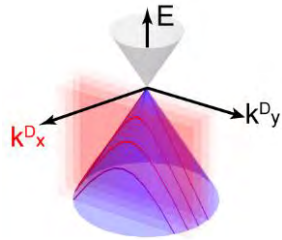
$$V_z = 0.6 \text{ eV}\cdot\text{\AA} \quad \text{or}$$

$$0.95 \times 10^5 \text{ m/s}$$

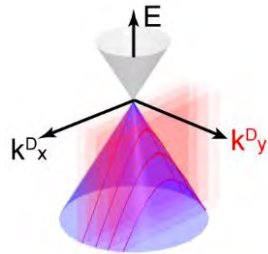
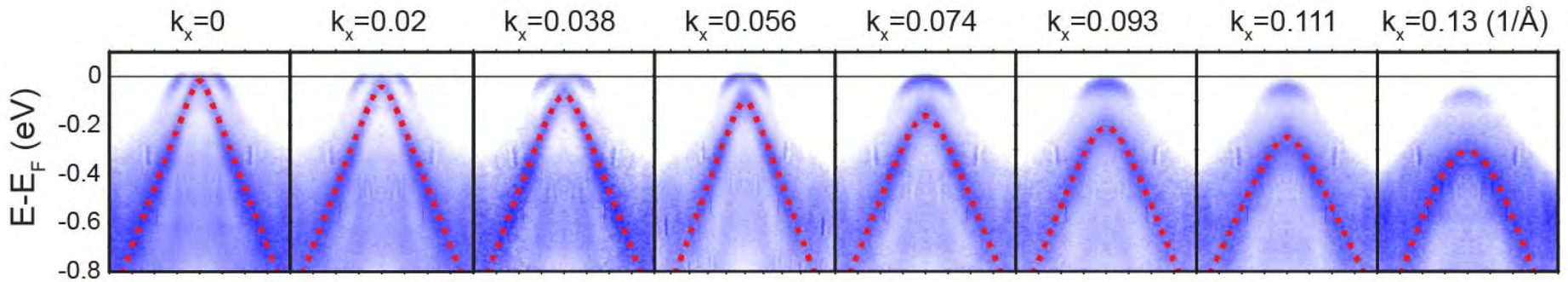
Unusual hyperbolic dispersion



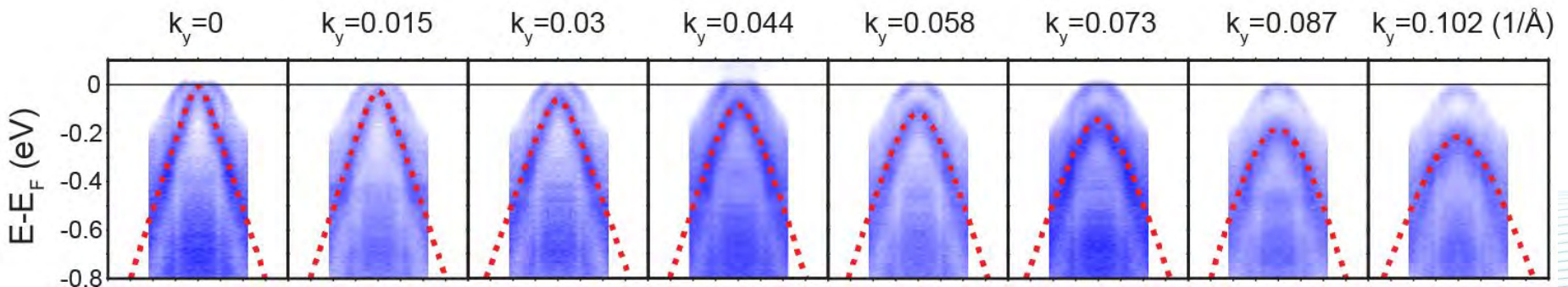
Dispersions at different k_x, k_y values



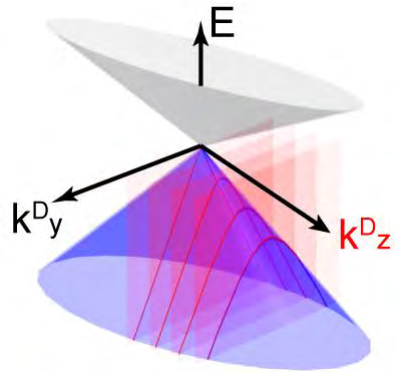
$k_x = 2.75 \text{ eV}\cdot\text{\AA}$ or $4.17 \times 10^5 \text{ m/s}$



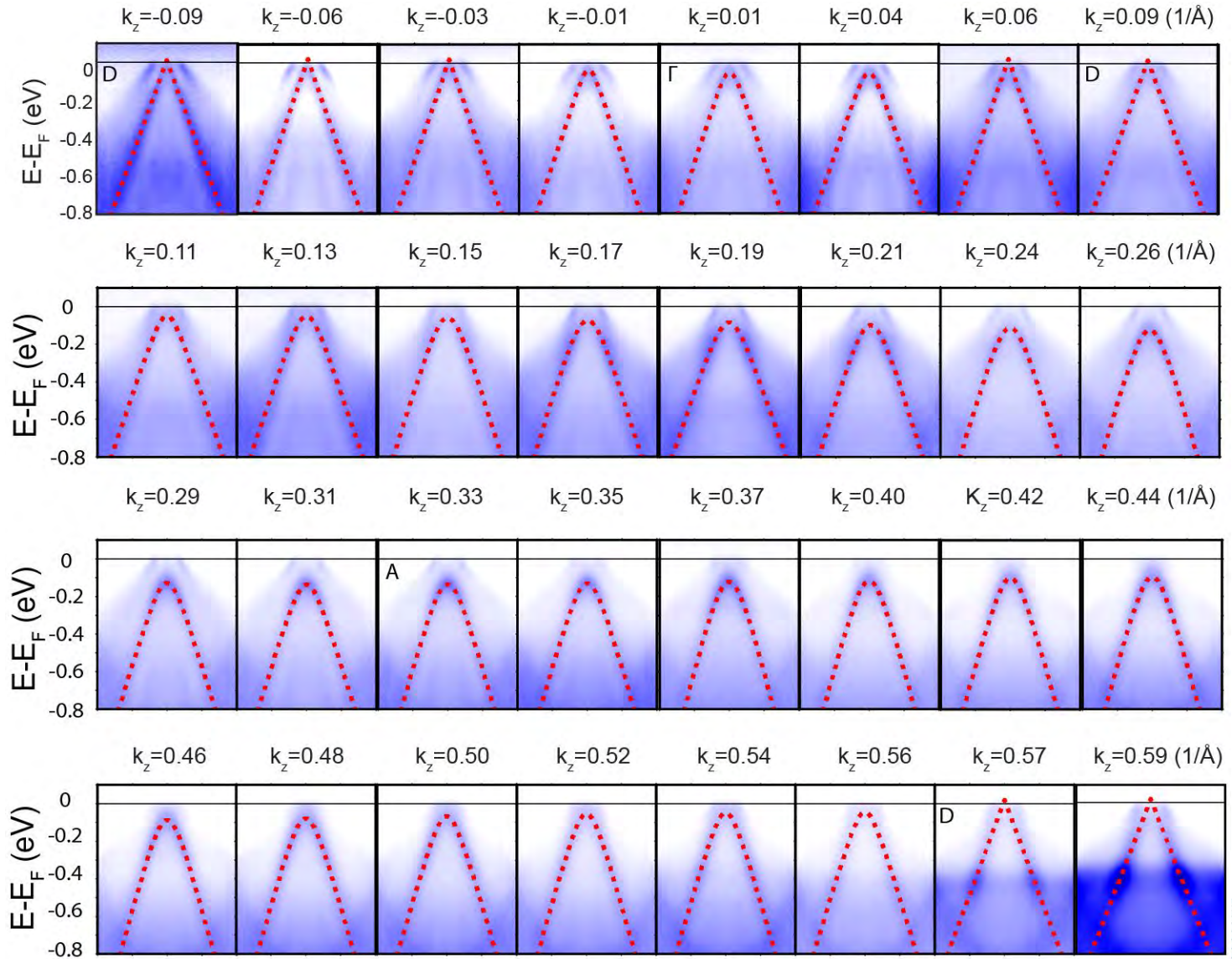
$k_y = 2.39 \text{ eV}\cdot\text{\AA}$ or $3.63 \times 10^5 \text{ m/s}$



Dispersions at different k_z values

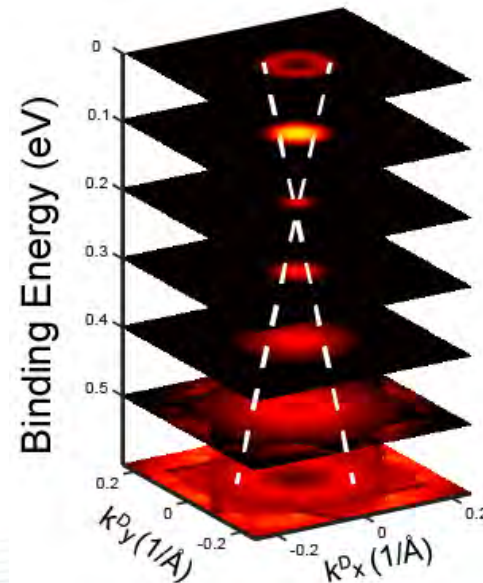
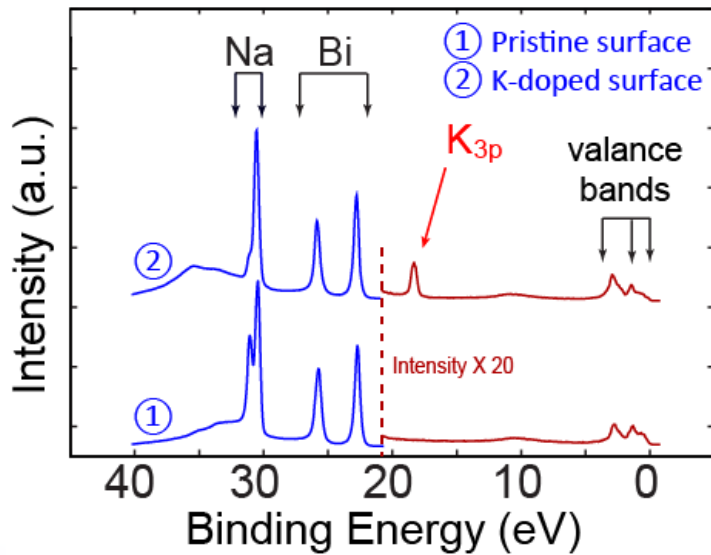
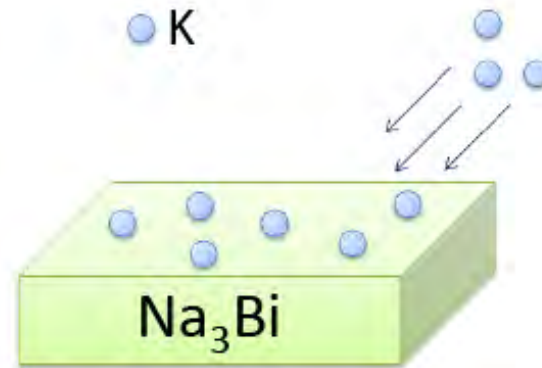


$k_z = 0.6 \text{ eV} \cdot \text{Å}$
or $0.95 \times 10^5 \text{ m/s}$



Observing the Dirac point and upper cone

In-situ K-doping

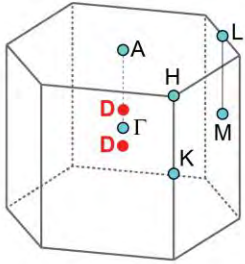


Protection of the crystalline symmetry

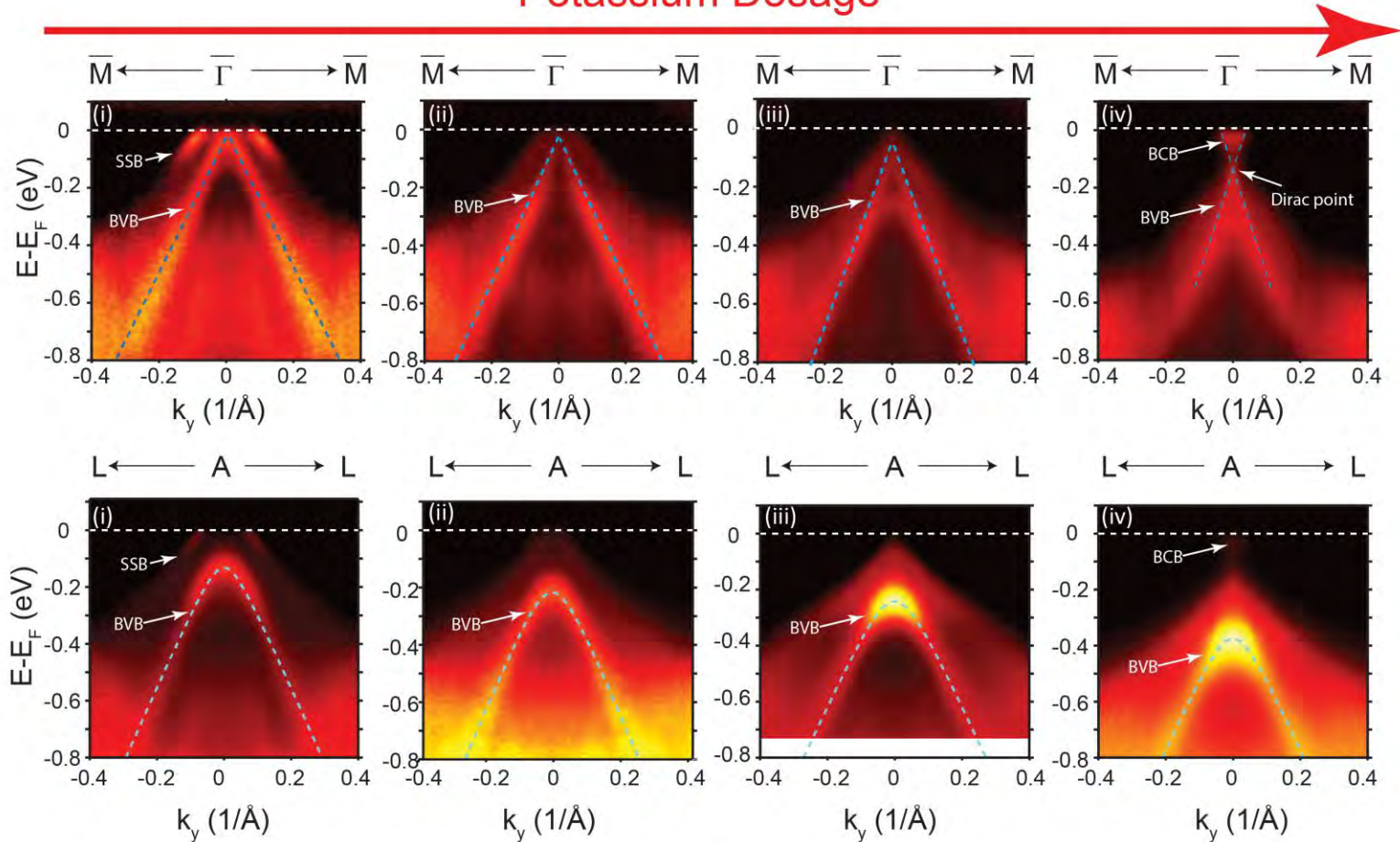
Disrupted surface state but intact bulk

Potassium Dosage

$k_z = -0.09/\text{\AA}$
(Cut across the
bulk Dirac point)

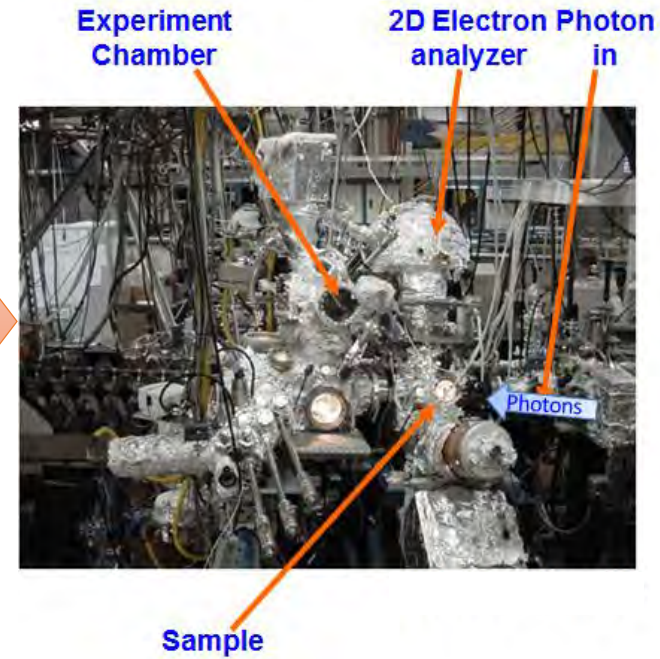


$k_z = 0.327/\text{\AA}$
(Cut across the "A"
point at the top
of the BZ)



The problem of Na_3Bi

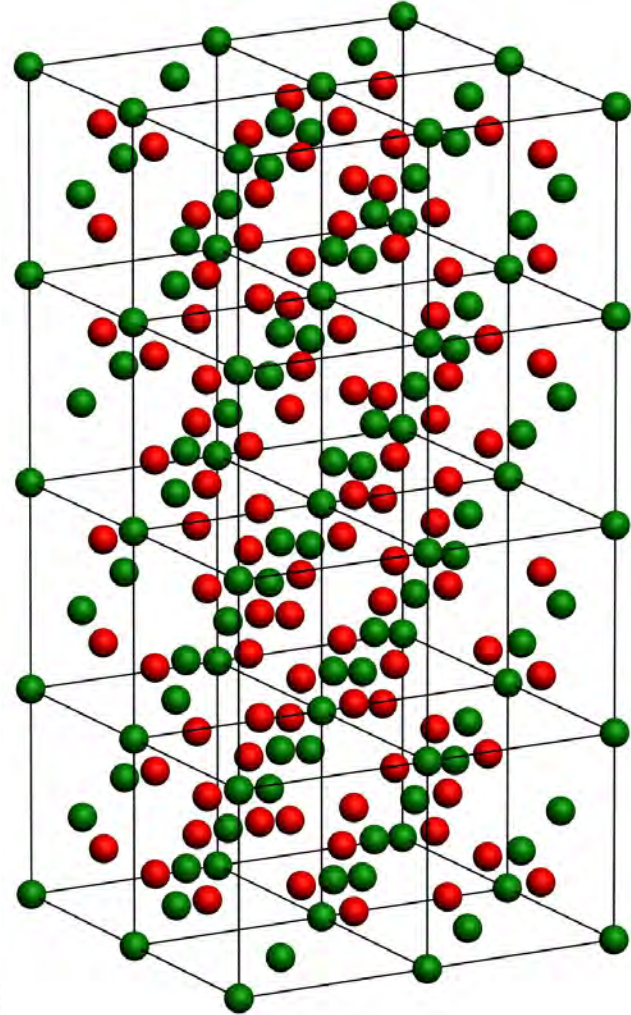
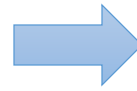
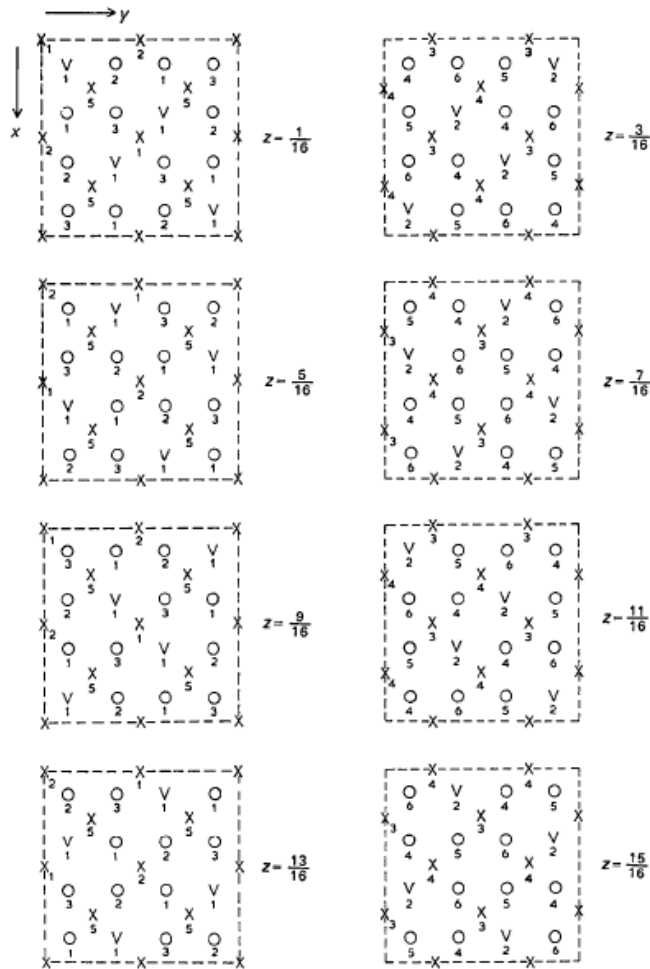
Too reactive in ambient environment ...



Hard to handle and used in functional devices

A stable 3D TDS, Cd_3As_2

Dizzying crystal structure...



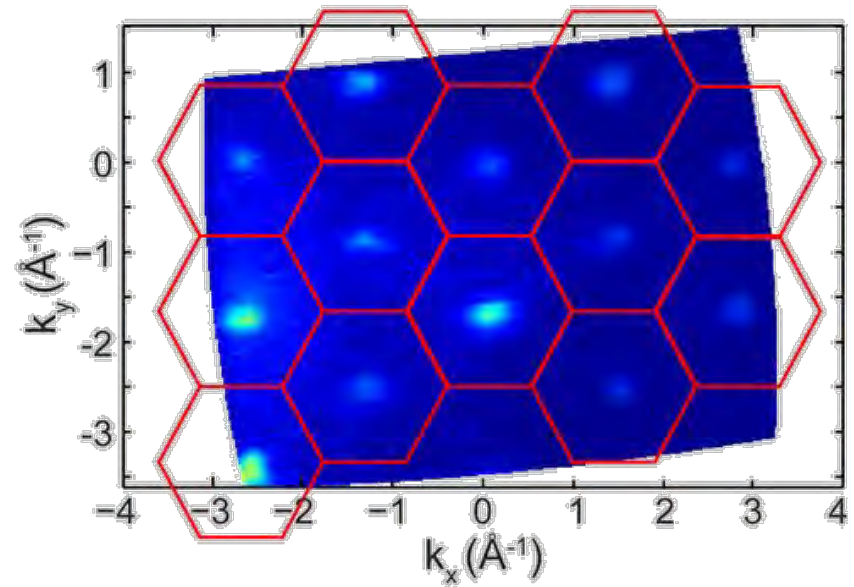
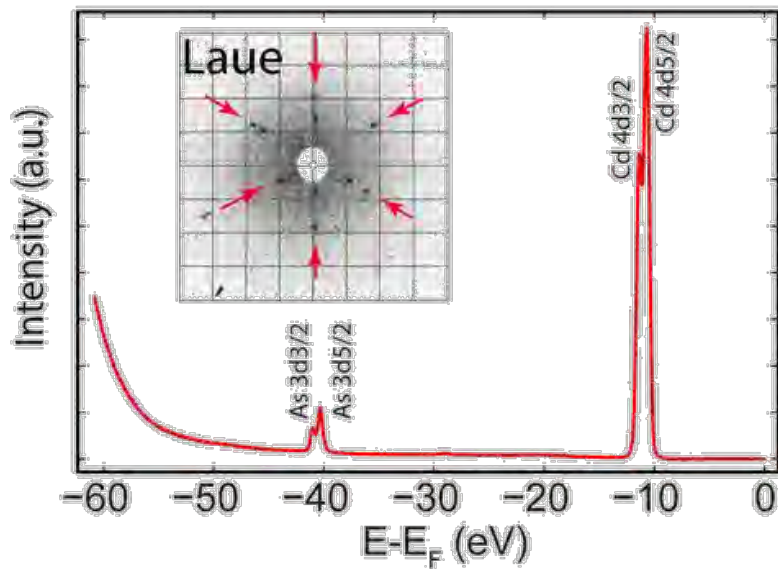
Steigmann and Goodyear

Acta Crystallographica Section B **24**(8): 1062 (1968)

A stable 3D TDS, Cd_3As_2

Z. K. Liu. *et. al.*, Nature Materials, 13, 677 (2014)

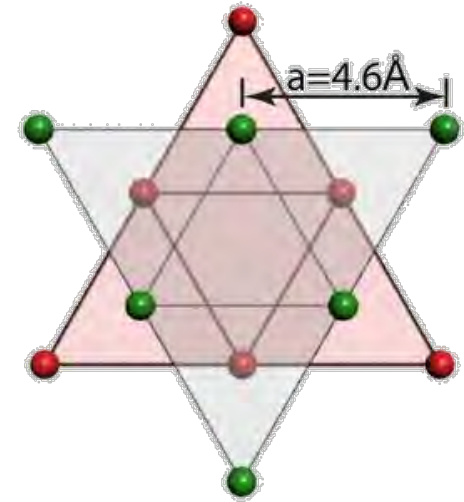
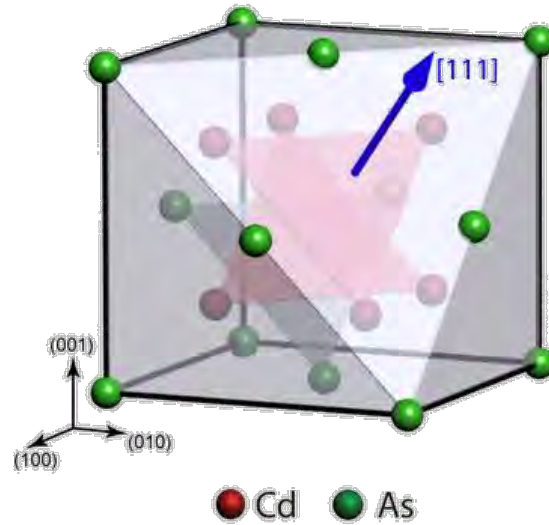
Laue, corelevel and broad FS mapping



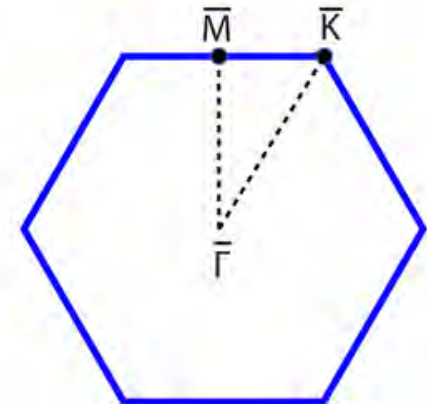
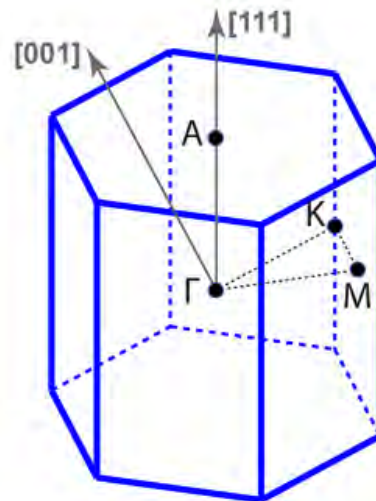
A stable 3D TDS, Cd_3As_2

Z. K. Liu. *et. al.*, Nature Materials, 13, 677 (2014)

**Crystal
structure**



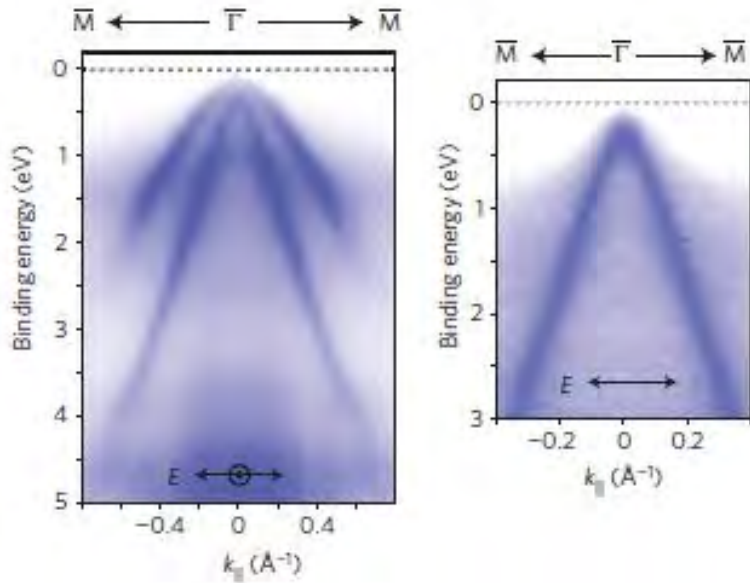
**Brillouin
Zone**



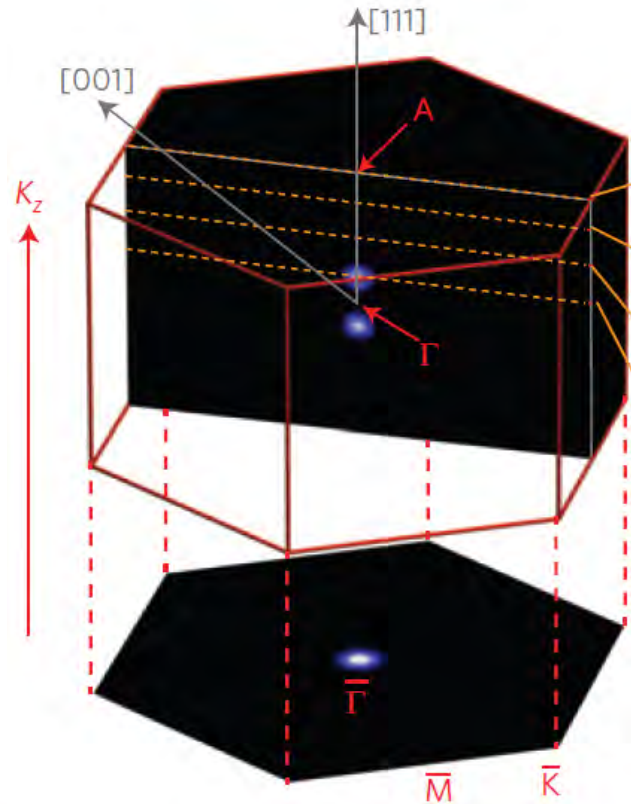
A stable 3D TDS, Cd_3As_2

Z. K. Liu. *et. al.*, Nature Materials, 13, 677 (2014)

**Bands at
different geometry**

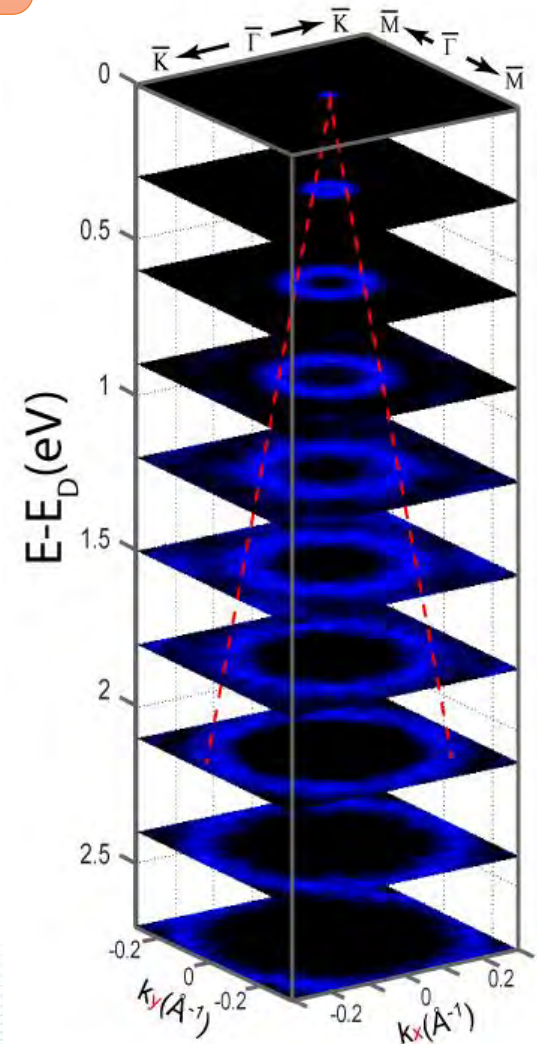
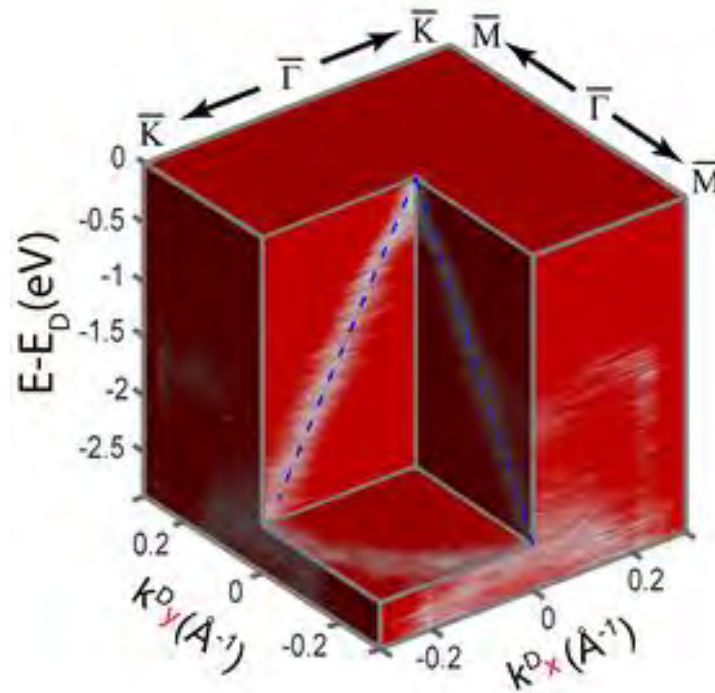
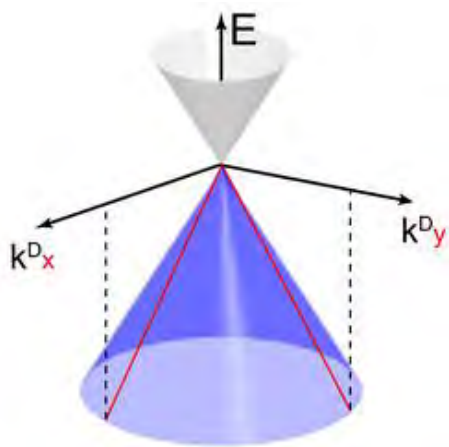


3D Fermi-Surface



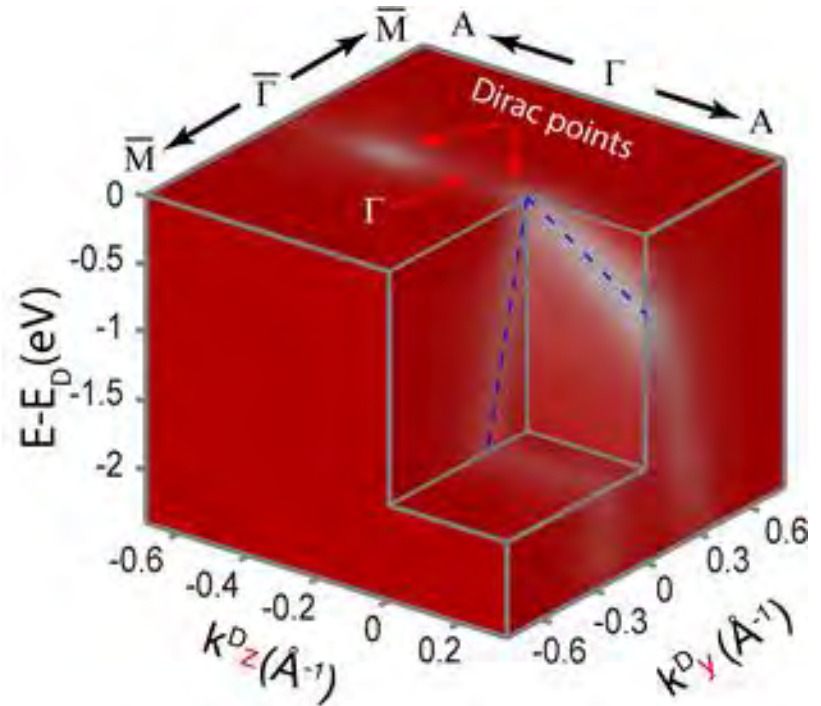
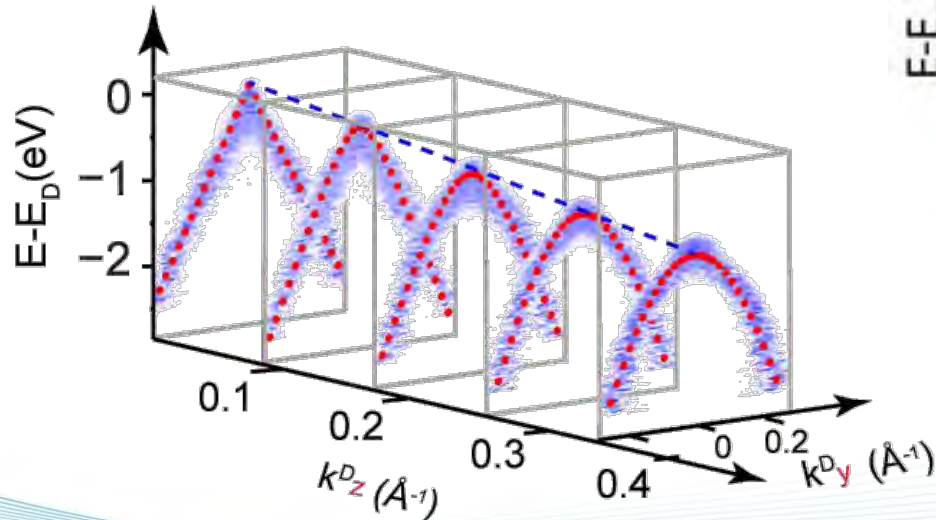
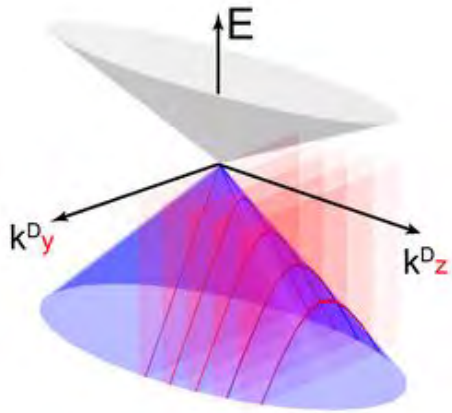
A stable 3D TDS, Cd_3As_2

Projection to (k_x, k_y, E)



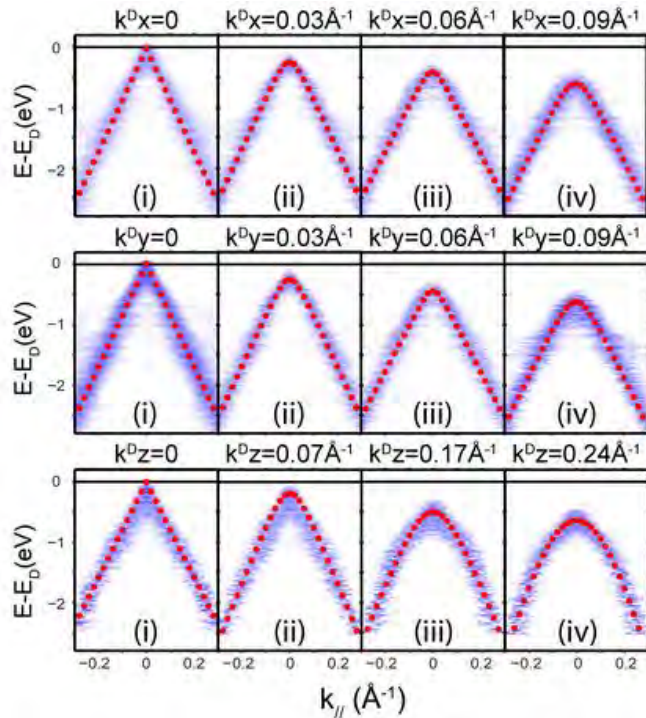
A stable 3D TDS, Cd_3As_2

Projection to (k_y, k_z, E)



A stable 3D TDS, Cd_3As_2

Valence band

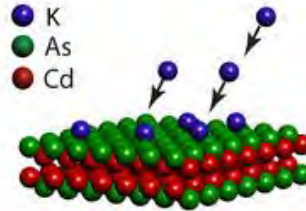


$$V_x = 8.47 \text{ eV}\cdot\text{\AA} \text{ or } 1.28 \times 10^6 \text{ m/s}$$

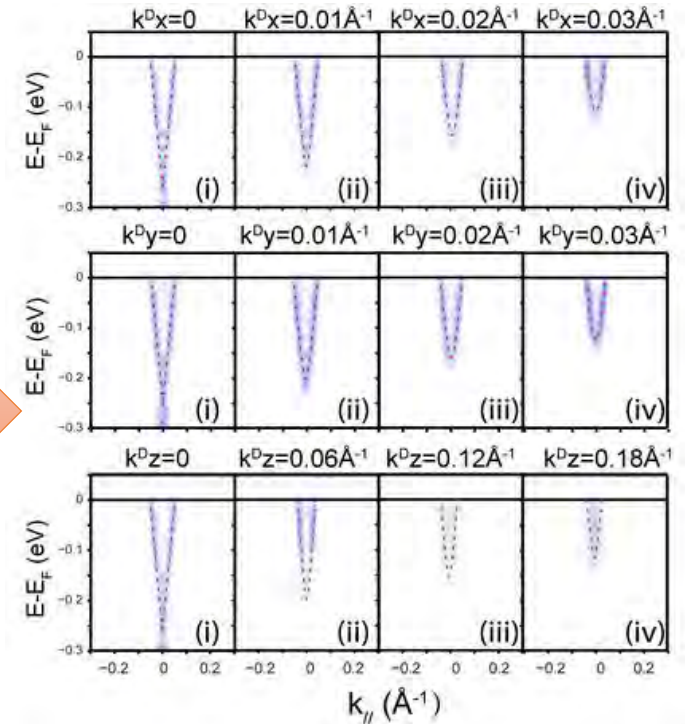
$$V_y = 8.56 \text{ eV}\cdot\text{\AA} \text{ or } 1.3 \times 10^6 \text{ m/s}$$

$$V_z = 2.16 \text{ eV}\cdot\text{\AA} \text{ or } 3.27 \times 10^5 \text{ m/s}$$

In-situ K-doping



Conduction band

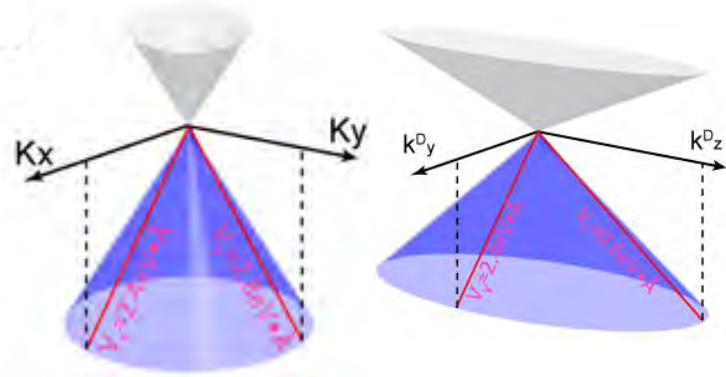


$$V_x = 5 \text{ eV}\cdot\text{\AA} \text{ or } 7.55 \times 10^5 \text{ m/s}$$

$$V_y = 5.1 \text{ eV}\cdot\text{\AA} \text{ or } 7.7 \times 10^5 \text{ m/s}$$

$$V_z = 1.5 \text{ eV}\cdot\text{\AA} \text{ or } 2.27 \times 10^5 \text{ m/s}$$

Parameter Extraction



- Discovery of a three-dimensional Dirac Fermion in Three-dimensional Dirac semimetal Na_3Bi and Cd_3As_2
- Stable objects, protected by topology

Fermi Velocity Comparison:

Na_3Bi : $V_x=4.17\times 10^5\text{m/s}$, $V_y=3.63\times 10^5\text{m/s}$, and $V_z=0.95\times 10^5\text{m/s}$;

Cd_3As_2 : $V_x=1.28\times 10^6\text{m/s}$, $V_y=1.3\times 10^6\text{m/s}$, and $V_z=3.27\times 10^5\text{m/s}$;

Mobility $> 4\times 10^4 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ (T. Liang et al., Nat Mater 2014)

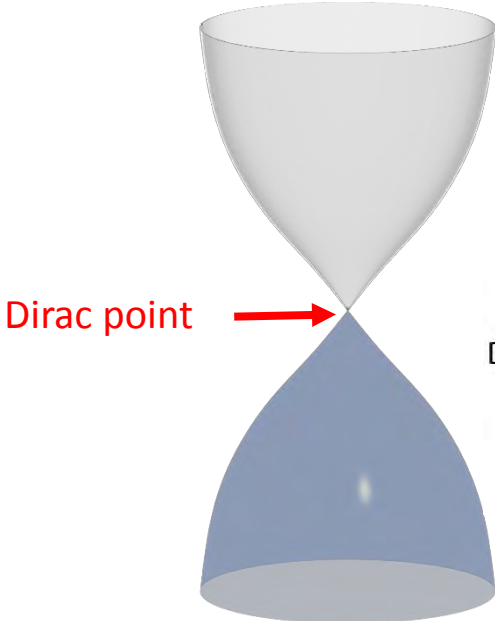
Bi_2Te_3 : $V_{\text{surface}}=4\times 10^5\text{m/s}$;

Graphene: $V_{\text{Dirac}}=1.1\times 10^6\text{m/s}$;

Mobility $> 1.5\times 10^4 \text{ cm}^2\text{V}^{-1}\text{s}^{-1}$ (Geim, A. K. & Novoselov, K. S. Nat Mater 2007)

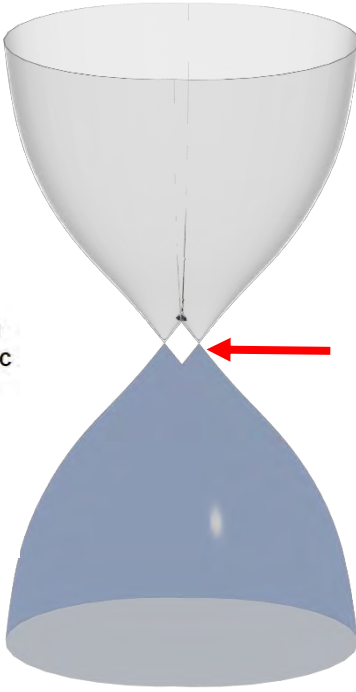
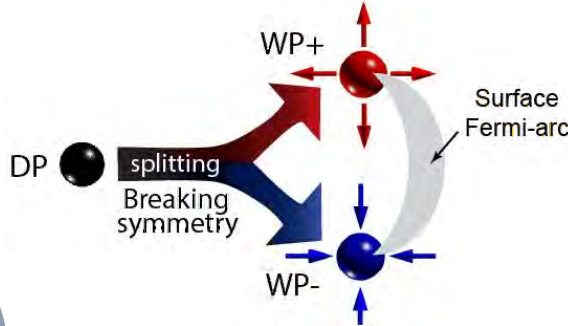
High mobility & large amount of bulk electrons make TDS a promising material for electronic applications.

From Dirac to Weyl Semimetal



Topological Dirac Semimetal

Electron as Dirac Fermions



Topological Weyl Semimetal

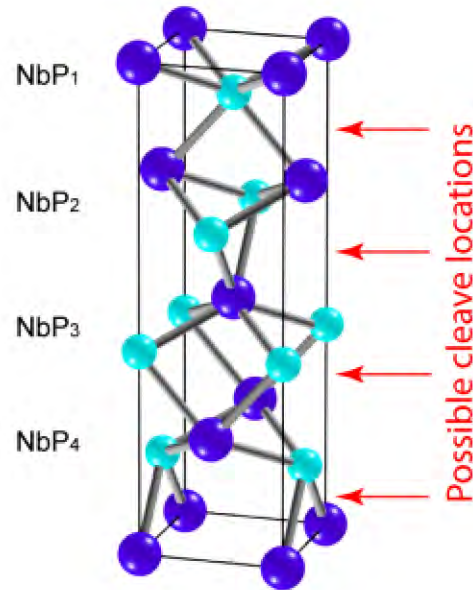
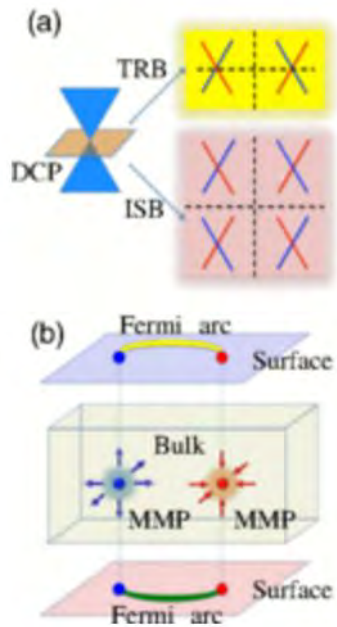
Electron as Weyl Fermions:
 $\frac{1}{2}$ of the Dirac Fermion

Model Materials:

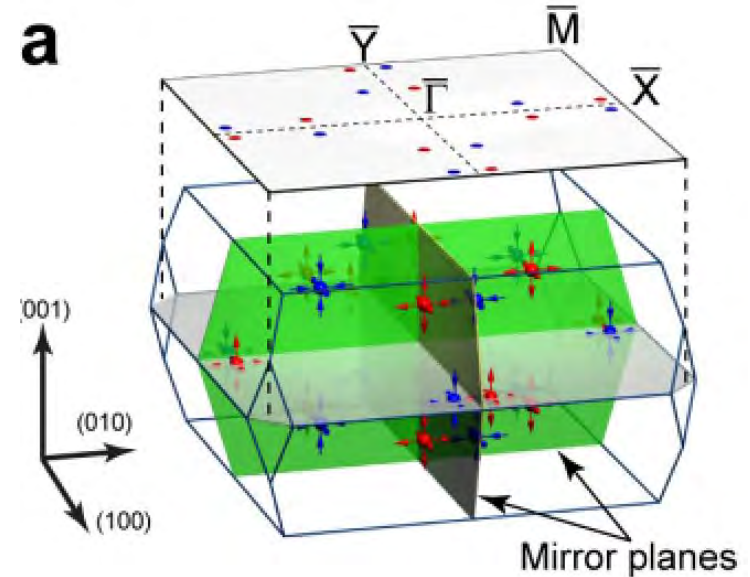
Time reversal symmetry breaking: HgCr_2Se_4 , $\text{Y}_2\text{Ir}_2\text{O}_7$, XCO_2Z , VCo_2Ga ...

Inversion symmetry breaking: Ta(Nb)As(P) , SrSi_2 ...

TWS in Inversion symmetry breaking transition metal monophosphide family



(BCT)

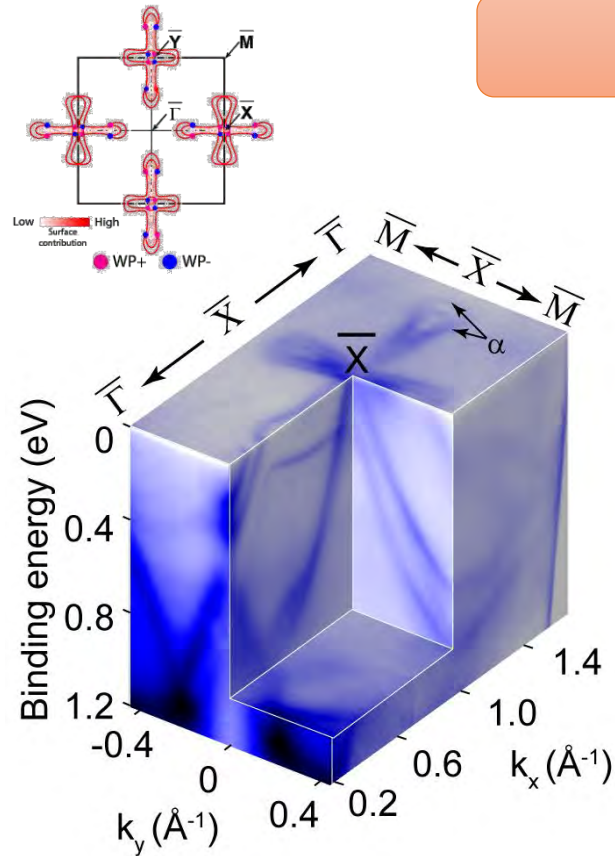


ARPES:

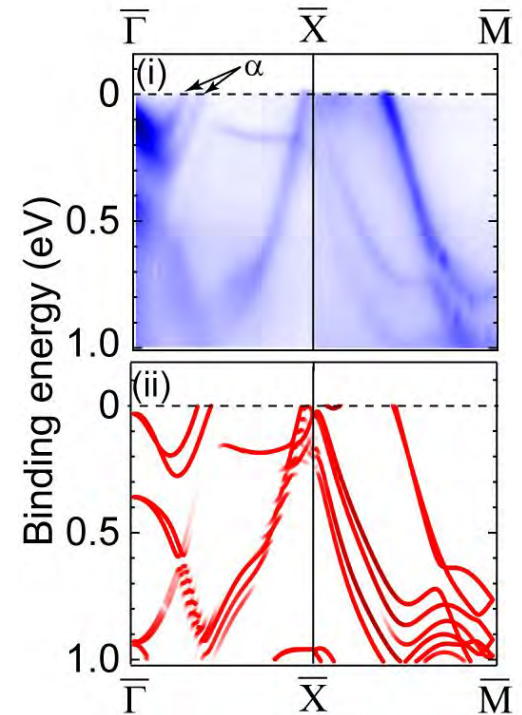
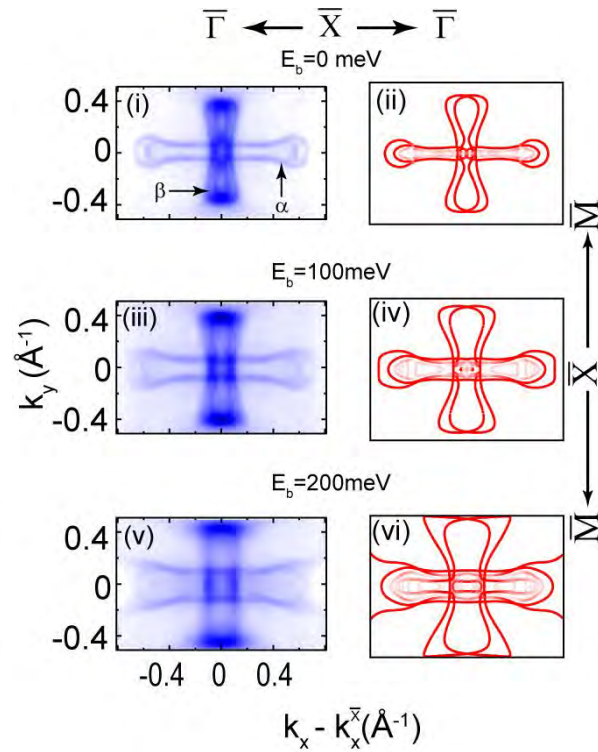
- B. Q. Lv, et al., Phys. Rev. X 5, 031013 (2015)
- B. Q. Lv, et al., Nature Physics 11, 724–727 (2015)
- B. Q. Lv, et al., Phys. Rev. Lett. 115, 217601 (2015)
- S.-Y. Xu, et al., Science 349, 613 (2015).
- S.-M. Huang, et al., Nature Commun. 6:7373 (2015).
- S.-Y. Xu, et al., Nature Physics 11, 748 (2015).
- S.-Y. Xu, et al., Phys. Rev. Lett. 116, 096801 (2016)

3D Topological Weyl Semimetal TaAs

L. X. Yang, et. al., *Nature Physics*, 11, 728 (2015)



Fermi-surface & band structures

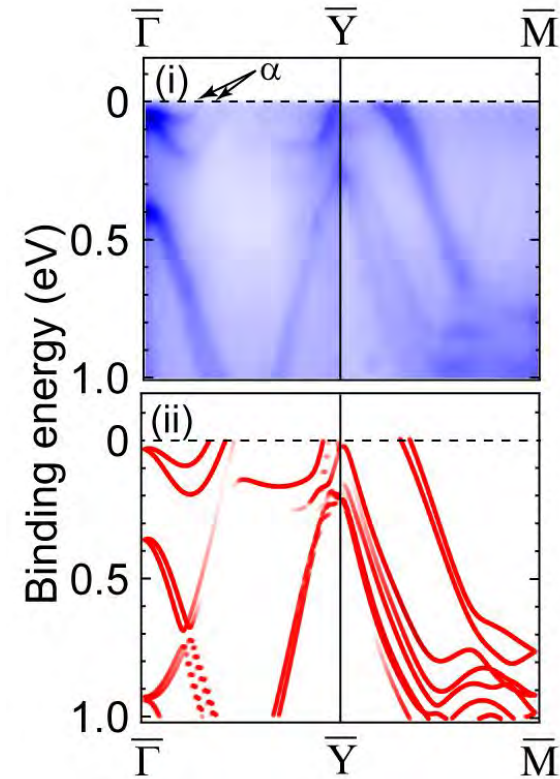
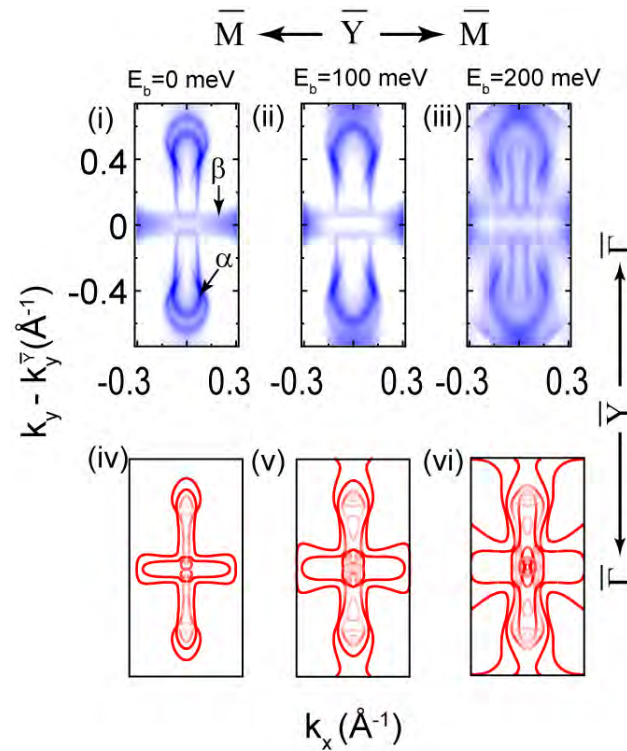
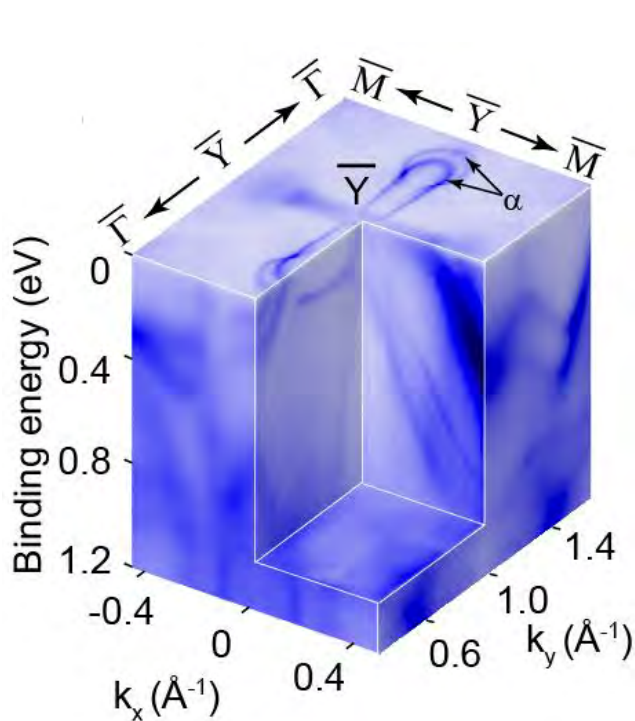


Low Intensity (a.u.) High Intensity (a.u.) Low Surface contribution High Surface contribution

3D Topological Weyl Semimetal TaAs

L. X. Yang, et. al., *Nature Physics*, 11, 728 (2015)

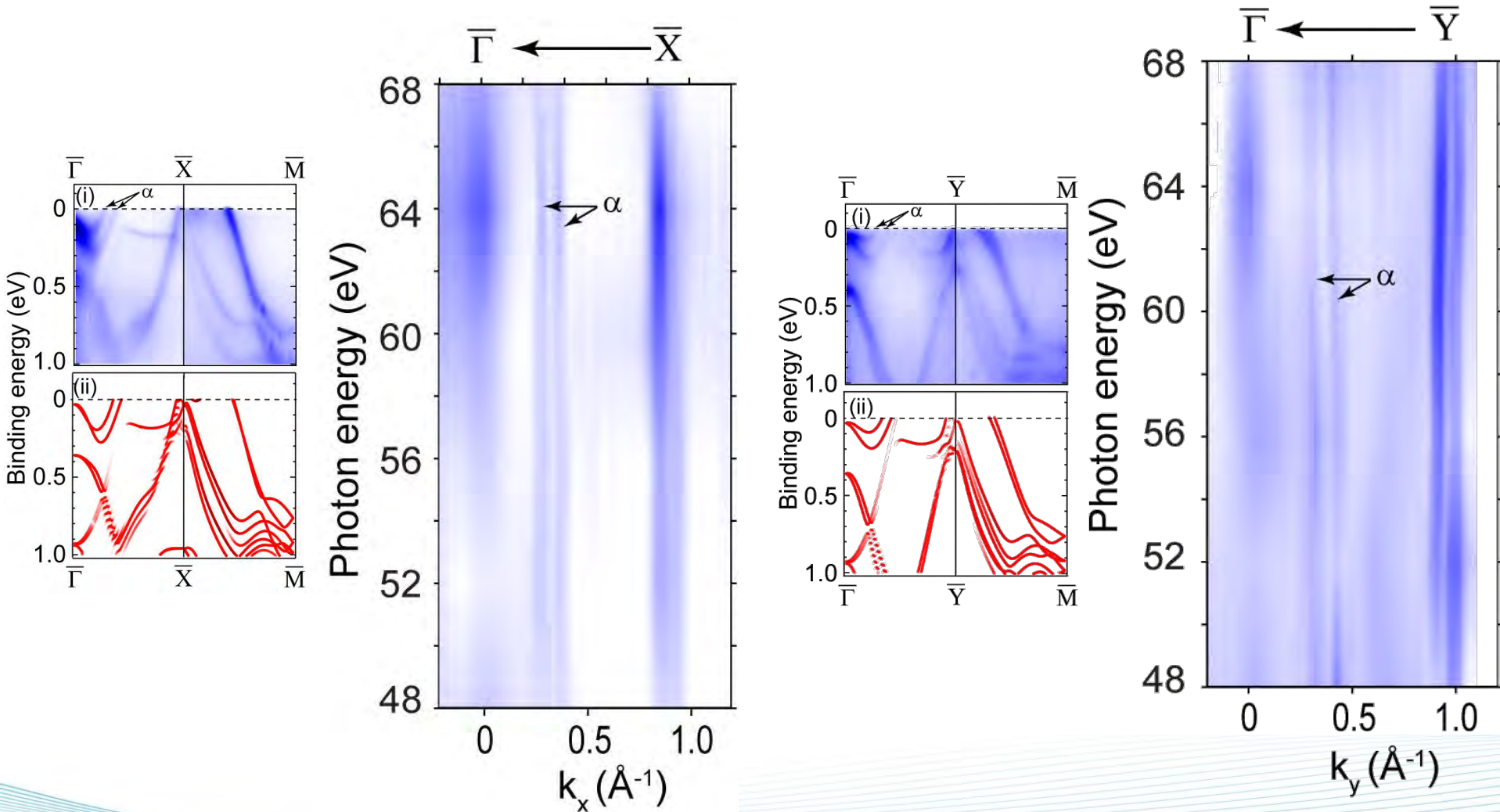
Rich texture of the band structure



Low High Intensity (a.u.)
Low High Surface contribution

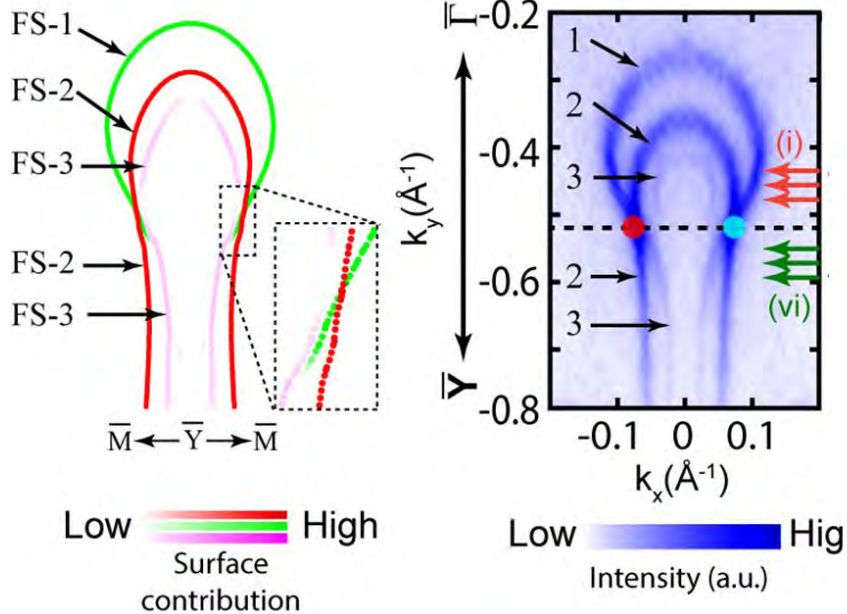
3D Topological Weyl Semimetal TaAs

Surface or bulk state?

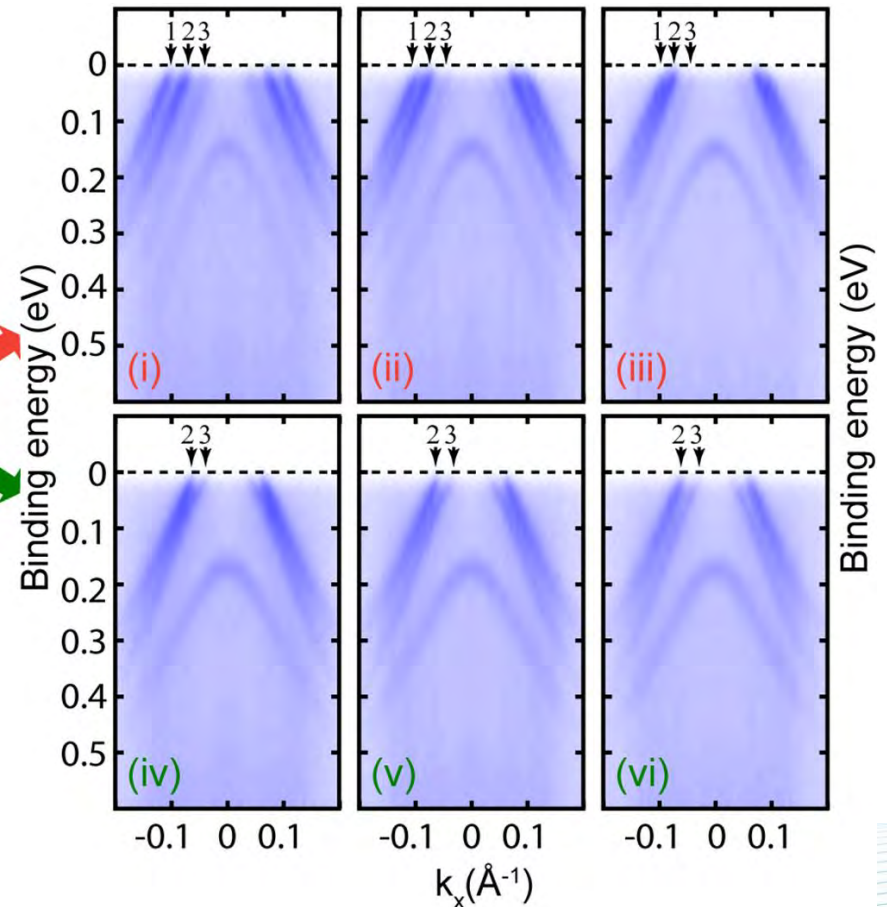


3D Topological Weyl Semimetal TaAs

Identify the Fermi-arcs



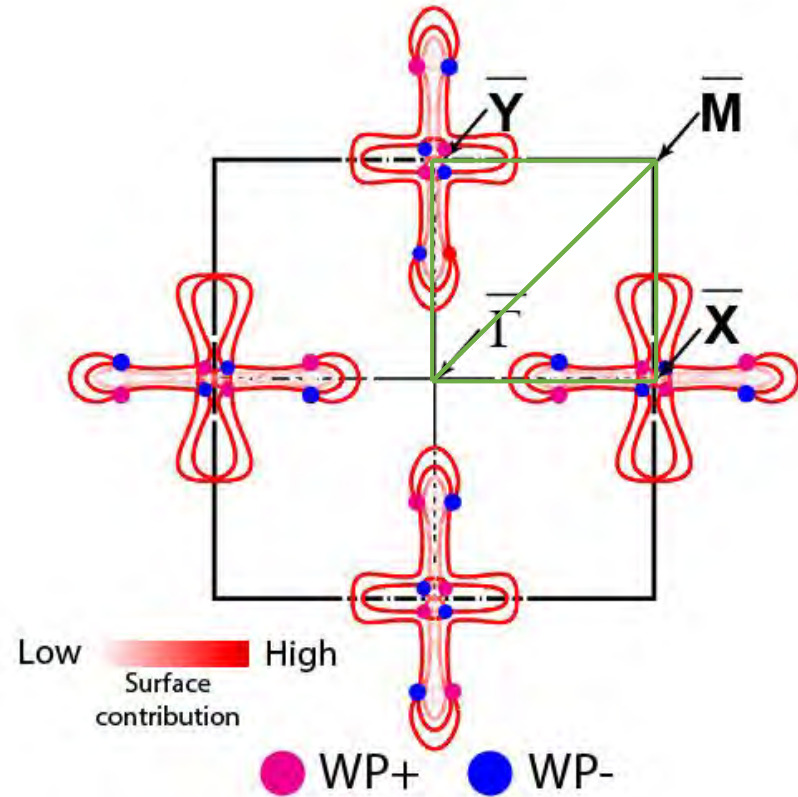
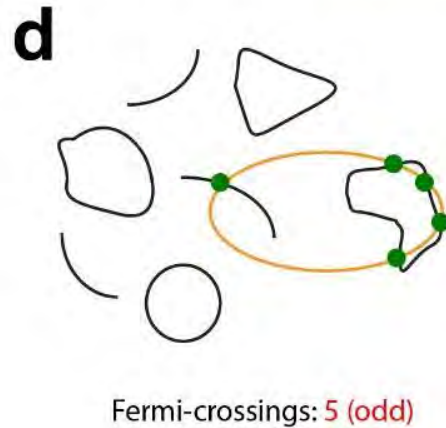
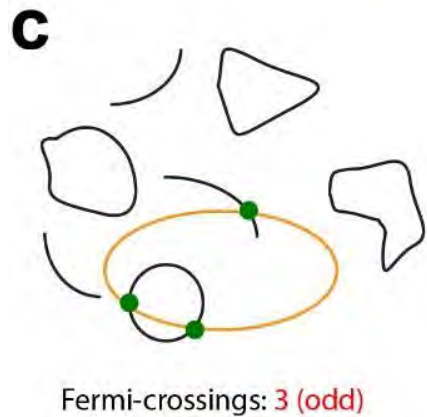
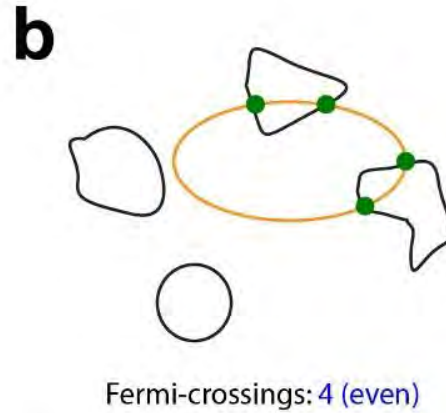
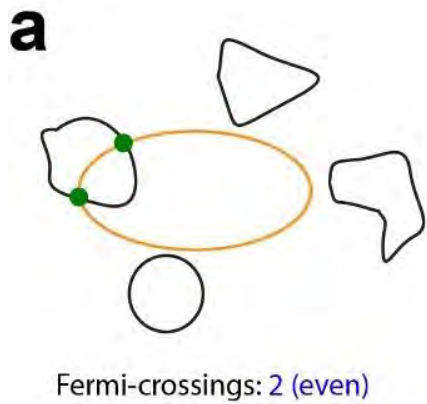
● WP+ ● WP-



3D Topological Weyl Semimetal TaAs

L. X. Yang, et. al., *Nature Physics*, 11, 728 (2015)

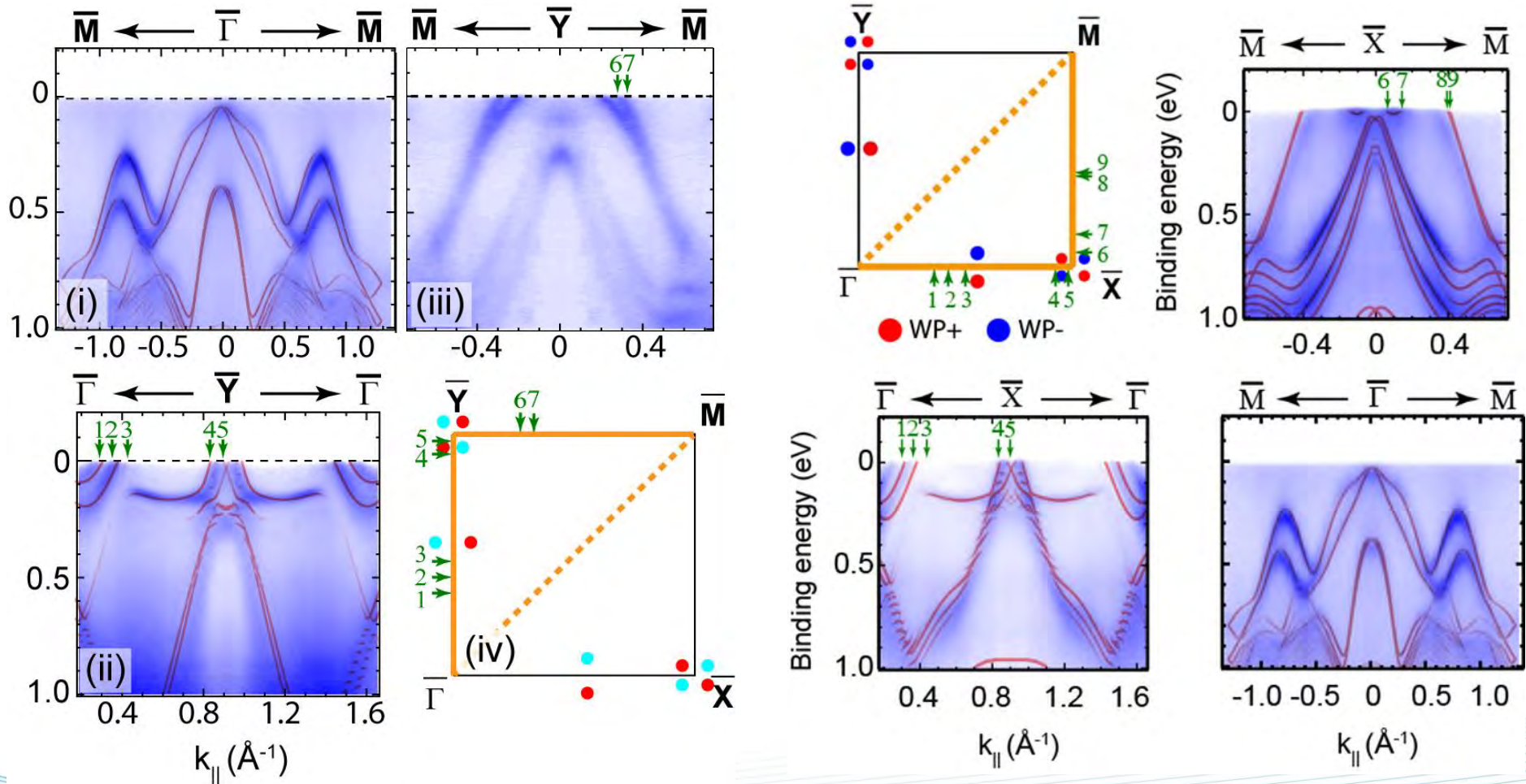
Other Method to identify Fermi-arcs?



3D Topological Weyl Semimetal TaAs

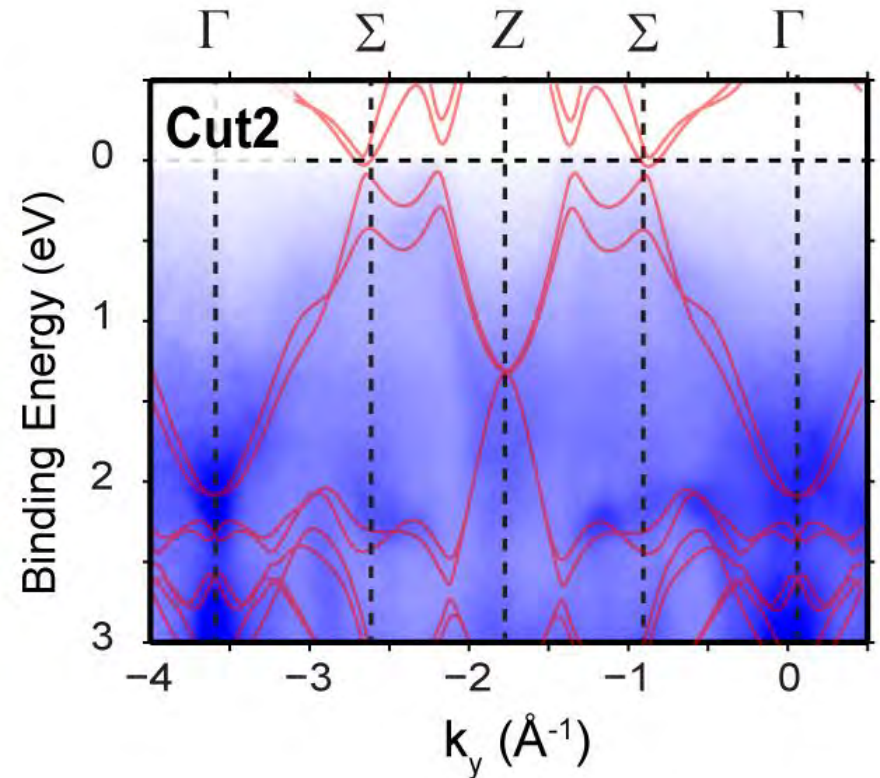
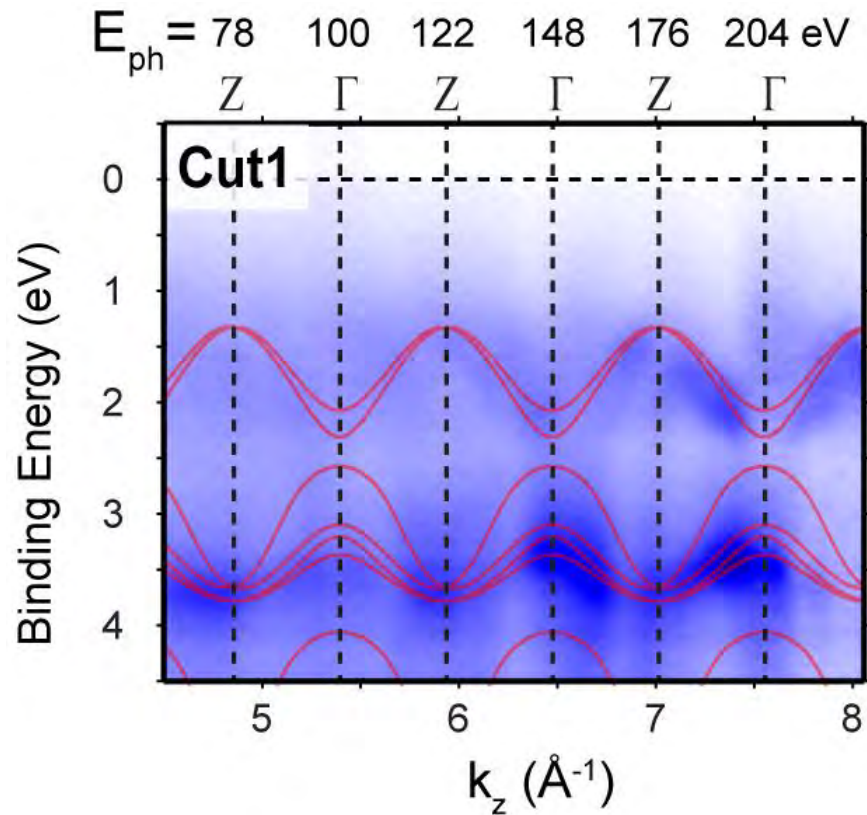
L. X. Yang, et. al., *Nature Physics*, 11, 728 (2015)

Fermi-crossings from two closed loops



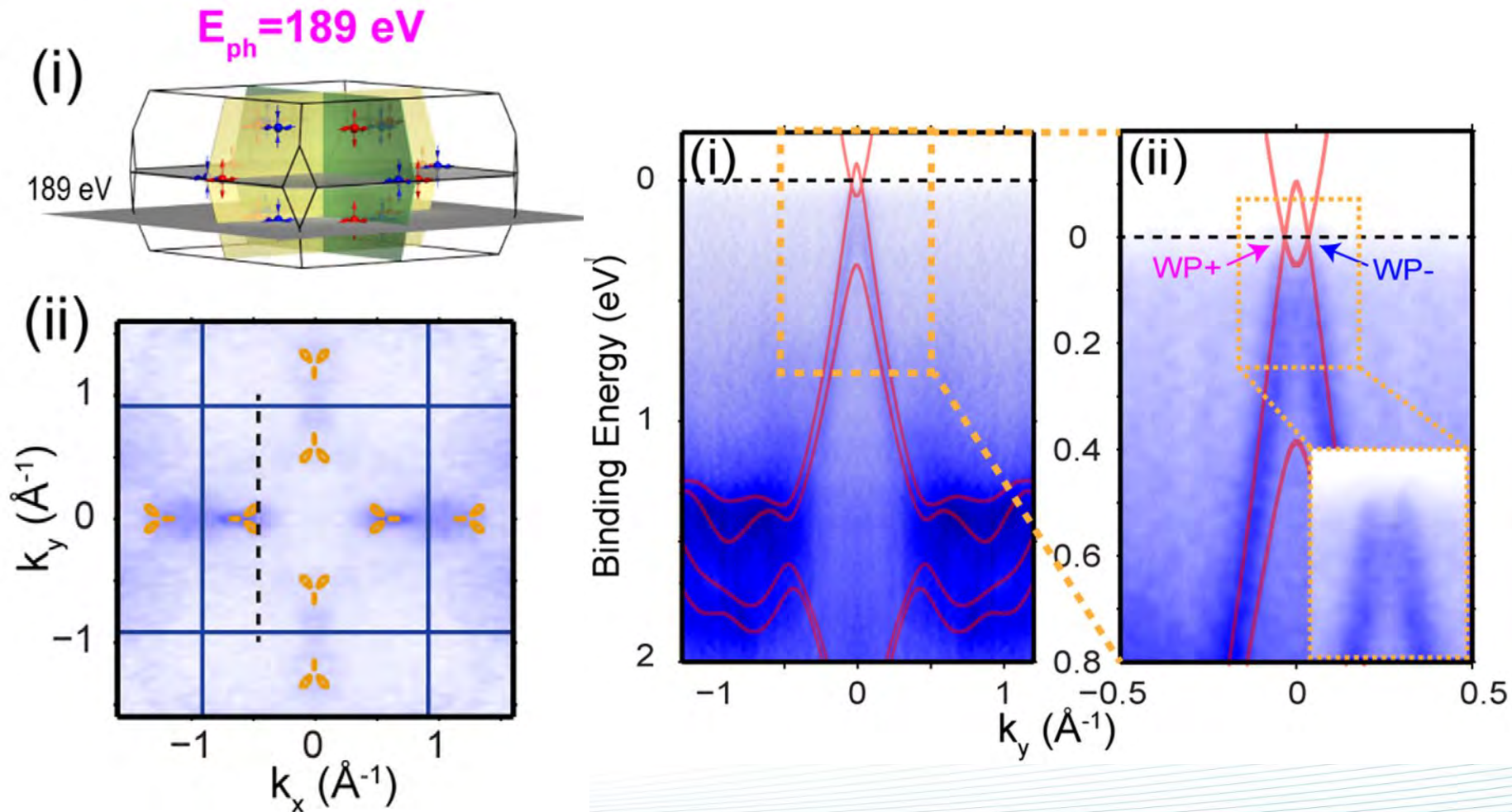
3D Topological Weyl Semimetal TaAs

Dispersions along k_z direction



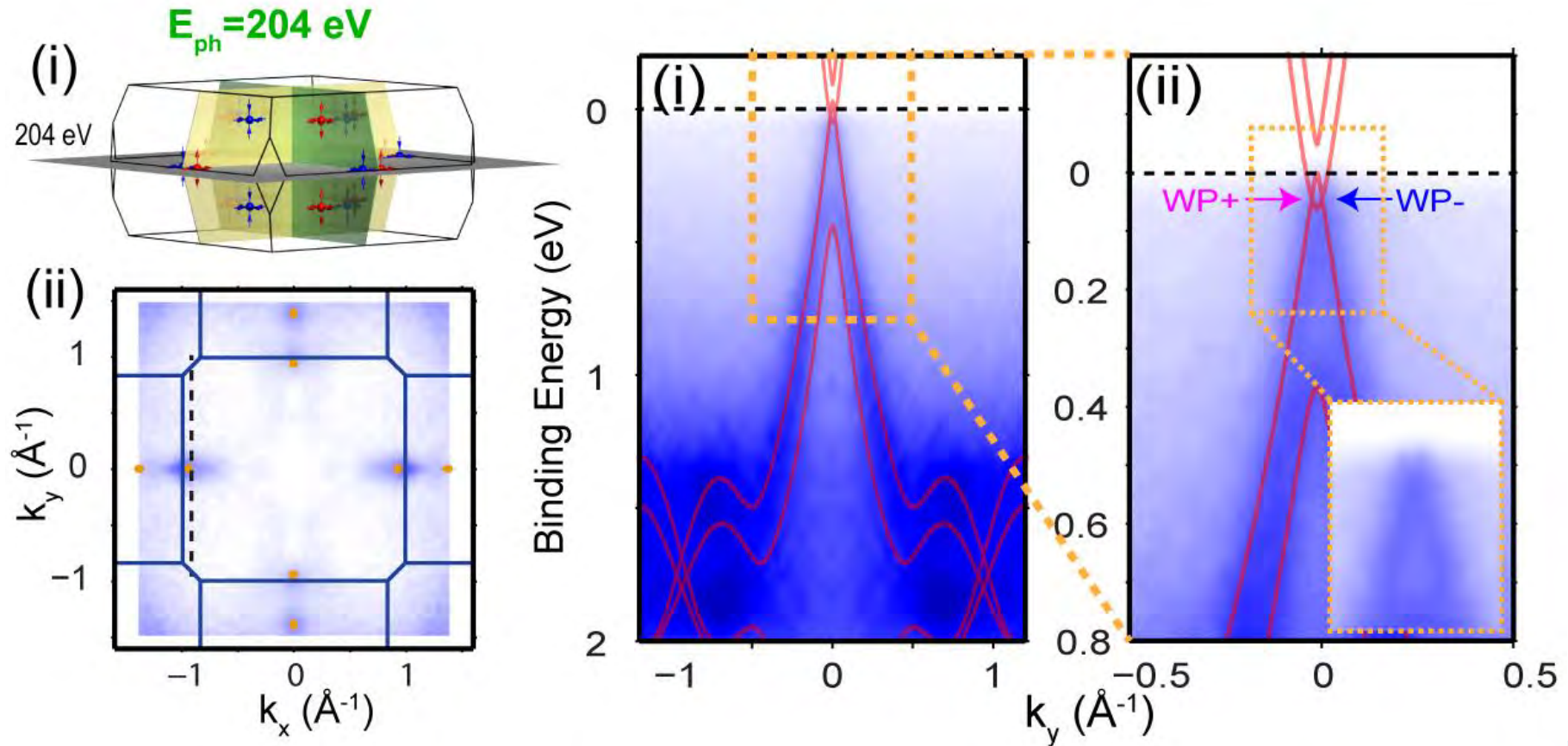
3D Topological Weyl Semimetal TaAs

Bulk dispersions near the Weyl points



3D Topological Weyl Semimetal TaAs

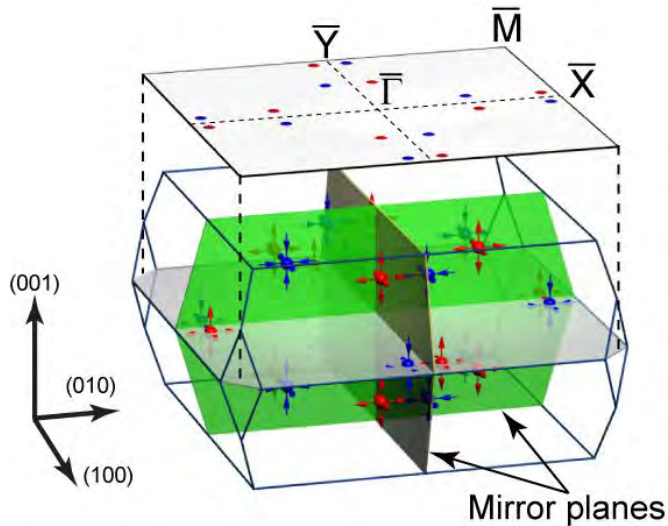
Bulk dispersions near the Weyl points



Fermiology Evolution of Weyl Semimetals

Z. K. Liu, *et. al.*, *Nature Materials*, 15, 27 (2016)

Evolution of band structure of different compounds



NbP

WP+



WP+

TaP

WP+



WP+

TaAs

WP+



WP+

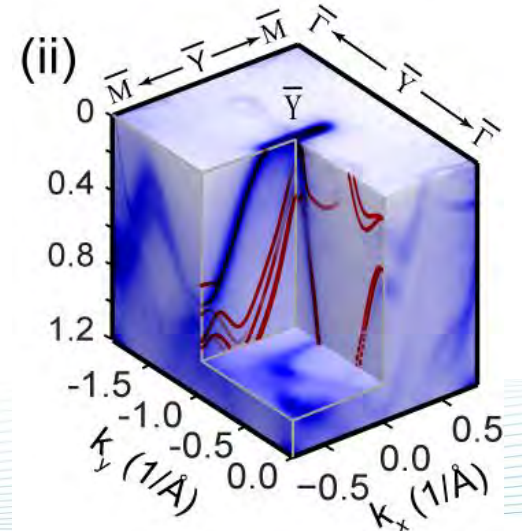
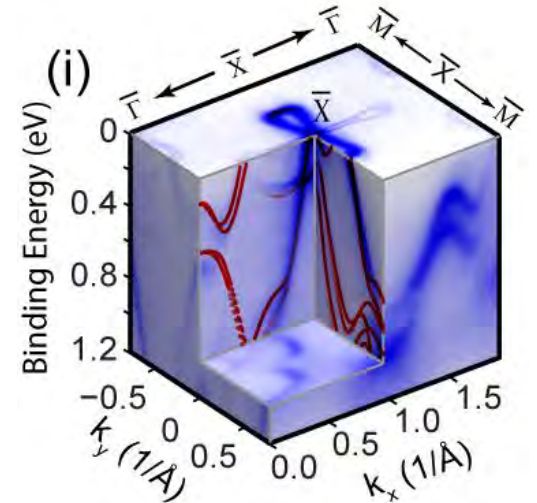
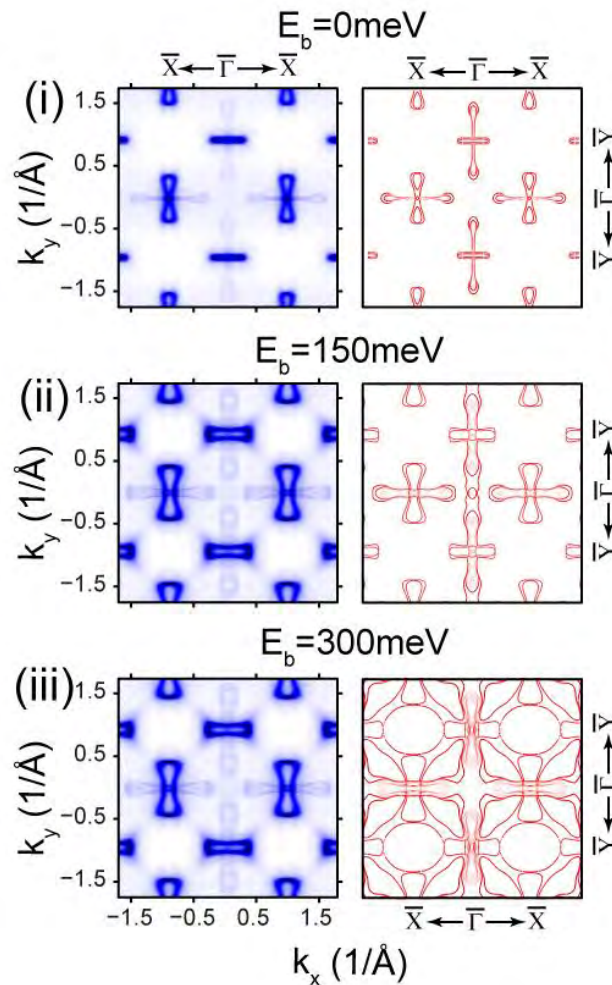
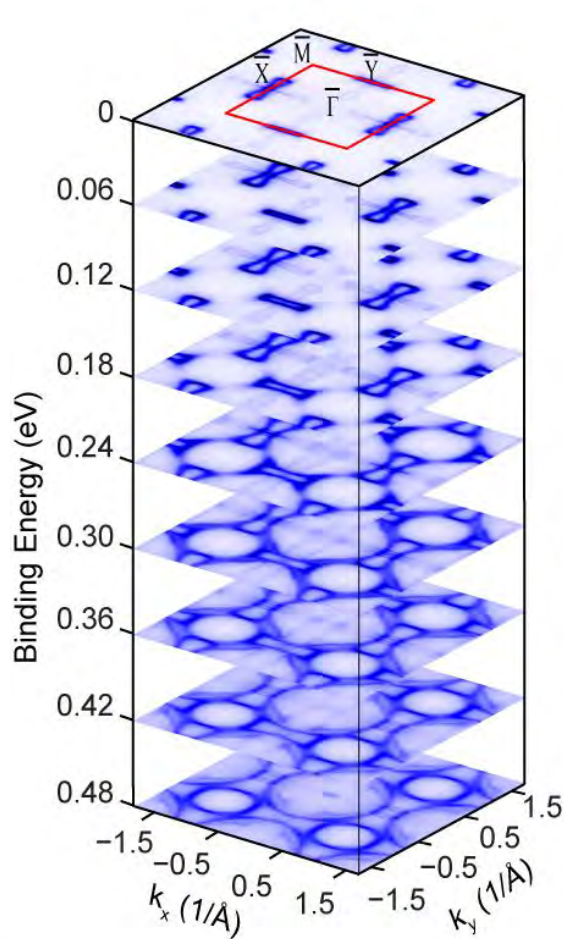
Increasing SOC



Fermiology Evolution of Weyl Semimetals

Z. K. Liu, *et. al.*, *Nature Materials*, 15, 27 (2016)

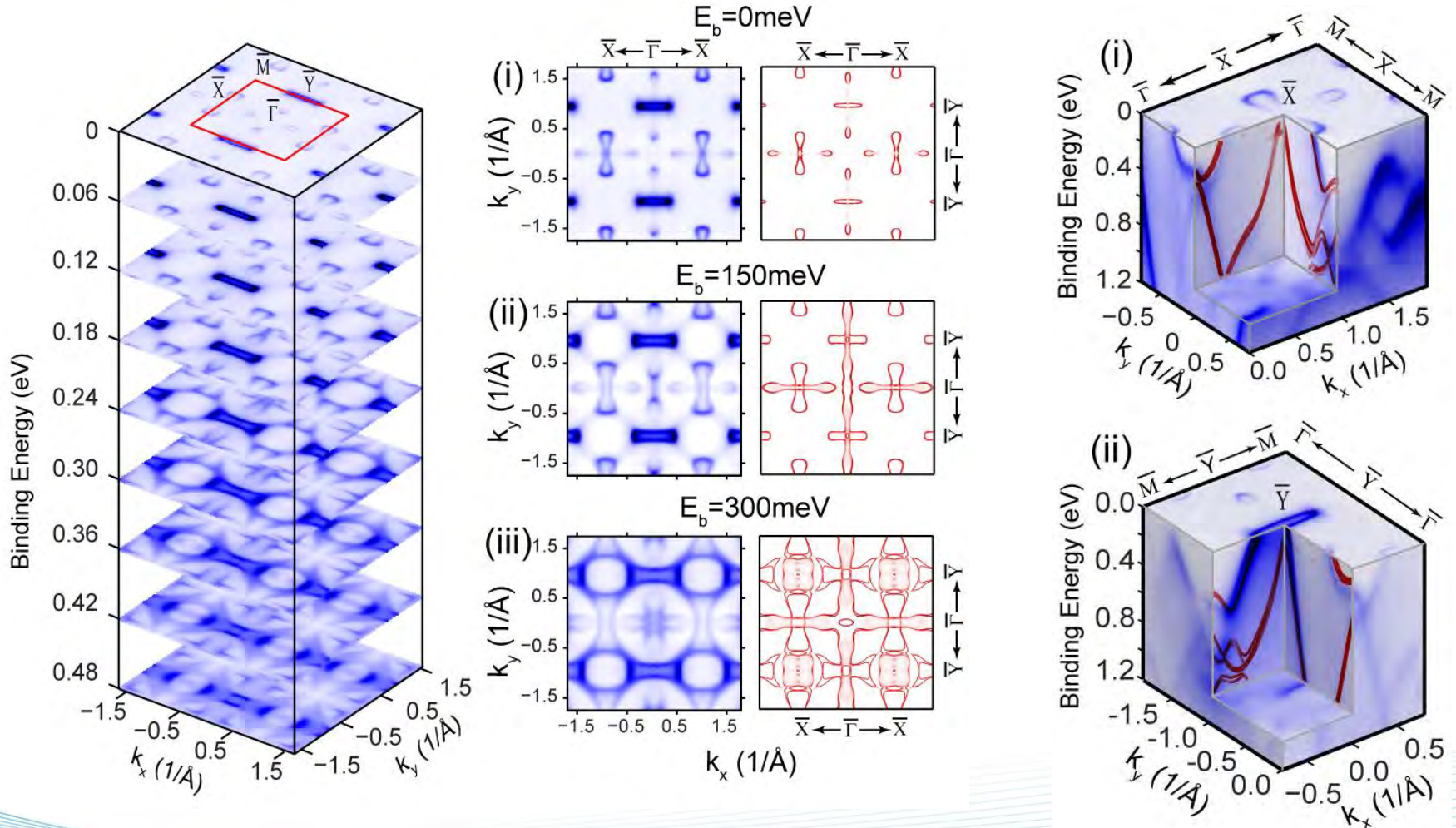
Rich texture of the band structure of TaP



Fermiology Evolution of Weyl Semimetals

Z. K. Liu, *et. al.*, *Nature Materials*, 15, 27 (2016)

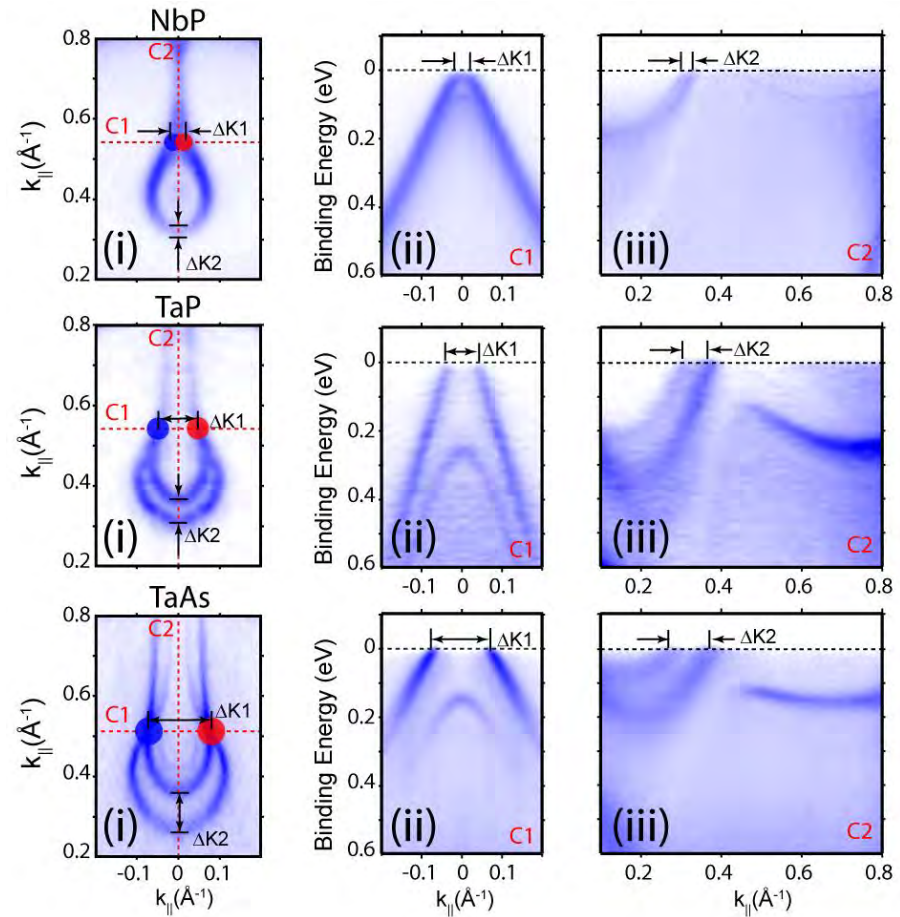
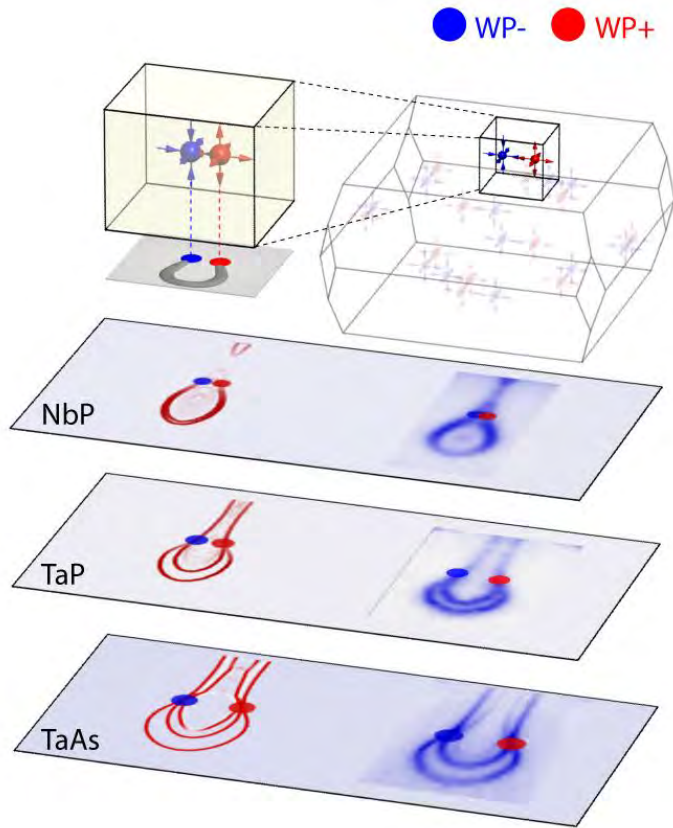
Rich texture of the band structure of NbP



Fermiology Evolution of Weyl Semimetals

Z. K. Liu, *et. al.*, *Nature Materials*, 15, 27 (2016)

Evolution of band structures with SOC

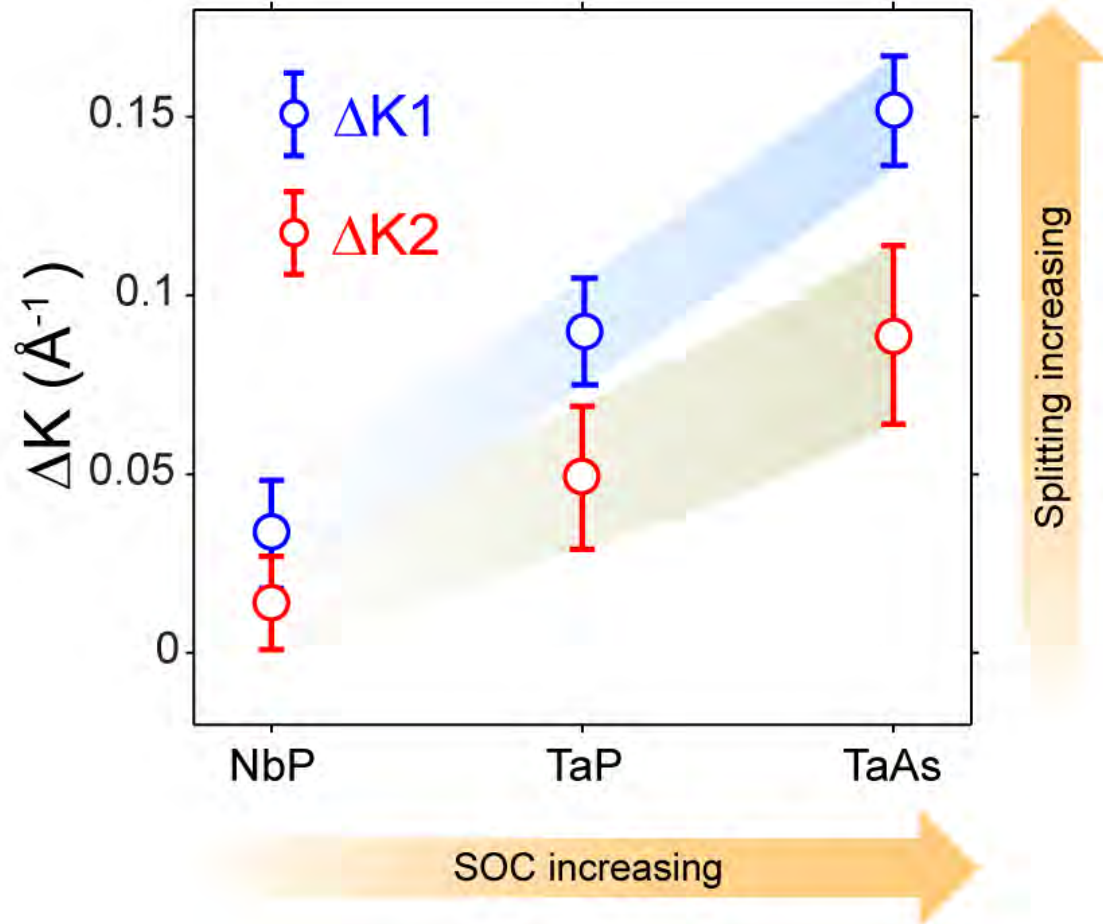
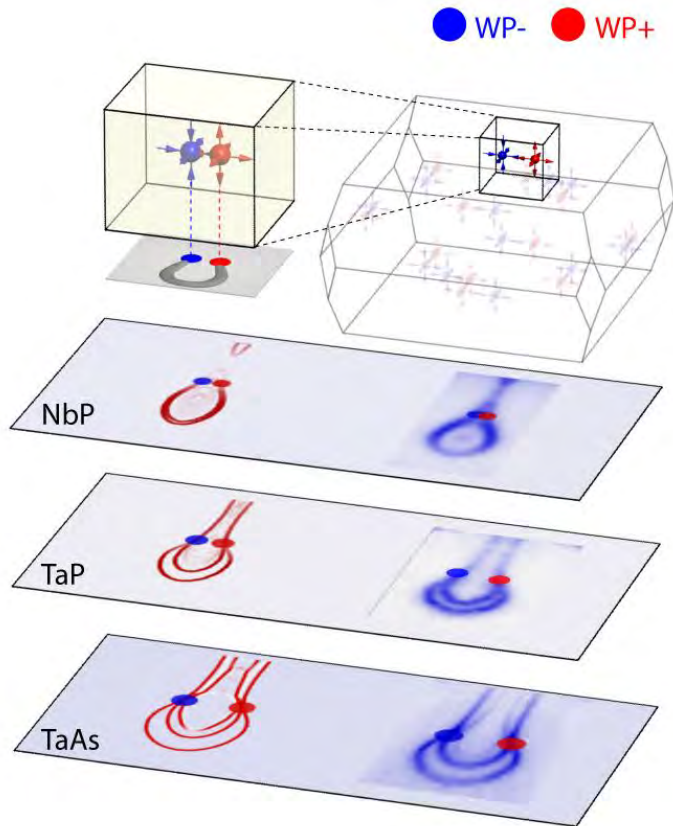


SOC increasing

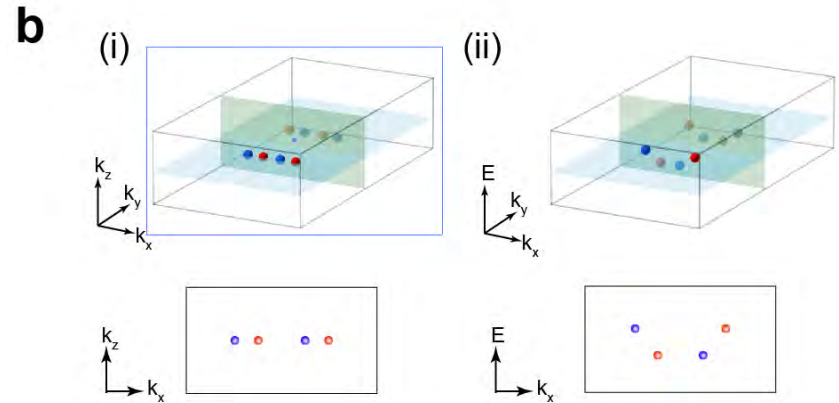
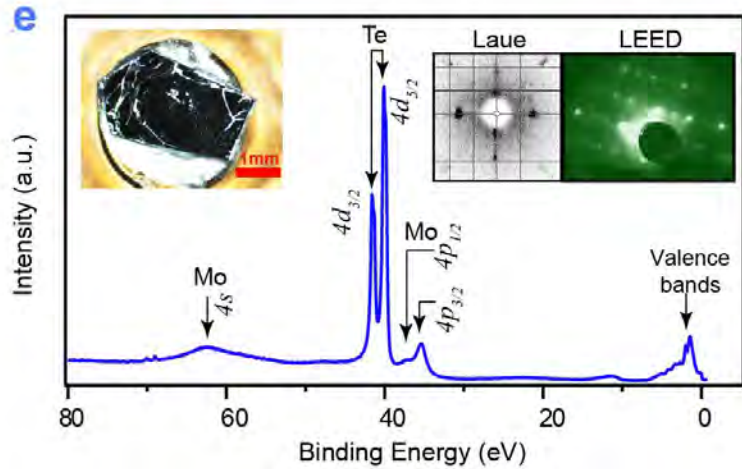
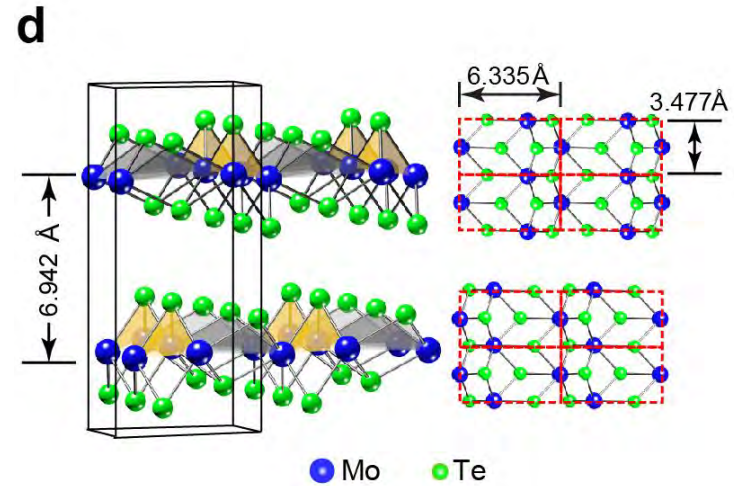
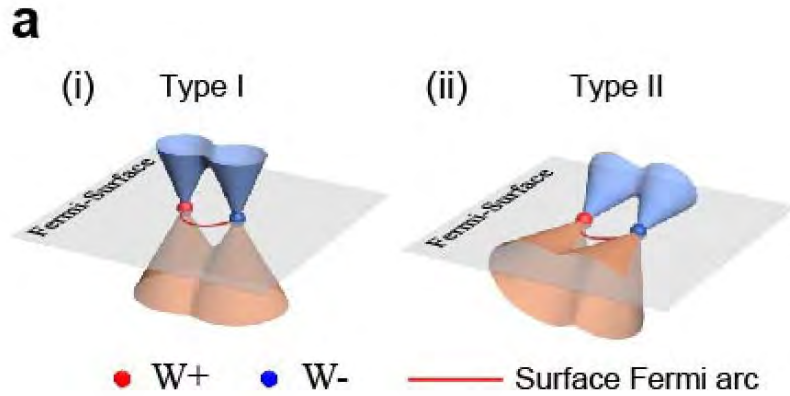
Fermiology Evolution of Weyl Semimetals

Z. K. Liu, et. al., *Nature Materials*, 15, 27 (2016)

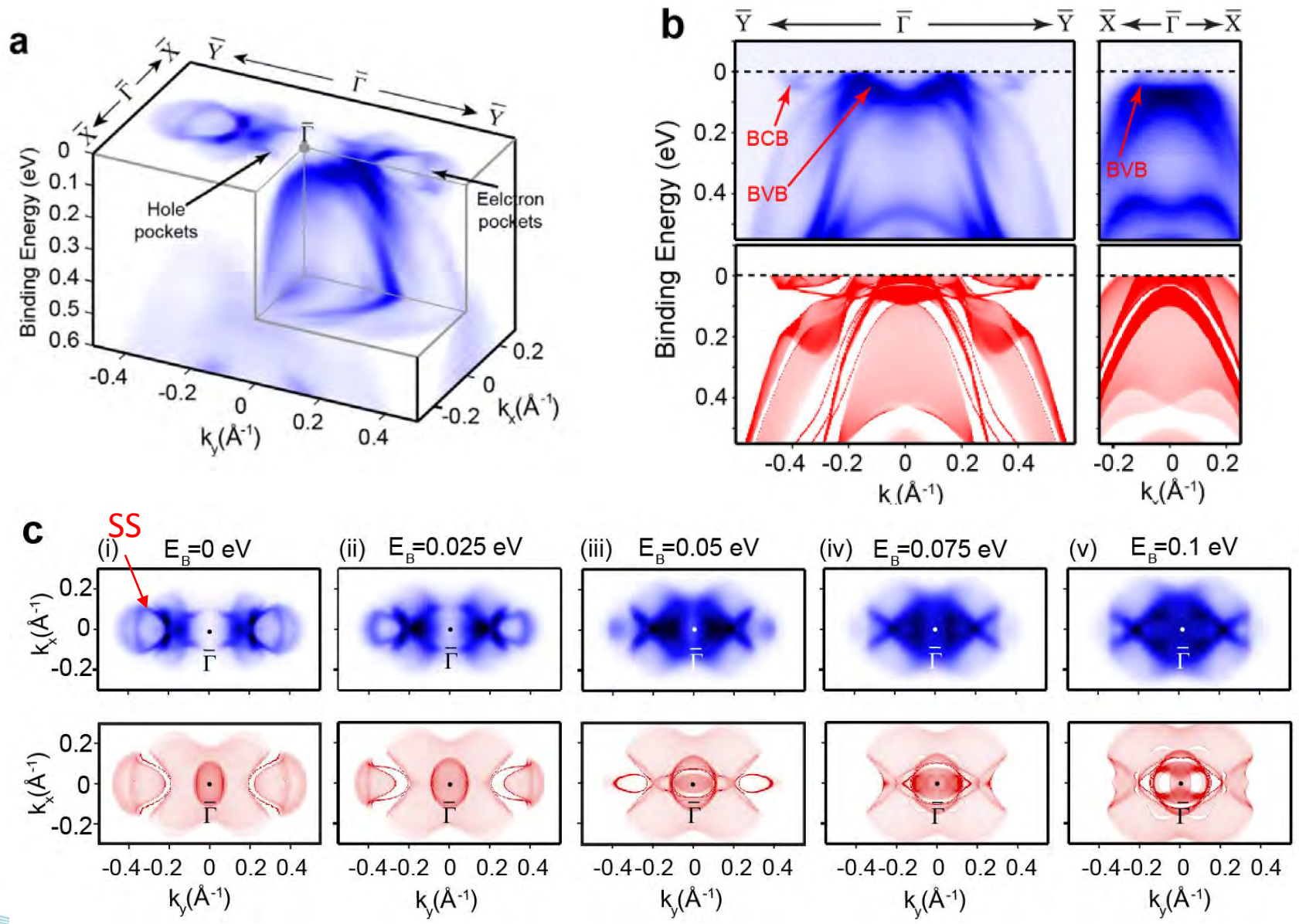
Evolution of band structures with SOC



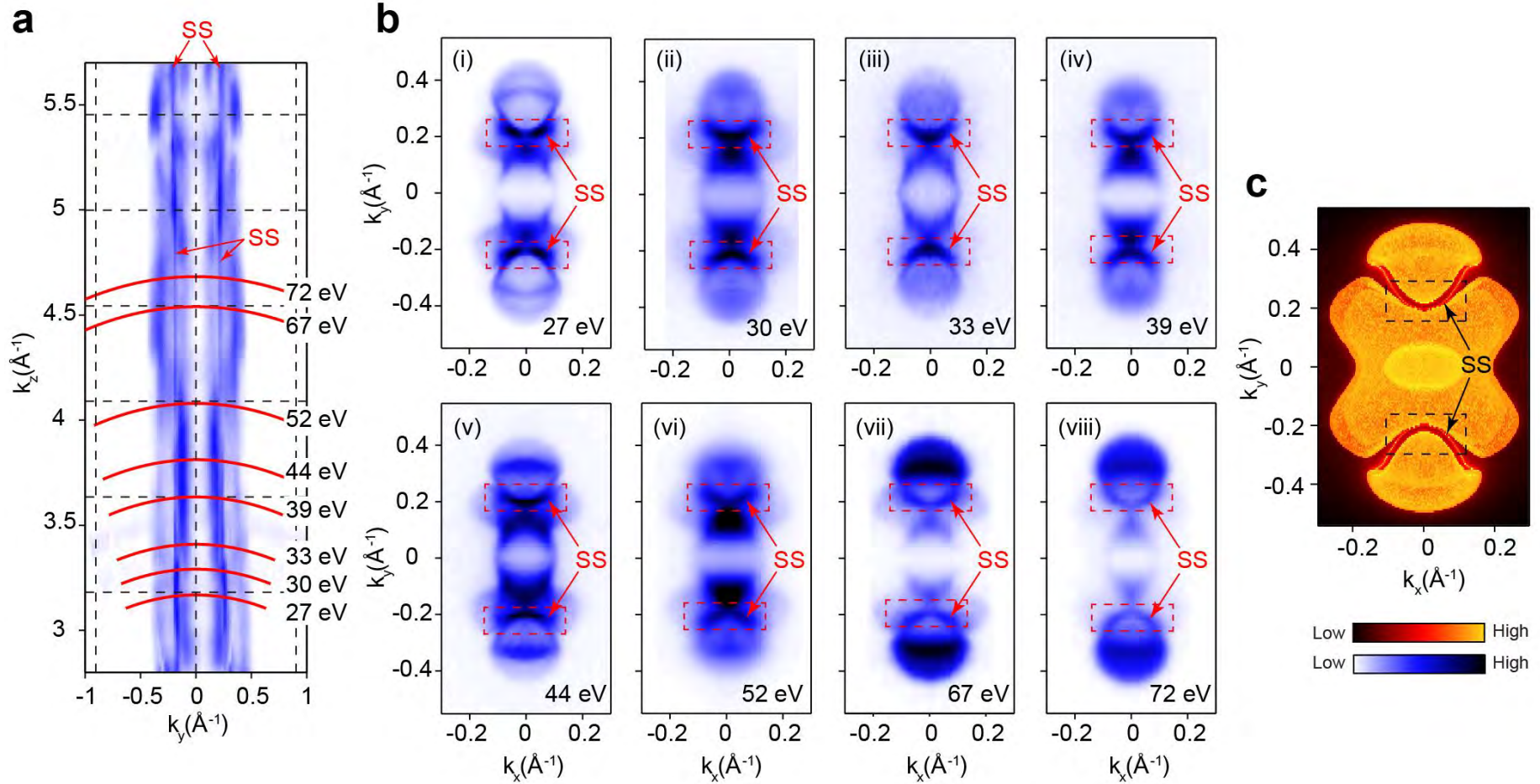
MoTe₂, a candidate for type II Weyl Fermion



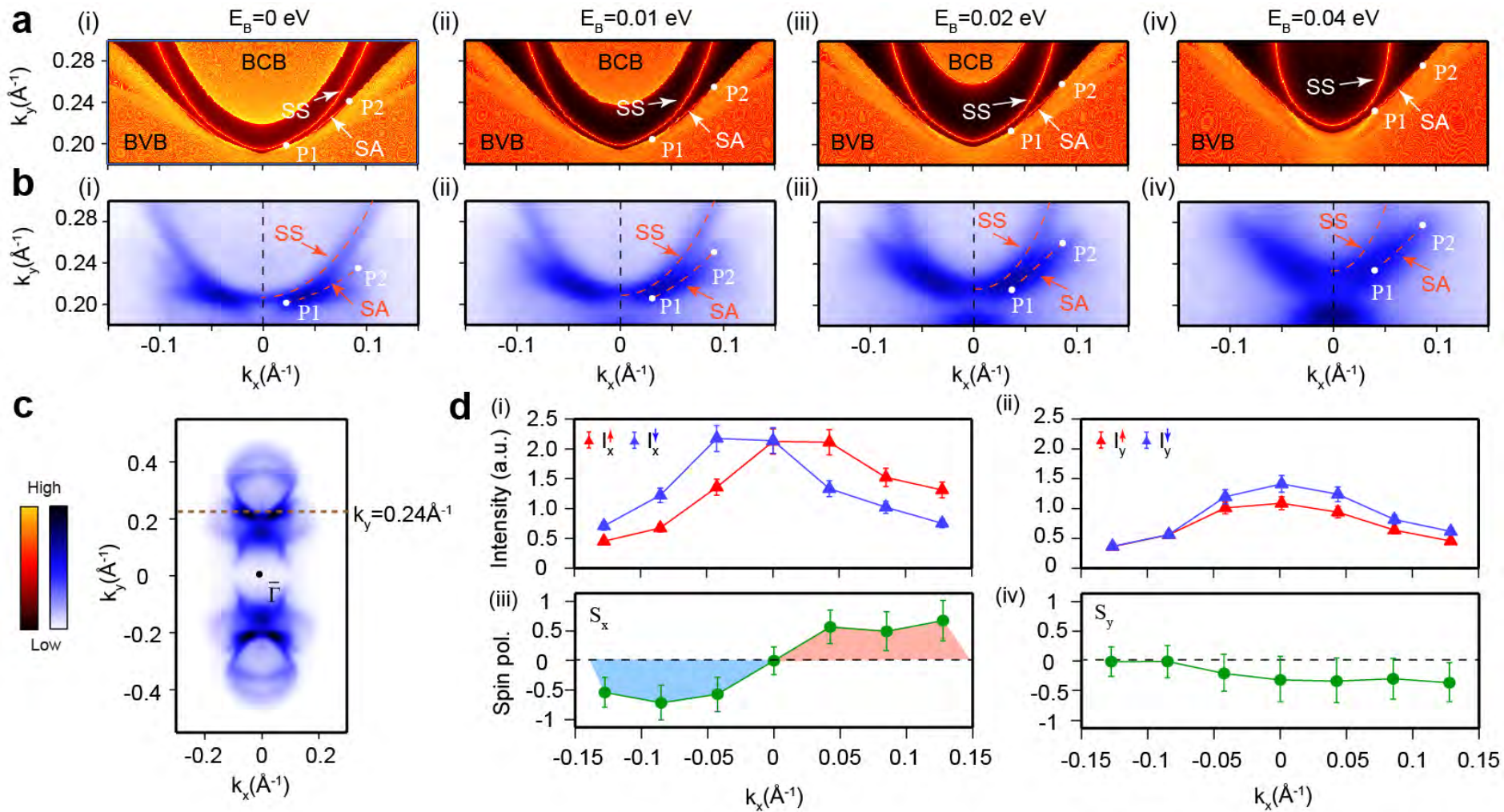
MoTe2, electronic structure



Identification of the SS

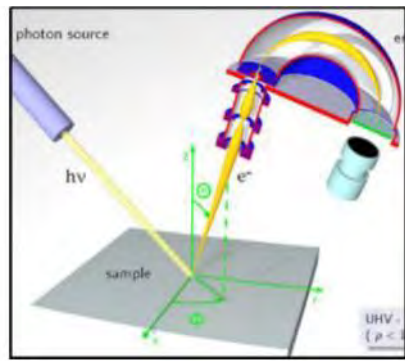


MoTe₂, Signature of the Fermi Arc feature

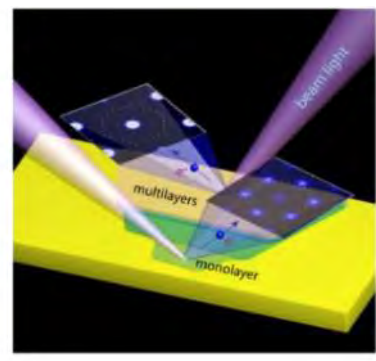


Multi-dimension ARPES technique

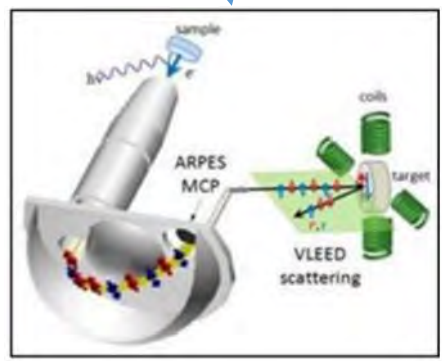
$$f(k, E, r, \sigma, t)$$



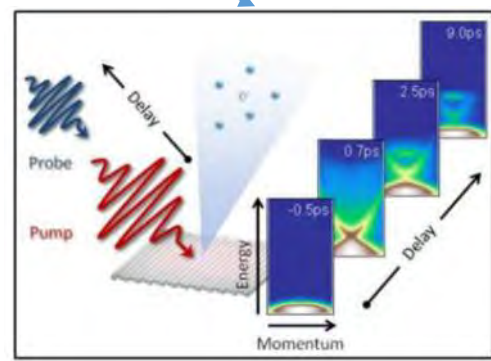
ARPES



Spatial Resolved ARPES

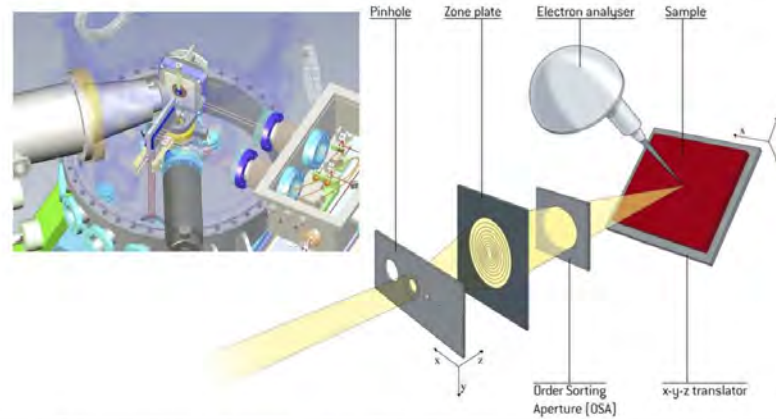


Spin Resolved ARPES



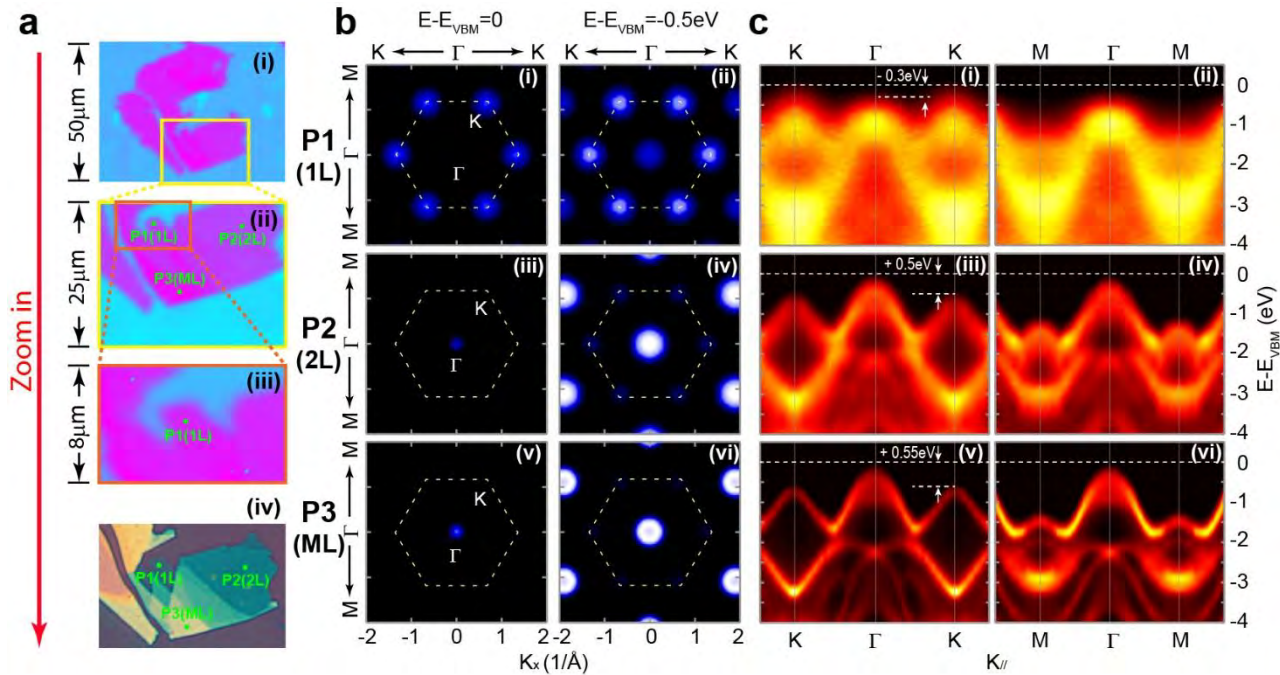
Time Resolved ARPES

Spatial Resolved Photoemission (NanoARPES)



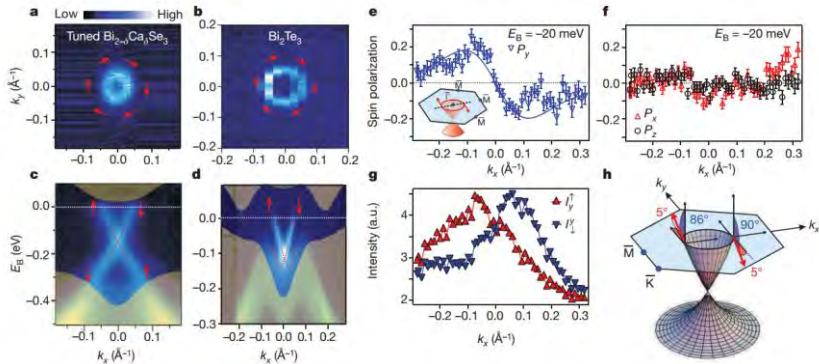
A. Bostwick et al.,
Synchrotron news, Vol. 25, No. 5,
2012

Figure 2: A schematic of the internal workings of the SOLEIL nanoARPES. The figure illustrates the general principles of ZP focusing.



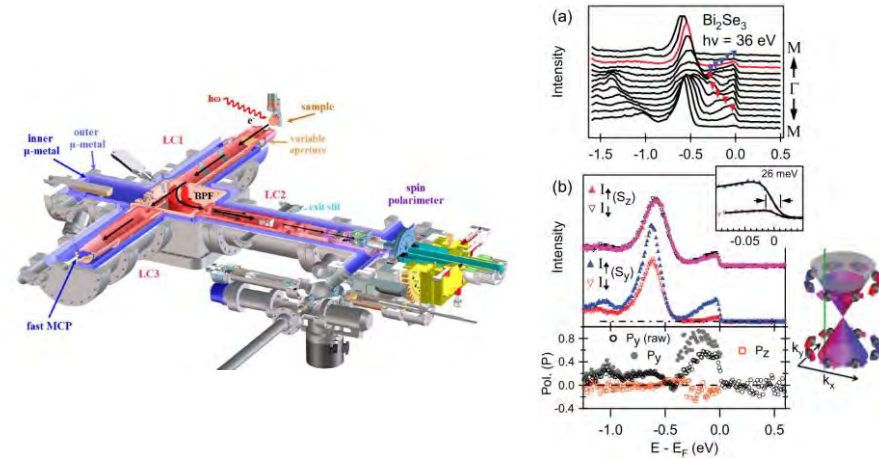
H. T. Yuan, et al.,
submitted

Spin Resolved Photoemission (SpinARPES)



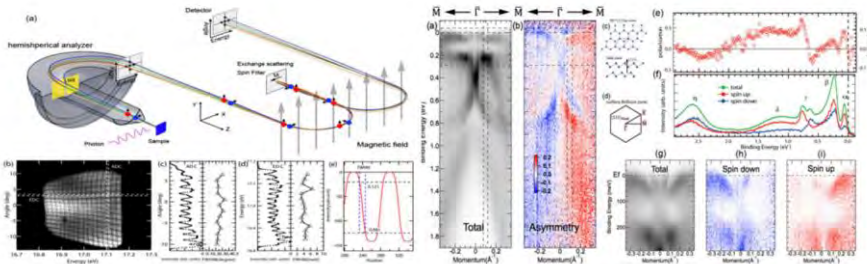
D. Hsieh et al., Nature 460, 1101-1105 (2009)

0D, Mott Detector



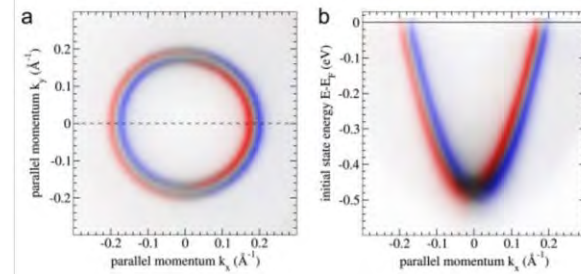
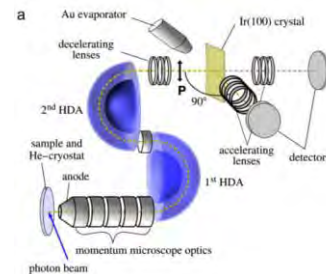
C. Jozwiak, et. al., Phys. Rev. B. 84, 165113 (2011)

1D, Exchange scattering



2D, Multi-channel exchange scattering

F. Ji et al., Phys. Rev. Lett. 116, 177601 (2016)

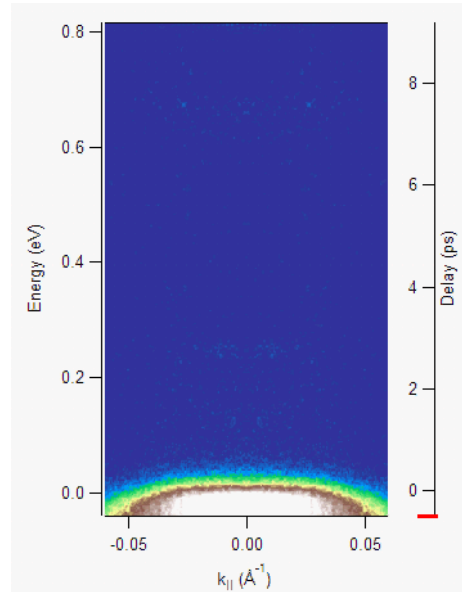
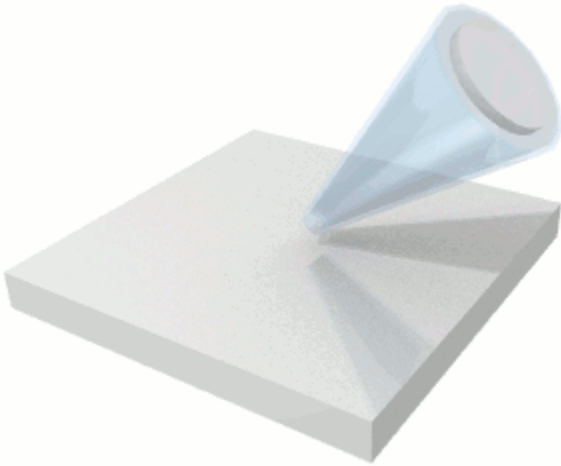


2D, PEEM+VLEED

C. Tusche, et al., Ultramicroscopy, 159, 520 (2015)

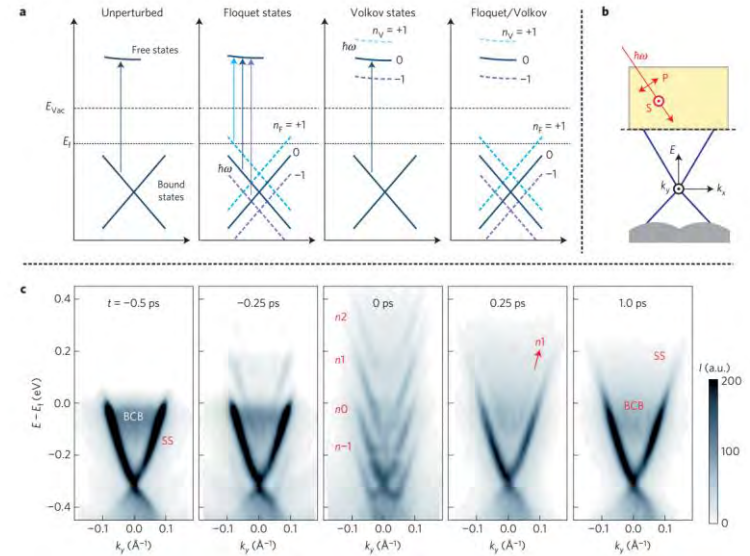
Time Resolved Photoemission

Ultrafast Dynamics of the Surface States in Bi_2Se_3



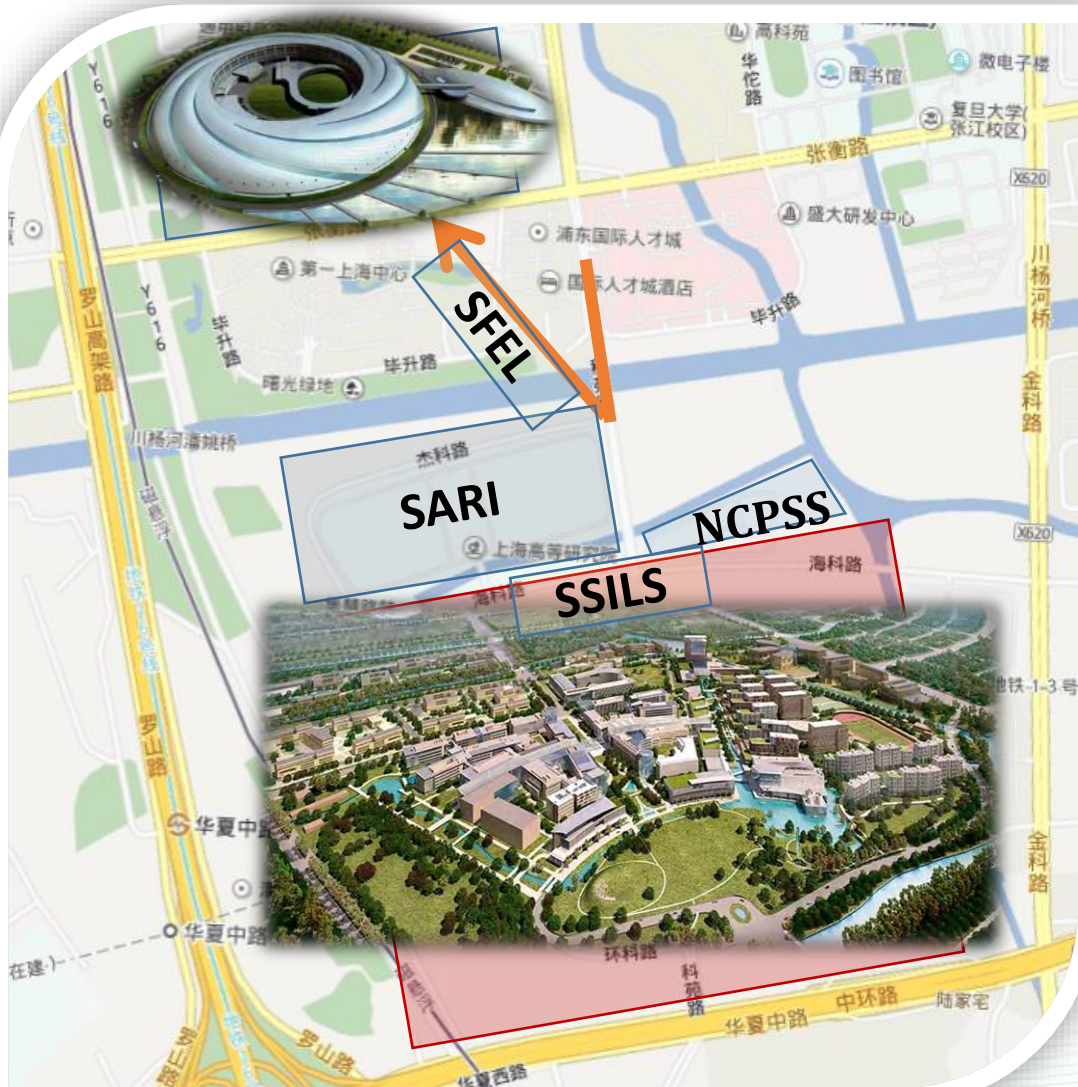
J. A. Sobota et al., Phys. Rev. Lett. 108, 117403 (2012)

Floquet Surface States in Bi_2Se_3



F. Mahmood et al., Nature Physics 12, 306–310 (2016)

ShanghaiTech University



- Located in Pudong, Shanghai
- 30 mins from Pudong International Airport
- 2 kms away from Shanghai Synchrotron
- At the heart of national science facilities

- Founded by the Shanghai Government and Chinese Academy of Science in 2013
- Small Scale, Research Oriented University

SSRF: Shanghai Synchrotron Radiation Facility

SFEL: Shanghai Free Electron Laser

SSILS: Shanghai Super Intense Laser Source

SARI: Shanghai Advanced Research Institute

NCPSS: National Center for Protein Science

Division of Condensed Matter Physics and Photon Science

Interface and Photon Science

Quantum Material

Theory

Photon Sci. Joint Lab

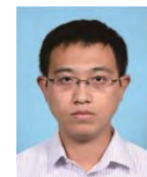
SSILS Joint Lab

SIMIT Joint Lab

Project Management



Lei Shi



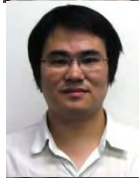
王美晓



姜甲明



宋艳汝



+ 6 Adj. Prof.

+ 1 Adj. Prof.

ME² BL

S² BL

Local Clusters, Super Comp. Center

S-XFEL
Detector Initiatives

SSILS

Detector Initiatives

SSILS
S² BL
S-XFEL

Current major projects

- **ME² (Materials for Energy and Environment) beamline project at SSRF**
- **S² beamline (Spatially and Spin resolved ARPES beamline) at SSRF**
- **Shanghai Super Intense Laser Source (SSILS) at ShanghaiTech University**
- **S-XFEL User Facility Upgrade**



**ME²beamline
(SIMIT, SSRF)**



**S² beamline
(SSRF)**



SSILS



Shanghai - FEL

Thank you and Welcome to Shanghai

